

# keV scale sterile neutrino Dark Matter

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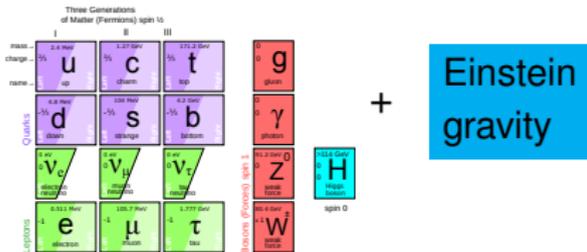


The University of Manchester

# Outline

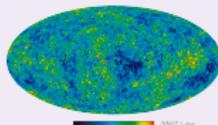
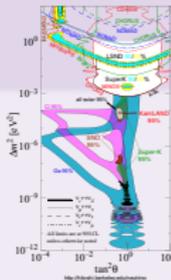
- 1 SM, Cosmology and sterile neutrinos
- 2 X-ray observations
- 3 Pure νMSM – just three sterile neutrinos
- 4 Other DM generation mechanisms
- 5 Laboratory searches

# Standard Model – describes **nearly** everything



## Experimental problems:

- Laboratory
  - ? Neutrino oscillations
- Cosmology
  - ? Baryon asymmetry of the Universe
  - ? Dark Matter
  - ? Inflation
  - ? Dark Energy





# Sterile neutrino as DM

- Nearly always present in SM extensions
- Feebly interacting
- Quite stable if light (keV scale)

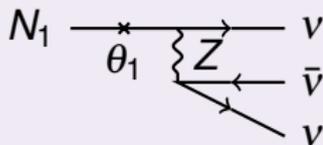
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# DM properties – Radiative decay

Leads to constraints from the X-ray observations

## Main decay channel

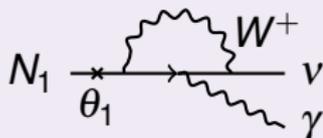
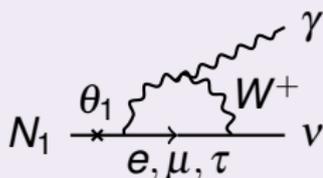


- $\tau > \tau_{\text{Universe}}$  - easy:

$$\theta^2 < 3.3 \times 10^{-4} \left( \frac{10 \text{keV}}{M_1} \right)^5$$

- not visible, really...

