

Karlsruher Institut für Technologie

Calorimeter R&D for Higgs Factories -Inspiration for LHC Upgrades

Frank Simon



KIT – The Research University in the Helmholtz Association



KSETA Plenary Workshop, March 2023





Outline

- Higgs Factories
- Event Reconstruction in Future Experiments
- Highly Granular Calorimeters from a hadronic perspective
- Highly Granular Calorimeters for LHC
- Summary





Higgs Factories The next large Collider

Starting from what we know today:



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Higgs Factories The next large Collider

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Where do we go next?





Higgs Factories The next large Collider

Starting from what we know today:



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Where do we go next?

The way charted by the European Strategy: **Precision!**







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The Higgs Boson

model-independent study of all accessible couplings

The Top Quark

a precise measurement of its properties. A possible window to new physics due to its high mass!







Electroweak Precision

push down the uncertainties on all electroweak measurements to push the SM to (hopefully beyond) its breaking point

model-independent study of all accessible couplings

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New Particles

searches for weakly coupled new particles with high luminosity / high energy in a clean

environment









Circular Colliders:

Collision of two particle beams on circular orbits in opposite direction



Re-use of non-collided particles in future turns, acceleration can proceed over many revolutions. Need for bending magnets to keep particles on track.







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Collision of two particle beams from linear accelerators pointed at each other



Full acceleration in a "single shot", unused particles are lost. No need for magnets





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Makes sense for light particles at high energy: Synchrotron radiation losses scale with E⁴ and m⁻⁴ and r⁻²







B



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 \bigcirc



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Event Reconstruction in Future Experiments

Ideas in broad strokes - for Higgs Factories

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Event Reconstruction in Future Experiments

Ideas in broad strokes - for Higgs Factories

Japan:

- ILC: 250 GeV (500 GeV 1 TeV with upgrade) **CERN** Future:
- FCCee: Circular collider, 90 GeV 365 GeV
- CLIC: Staged machine, 380 GeV 3 TeV



Detector Performance Requirements

What we should be able to do

• Typical final states: Involves H, W, Z - all decay predominantly into hadrons

=> Need to do very well with jet reconstruction.







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The classic criterion:

Separate Ws and Zs in hadronic final states

Need a jet energy resolution of **3%** (- 5%)











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The classic criterion:

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Need a jet energy resolution of **3%** (- 5%)

N.B.: This is *hard*! x2 (or more) better than LHC experiments corresponds to $\sim 30\%/Sqrt(E)$ or better in relevant energy range

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Dreams...

• For *hadronic* (and all other) final states, we want to solve this problem:



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Dreams...

• For *hadronic* (and all other) final states, we want to solve this problem:



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Ideally: reconstruct every single particle in the event not just leptons + "cones of energy"





... Tools ...



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- The hardware to work with: A Collider Detector
 - Vertex detectors to identify heavy quarks and leptons
 - *Tracking system* to measure the momentum of charged particles via curvature in magnetic field
 - *Calorimeter systems* to measure energy of neutral and charged particles via total absorption
 - *Muon system* to identify muons, improve momentum measurement

... and Algorithms

- Particles decaying into quarks lead to jets: Multiple hadrons originating from final-state quarks







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... and Algorithms

- Particles decaying into quarks lead to jets: Multiple hadrons originating from final-state quarks



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- Requires measuring the energies of different particle types
 - Charged hadrons (π^{+/-}, ...)
 - Electromagnetic particles (γ, e^{+/-})
 - Neutral hadrons (K_L, n, ...)



In a Nutshell

- The typical jet composition:
 - 60% charged (primarily π^{+/-})
 - 30% photons (from π^0 decay)
 - 10% neutral hadrons (n, K_L)

~ 10% - 20% / Sqrt(E)

~ 60% - 100+% / Sqrt(E)



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- Jet reconstruction with *Particle Flow*
- excellent measurement in tracker, negligible resolution





In a Nutshell



Confusion

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In a Nutshell



Highly granular (imaging) calorimeters

- Segmentation finer than typical shower structure (X_{0}, ρ_{M})
- High-density materials, minimal gaps - in particular in ECAL: compact showers for improved separation

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Putting concrete numbers:

- Fe: X₀ ~ 20 mm, ρ_M ~ 30 mm
- W: $X_0 \sim 3 \text{ mm}, \rho_M \sim 9 \text{ mm}$

Separation in ECAL particularly critical: W as absorber!





In a Nutshell



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RSK PCB Semsar

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Separation in ECAL particularly critical: W as absorber!