## Second decay channel: $N_1 \rightarrow \nu \gamma$

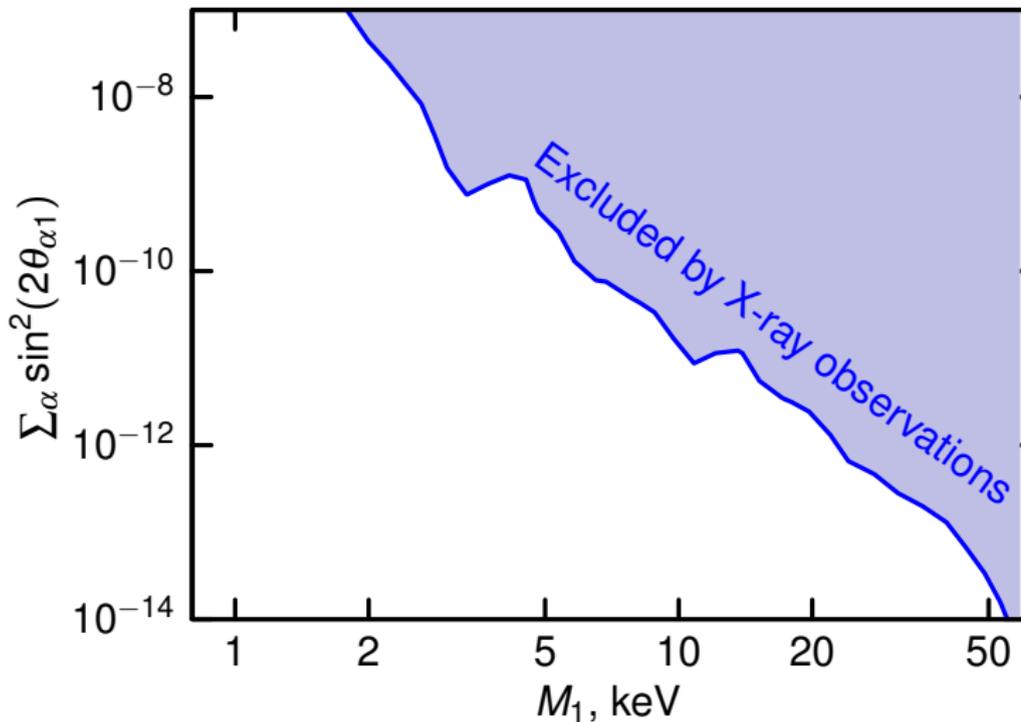


$$\Gamma \simeq 5.5 \times 10^{-27} \left( \frac{\theta_1^2}{10^{-5}} \right) \left( \frac{M_1}{1 \text{keV}} \right)^5 \text{ s}^{-1}$$

- Monochromatic:  $E_\gamma = M_1/2$
- We should see an X-ray ( $\sim \text{keV}$ ) line following the DM distribution in the sky



# Bounds for the $N_1$ – DM sterile neutrino



**Universal constraint for all DM models**

[Boyarsky, Ruchayskiy, Shaposhnikov'09]

## Bounds from observed structure in the Universe

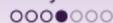
- Look at the compact object with DM (dwarf spheroidals)
  - Check that sterile neutrinos can “fit” there – Pauli blocking
 
$$M_{\text{DEG}} > 0.5\text{keV}$$
  - Stricter bound – phase space density arguments

$$M > 1 - 2\text{keV}$$

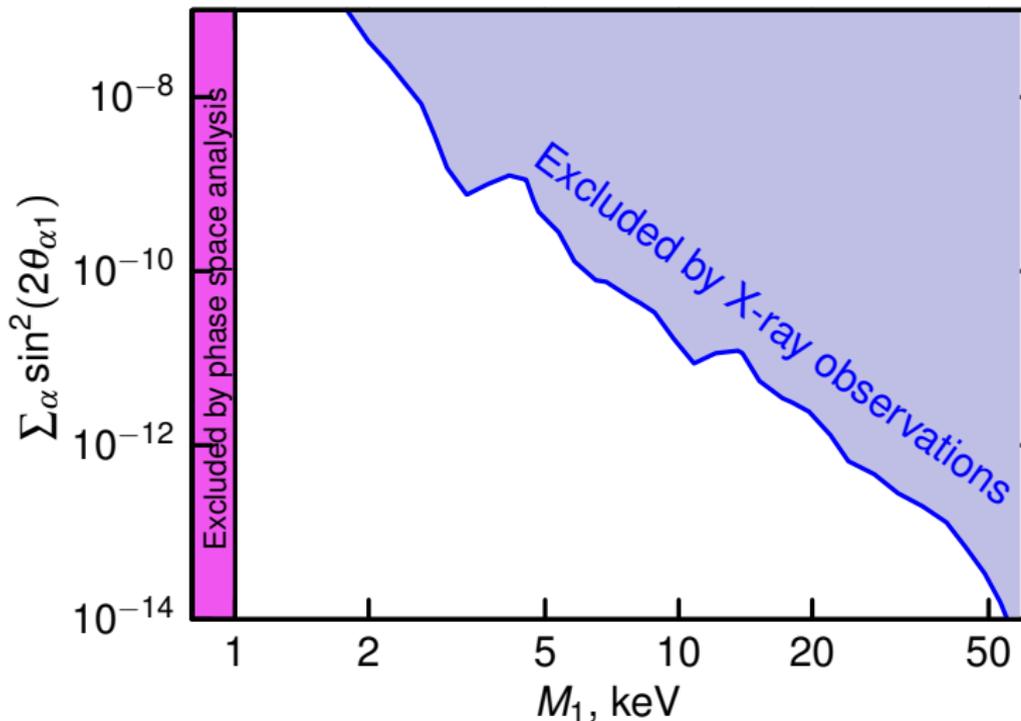
Tremaine, Gunn 79; Gorbunov, Khmel'nitsky, Rubakov 08; Boyarsky, Ruchayskiy, Iakubovskiy 08

- Light sterile neutrino being relativistic after generation (warm) provides cut off in the structure formation at smaller (sub-Mpc) scales.
- Presence of this cut off can be searched by the analysis of the Lyman- $\alpha$  absorption line of the intergalactic hydrogen.
  - The bound depends on *velocities* of the neutrinos, not on masses – bound depends on distribution function – production mechanism

Boyarsky, Lesgourgues, Ruchayskiy, Viel'08; Viel, Becker, Bolton, Haehnelt'13; Baur et.al.17



# Bounds for the $N_1$ – DM sterile neutrino

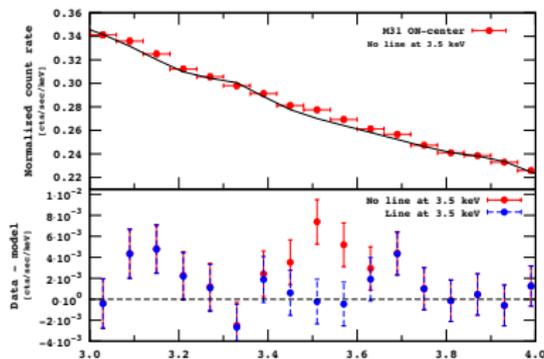
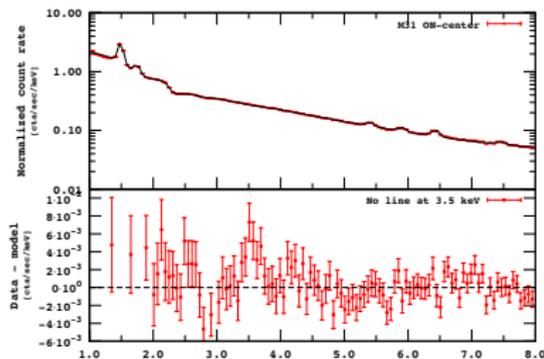


Universal constraints for all models



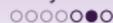
# Search for the line in X-rays

## Unidentified line at 3.5 keV in X-rays

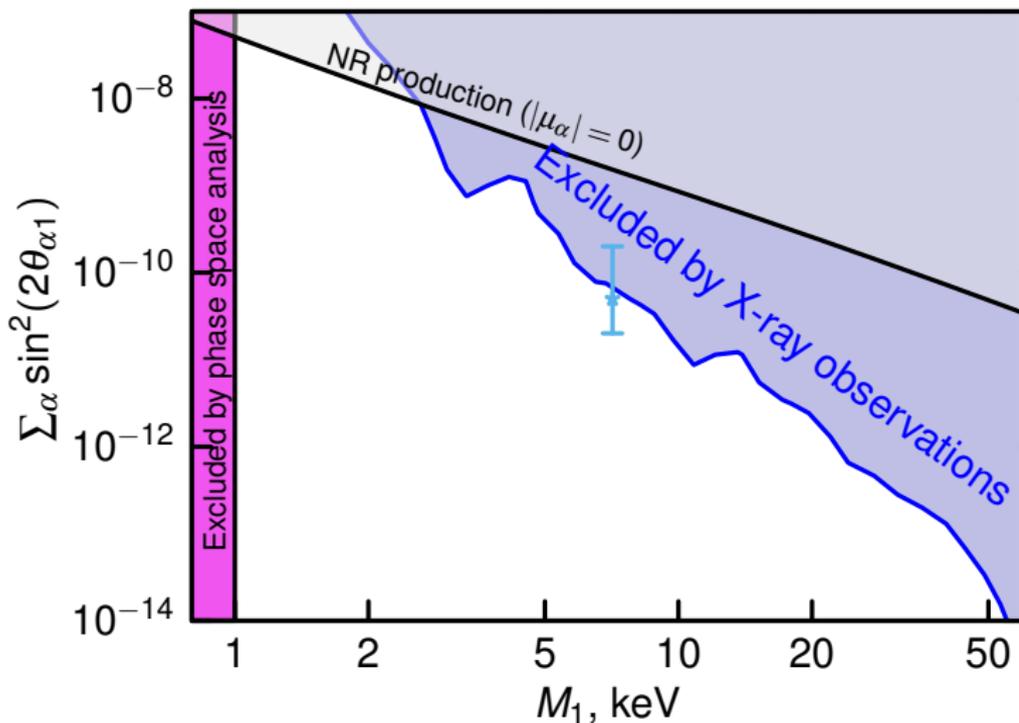


- seen by two different satellites (XMM-Newton and Chandra)
- the line has proper redshift for different sources
- the intensity is consistent with the Dark Matter profiles
- the line is absent in the blank sky observations