When adding active elements: $\sim 0.5 \text{ cm}^3$ in ECAL, $\sim 25 \text{ cm}^3$ in HCAL









Highly Granular Calorimeters

An enabling technology - From a hadronic perspective

- SiPMs and microelectronics as game changers
- Real-world challenges

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Hadronic Calorimeters

Classical Solutions



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• Light collected over large volumes, brought by fibers to PMTs in magnetically shielded volumes



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Silicon Photomultipliers

The Revolution



ATLAS TileCal PMT HPK R5900

- Key for scintillator-based calorimeters: Efficient detection of small numbers of photons
 - The classical tool: Photo multipliers

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SiPMs: A Game Changer for Calorimetry

Enabling unprecedented granularity



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ATLAS tile calorimeter half barrel module: 23 "cells"



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The CALICE Physics Prototype

A proof of principle

- "Imaging calorimeters": A new type of calorimeters
 - 3D (4D with amplitude, 5D with timing) images of particle showers ~ x1000 higher channel density as current detectors





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The CALICE Physics Prototype

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Different technologies: Si / **Scint+SiPMs** / gas









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The focus today: The SiPM-based **Analog Hadron Calorimeter**

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Different technologies:

Si / Scint+SiPMs / gas



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Different technologies: Si / Scint+SiPMs / gas







Interlude: What do we need?

Integration requirements of large detector systems



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minimal non-absorber volume, minimal tolerances

no / minimal cracks

10 - 100 M channels 10 000 m² active elements



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Interlude: What do we need?

Integration requirements of large detector systems



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AHCAL Response to electron TB on July-Aug. 2016 at DESY

Front-ends and Interfaces

Key elements to meet requirements



Physics prototype: front-end electronics, calibration / power interfaces outside of active volume

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Front-ends and Interfaces

Key elements to meet requirements



interfaces outside of active volume

Technological prototype / final design: fully integrated front-end, compact interfaces

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Up to 6 x 3 HBUs controlled by single interface

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Improving the original technology, ensuring scalability

 From the first large-scale application of SiPMs to the "SiPM-on-tile" technology

2008 - 2016





Physics Prototype

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Physics Prototype



Direct coupling of tiles and photon sensors

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2008 - 2016





Physics Prototype



Direct coupling of tiles and photon sensors





SMD SiPMs, modification of direct coupling











Improving the original technology, ensuring scalability

 From the first large-scale application of SiPMs to the "SiPM-on-tile" technology

2008 - 2016



Fully integrated concept with embedded front-end electronics, calibration system

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Physics Prototype



Direct coupling of tiles and photon sensors





SMD SiPMs, modification of direct coupling











Improving the original technology, ensuring scalability

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Physics Prototype



Direct coupling of tiles and photon sensors





embedded front-end electronics,

SMD SiPMs, modification of direct coupling











The Full Concept: The CALICE AHCAL Technological Prototype

A Demonstration of the Scalability of Highly Granular Calorimeter Technologies



 Fully integrated electronics, with HBU "base units" combinable to larger areas, compact control & services



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- Fully integrated electronics, with HBU "base units" combinable to larger areas, compact control & services
 - SiPMs / scintillators on other side of board



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Exercising scalability

- Mass production for a new 0.5 m³, 22k channel prototype
 - 24k tiles produced & wrapped





injection molding of PS based scintillator tiles









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semi-automatic wrapping of scintillator tiles

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injection molding of PS based scintillator tiles

10/2017 - 01/2018









Exercising scalability

- Mass production for a new 0.5 m³, 22k channel prototype
 - 24k tiles produced & wrapped





automatic placement of tiles on electronics board (HBU), fully assembled with SiPMs and ASICs 11/2017 - 02/2018

semi-automatic wrapping of scintillator tiles

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injection molding of PS based scintillator tiles

10/2017 - 01/2018









Exercising scalability

• A multi-step QA procedure







gain @ vbr_mean+5

spot testing of few % of 22k SiPMs, acceptance of 600 pc batches according to pre-defined criteria - all batches accepted



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test of all ASICs (~80-90% yield) test of all assembled boards using built-in LEDs









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test and calibration of all channels with cosmics



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Exercising scalability

• A multi-step QA procedure





integration of layers & interfaces, test in beam at DESY

test and calibration of all channels with cosmics



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gain @ vbr_mean+5

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Full Prototype in Particle Beams Demonstration of Performance

• Test beam at CERN SPS - the smoothest CALICE test beams ever.



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muon track







Developing the Technology Further In the Context of Higgs Factories

• Electronics and thermal design currently optimised for linear colliders:







- at CLIC: Δt_b = 0.5 ns; f_{rep} = 50 Hz
- at ILC: $\Delta t_b = 554 \text{ ns}$; $f_{rep} = 5 \text{ Hz}$

power pulsing possible:

most of the electronics off for 99% of the time



Developing the Technology Further In the Context of Higgs Factories

• Electronics and thermal design currently optimised for linear colliders:



- At circular colliders (FCC-ee): Continuous collisions toughest conditions at the Z pole: $\Delta t_b = 20 \text{ ns}$, physics rate ~ 100 kHz
 - Need continuous readout -> No power pulsing possible, potentially significant increase in power
 - \Rightarrow Significantly higher data rates: more sophisticated data concentration and transmission





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Consequences for cooling?