Bulbul, Markevitch, Foster, Smith et al.'14, Boyarsky, Ruchayskiy, Iakubovskyi, Franse'14



# Sterile neutrino $N_1$ parameters would be





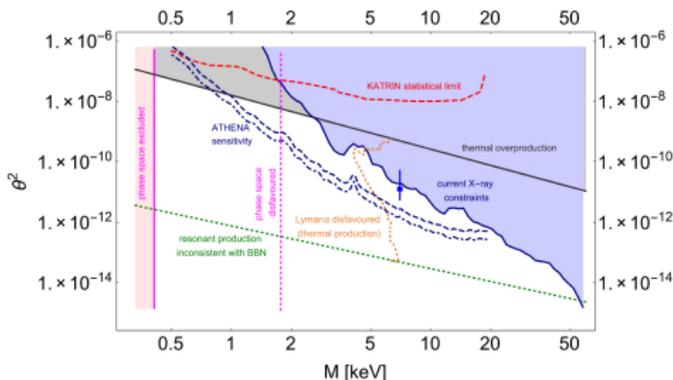
# Current status of 3.5 keV line and future

## Seen in

- Perseus, Coma, and Ophiuchus galaxy clusters
- Galaxy center
- Stacked clusters
- M31 (Andromeda galaxy)

## Not seen in

- Coma, Virgo and Ophiuchus clusters
- Galaxy center
- Stacked galaxies
- Dwarf spheroidals
- Bullet cluster



## Future

### High resolution X-ray missions

- XARM
- LYNX
- Athena+ (2030?)

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# Summary of sterile neutrino Dark Matter constraints

## Dark Matter

stay like DM Decay constraints – small enough radiative decay width (X-ray observations)

*always there*

behave like DM Structure formation constraints

- Heavy enough to form existing structures out of fermions

*always there*

- Cold enough to leave observed small scale structure intact

*depends on generation mechanism*

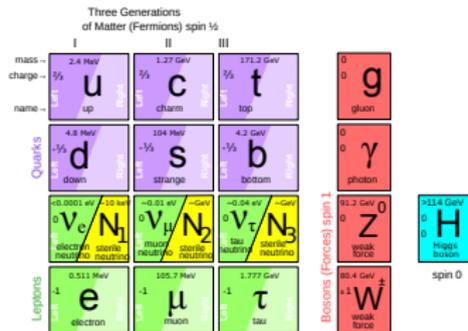
*(spectrum)*

appear like DM Production of proper DM abundance

*depends on generation mechanism*

# Minimal Scenario – vMSM

Just three sterile neutrinos



## Model action

$$\mathcal{L}_{vMSM} = \mathcal{L}_{SM} + i\bar{N}\not{\partial}N - \bar{L}_L F N \tilde{\Phi} - \bar{N} F^\dagger L_L \tilde{\Phi}^\dagger - \frac{1}{2}(\bar{N}^c M_M N + \bar{N} M_M^\dagger N^c).$$

# νMSM description – neutrino masses

- $M_1 \sim 1\text{--}50 \text{ keV}$  – Dark Matter
- $M_{2,3} \sim \text{several GeV}$  – Leptogenesis

$M_I \gg M^D = F\langle\Phi\rangle$  – “see-saw” formula is working:

Light neutrino masses

$$M^{\nu} = -(M^D)^T \frac{1}{M_I} M^D$$

Active-sterile mixings

$$\theta_{\alpha I} = \frac{(M^D)_{\alpha I}^{\dagger}}{M_I} \ll 1$$

# νMSM experimental consequences (DM)

## Active neutrino masses

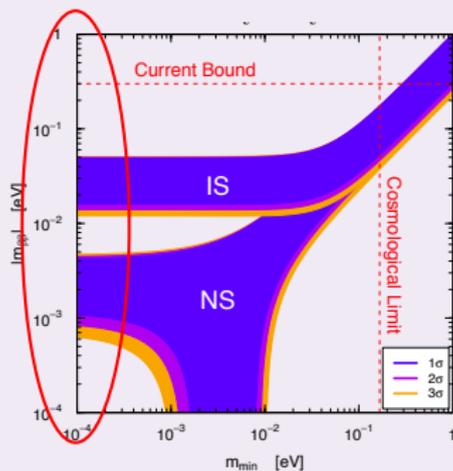
- X-rays require very small  $N_1$  mixing angle  $\theta_1$ , so  

$$m_1 < 10^{-5} \text{ eV}$$

## Neutrinoless double beta decay

- Additional contributions are negligible
  - $N_1$  – X-ray constraints
  - $N_{2,3}$  – mass  $> 100 \text{ MeV}$
- Mass spectrum strongly hierarchical – X-ray constraints

$$m_{0\nu\beta\beta} < 50 \times 10^{-3} \text{ eV}$$



# DM generation in the early Universe

- Because of small mixing angle (X-ray constraints!) *never* enters thermal equilibrium
  - Good – does not overclose the Universe
  - Bad (or good?) – abundance depends on initial conditions or new physics

Nowadays called

Freeze In dark Matter Particle

Feebly Interacting Massive Particle

# DM generation in the early Universe

Produced in  $\bar{l}\bar{l} \rightarrow \nu N_1$ ,  $q\bar{q} \rightarrow \nu N_1$ , etc.

Production is proportional to the effective active-sterile mixing angle

$$\theta_M^2(T) \simeq \frac{\theta_1^2}{\left(1 + \frac{2\rho}{M_1^2} (b(\rho, T) \pm c(T))\right)^2 + \theta_1^2}.$$

$$b(\rho, T) = \frac{16G_F^2}{\pi\alpha_W} \rho (2 + \cos^2 \theta_W) \frac{7\pi^2 T^4}{360}$$

$$c(T) = 3\sqrt{2}G_F \left(1 + \sin^2 \theta_W\right) (n_{\nu_e} - n_{\bar{\nu}_e})$$

( $\theta_1$  – vacuum mixing angle of  $N_1$  and active  $\nu$ )

Production can be

**Non-resonant** ( $b$  dominates) or **Resonant** ( $c \sim b$ )

# DM generation – NR production

- $N_1$  never enter thermal equilibrium
- Momentum distribution is not thermal

$$f_{N_1}(p) = \frac{\chi}{e^{p/T_v} + 1}$$

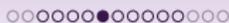
with  $\chi \propto \theta_1^2$

- This is much hotter, than the “Thermal Relic” with

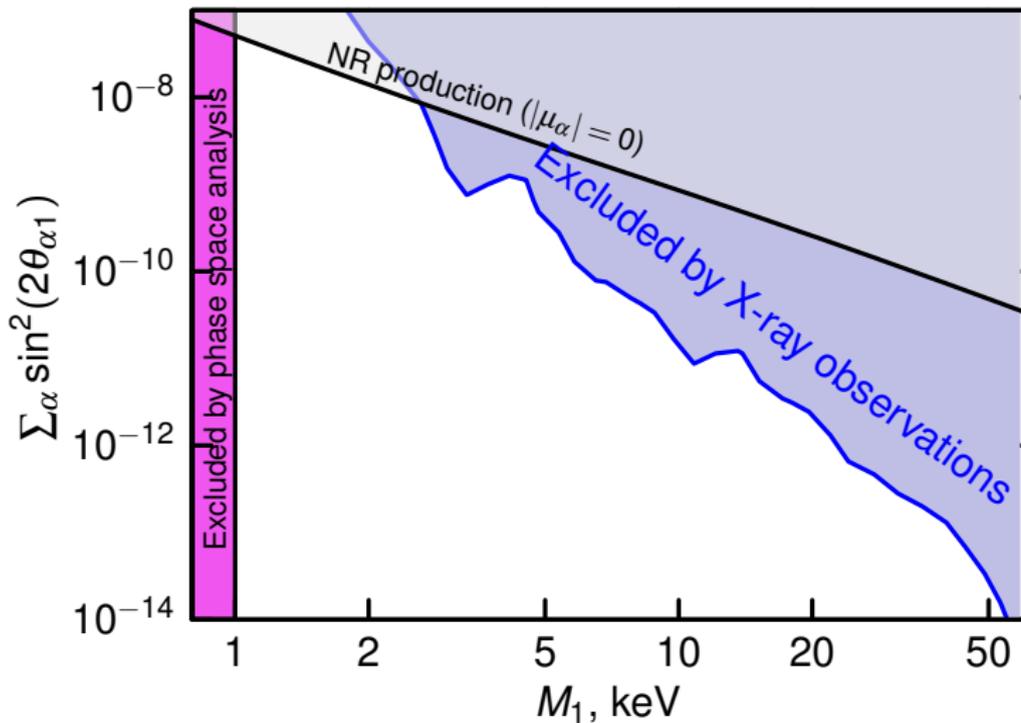
$$f_{TR}(p) = \frac{1}{e^{p/T_{TR}} + 1}$$