Advances in power efficiency?







Highly Granular Calorimetry at LHC

Pushing the Technology to its Limits?

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Highly Granular Calorimetry at LHC

Pushing the Technology to its Limits?

Seoul, ca. 2013:



Dave Barney, CERN

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Cool technology. Would that work at the LHC?

Doubt it... Not rad-hard enough, too much data, need cooling - can't be compact enough...



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FS





The Conditions at the HL-LHC

The CMS Endcap Calorimeter

• Extreme radiation:



VBF ($H \rightarrow \gamma \gamma$) event with one photon and one VBF jet in the same quadrant,

• High particle No timing cut density: VBF jet

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Timing and granularity as a way to cope with pileup!







What we need to make it work

- It has to survive!
 - \Rightarrow Use silicon as active element!



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What we need to make it work

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The key: Ensuring sufficient light yield and S/N. Two main elements to this:

- Radiation hardness of SiPMs
- Radiation hardness of scintillator



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operation "in the cold": -30 C via CO₂ cooling

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operation "in the cold": -30 C via CO₂ cooling profit from SiPM advances in last decade: "trenches", lower DCR use high-quality machined scintillator in critical areas

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A High Granularity Calorimeter for LHC

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- You need to be able to get the data out!
 - Data concentrators within the detector volume





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From CALICE to CMS

The HGCAL - Technology Transfer & further Development

• The developments in CALICE have paved the way for a number of applications of highly granular calorimeters and related technologies in HEP



Most prominent: The CMS Endcap Calorimeter Upgrade HGCal







From CALICE to CMS

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the way for a number of applications of highly granular calorimeters and related technologies in HEP





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The CMS HGCAL

Pushing current limits on many fronts

| Both Endcaps | Silicon | | Scintillator |
|--------------|----------------------------|------------------|-------------------------------|
| Area | ~620 m² | | ~370 m² |
| Channel Size | 0.5 - 1.2 cm ² | | 4 - 30 cm ² |
| # Channels | ~6 M | | ~240 k |
| # Modules | ~27000 | | ~4000 |
| Op. Temp. | -30 C | | -30 C |
| Per Endcap | CE-E | Si | CE-H Si+Sci |
| Absorber | Pb, CuW, Cu | Stainless steel, | |
| Depth | 27.7 X ₀ | 10 λ | |
| Layers | 26 | 7 14 | |
| Weight | 23 t | 205 t | |

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The HGCAL Sensors & Front-end



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The HGCAL Sensors & Front-end



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The HGCAL Sensors & Front-end



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and Electronics

The HGCAL Sensors & Front-end



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Modules & Readout

Turning it into a system



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Modules & Readout

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Modules & Readout

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Absorber and Mechanics

Holding it together



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Sliding wedges:

Stainless steel supports designed to take the total detector weight. Sliding feature will allow to cope with a thermal contraction as one end of the wedges will be at -35°C while the other end will be at 18°C

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Summary & Outlook

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Data Processing and Electronics



The Role of KIT Calorimeter R&D & CMS

- Strategic detector R&D implementation of the ECFA detector R&D roadmap A new collaboration for calorimeter R&D is being formed - active in coordination. Key technological contributions:
 - Solving the circular Higgs Factory challenge: Electronics systems, data concentration, DAQ Advanced algorithms - from CPUs to FPGAs; applications of ML/AI
- The HGCAL an opportunity to expand the KIT role in CMS.
 - Mechanics & services (CuW Baseplates, Cooling manifolds, ...)
 - The backend system DAQ and trigger based on Serenity boards: Hardware & algorithms





Summary and Outlook

- Highly granular calorimeters are central components for future Higgs factory detectors \bullet
- Enabled by silicon photomultipliers and capable ASICs
 - Require ultra-compact interfaces and low power, scalable technologies suitable for mass production
- Key elements demonstrated by the CALICE collaboration but challenging (and interesting!) developments remain
- The CALICE technology has been adopted by CMS for the Phase II HGCAL upgrade and is being pushed to a whole new level:
 - Extreme radiation, enormous data volumes
- This project is happening now and it has to succeed! KIT will make decisive contributions.







Summary and Outlook

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~ 25 years from initial ideas to first full-scale application with HL-LHC startup. And: the blueprint for future calorimeters in HEP.