of low temperature  $T_{TR} < T_v$

- The Lyman- $\alpha$  constraint is quite strong  
 $m_{NRP,min} \propto (m_{TR,min})^{4/3}$



# Bounds for the $N_1$ – DM sterile neutrino



Nearly universal constraints

# DM generation – NR production

- $N_1$  never enter thermal equilibrium
- Momentum distribution is not thermal

$$f_{N_1}(p) = \frac{\chi}{e^{p/T_v} + 1}$$

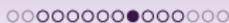
with  $\chi \propto \theta_1^2$

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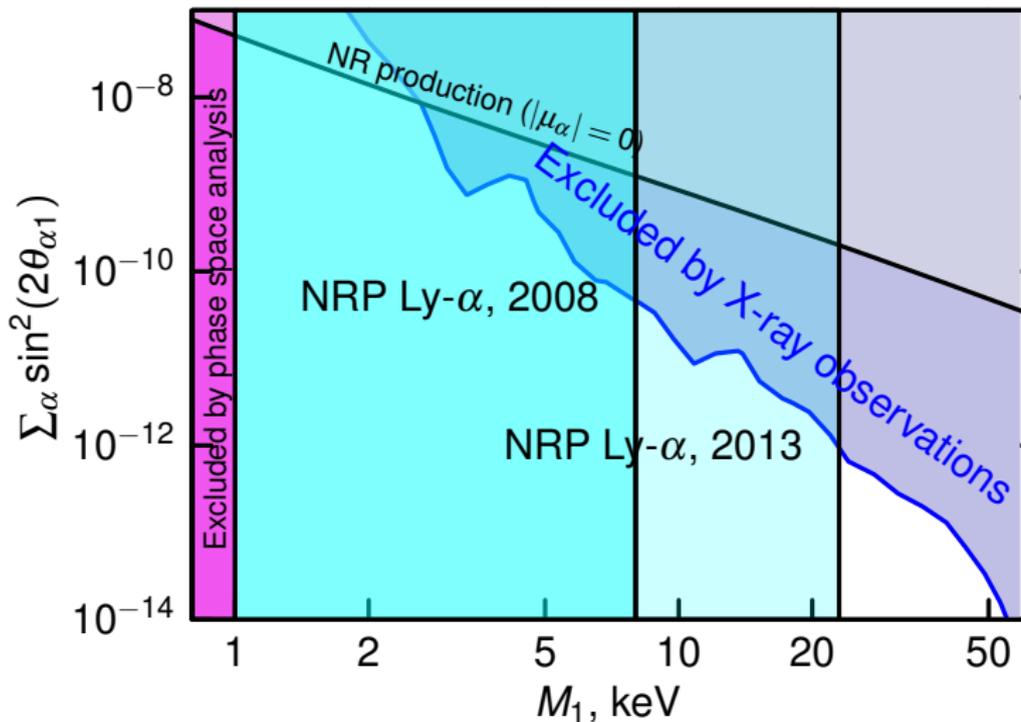
$$f_{TR}(p) = \frac{1}{e^{p/T_{TR}} + 1}$$

of low temperature  $T_{TR} < T_v$

- The **Lyman- $\alpha$**  constraint is quite strong  
 $m_{NRP,min} \propto (m