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Reconstructing Energy

Using granular information



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 Hadronic energy resolution suffers from complexity of hadronic showers due to differences in detector response to hadronic and electromagnetic showers



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Reconstructing Energy

Using granular information



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Reconstructing Energy

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Particle Flow Algorithms

Under the hood



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Particle Flow Algorithms

Under the hood



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Validating PFA Performance

Using test beam data

• Using the CALICE prototype data to validate key aspects of PFA: Shower separation / confusion

good separation h± h0



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confusion: neutral deficit confusion: neutral excess



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Validating PFA Performance

Using test beam data

 Using the CALICE prototype data to validate key aspects of PFA: Shower separation / confusion good separation



 Important for confidence in full PFA studies (simulations only!): Validation of simulation





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Data Processing

and Electronics

Extending PFA Performance

Combination with advanced energy reconstruction



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Extending PFA Performance

Combination with advanced energy reconstruction



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Optimising Detectors for Higgs Factories

Using simulations, validated with test beams





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- In combination with many other parameters:
 - radius, resolution of tracker; magnetic field,











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Data Processing and Electronics

Physics Cross Sections & Signatures

General drivers



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Collision Energy

- ILC: 250 GeV 500 GeV 1+ TeV
- CLIC: 380 GeV 1.5 TeV 3 TeV
 - \Rightarrow Leptons, jets, from a few 10 to many 100 GeV, heavy bosons / complex final states

Physics Drivers

- Physics cross sections low: rates, radiation damage moderate in most regions of the detector
 - Statistics is precious: Excellent reconstruction of all final states
 - Requires high luminosity achievable with very small beams: Beamstrahlung (Luminosity spectrum, backgrounds)



Detector Performance Goals - Tracking

Motivated by key physics signatures

 Momentum resolution Higgs recoil measurement, H -> $\mu\mu$, **BSM** decays with leptons

σ(p_T) / p_T² ~ 2 x 10⁻⁵ / GeV

precise and highly efficient tracking, extending to 100+ GeV

low mass, good resolution: for Si tracker ~ 1-2% X_0 per layer, 7 µm point resolution


















single point resolution in vertex detector $\sim 3 \,\mu m$ $< 0.2 X_0$ per layer

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Detector Performance Goals - Jets, Photons, PID

Motivated by key physics signatures

Inits Jet energy resolution Recoil measurements with hadronic Z decays, s Arbitrary

σ(E_{jet}) / E_{jet} ~ 3% - 5% for E_{jet} > 45 GeV

reconstruction of complex multi-jet final states.

• Photons

Resolution not in the focus: ~ 15 - $20\%/\sqrt{E}$ Worth another look ? Coverage to 100s of GeV important









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Particle ID

Clean identification of e, μ up to highest energies

PID of hadrons to improve tagging, jets,...









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Particle ID

Clean identification of e, μ up to highest energies

- PID of hadrons to improve tagging, jets,...
- Hermetic coverage

Dark matter searches in mono-photon events, ...

N.B.: Achievable limits do not depend strongly on $\sigma(E_v)$

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The Linear Collider Detector Design - Main Features

Focusing on general aspects



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- A large-volume solenoid 3.5 5 T, enclosing calorimeters and tracking
- Highly granular calorimeter systems, optimised for particle flow reconstruction, best jet energy resolution [Si, Scint + SiPMs, RPCs]
- Low-mass main tracker, for excellent momentum resolution at high energies [Si, TPC + Si]
- Forward calorimeters, for low-angle electron measurements, luminosity [Si, GaAs]
- Vertex detector, lowest possible mass, smallest possible radius [MAPS, thinned hybrid detectors]
- **Triggerless readout** of main detector systems

















Linear Collider Conditions

... and the consequences for the detector design

• Linear Colliders operate in bunch trains:



- at CLIC: Δt_b = 0.5 ns; f_{rep} = 50 Hz
- at ILC: $\Delta t_b = 554 \text{ ns}$; $f_{rep} = 5 \text{ Hz}$



- Enables power pulsing of front-end electronics,
 resulting in dramatically reduced power consumption
 - Eliminates need for active cooling in many areas of the detectors: Reduced material, increased compactness







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- at CLIC: Δt_b = 0.5 ns; f_{rep} = 50 Hz
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- ... and require extreme focusing to achieve high luminosity



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- Significant beam-induced backgrounds
 - Constraints on beam pipe geometry, crossing angle and vertex detector radius
 - In-time pile-up of hadronic background: sufficient granularity for topological rejection
 - \Rightarrow At CLIC: small Δt_b also results in out-of-time pile-up: **ns-level timing** in many detector systems











Event Reconstruction at Future Colliders

The goals of PFA

• More practically:



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Event Reconstruction at Future Colliders

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• More practically:



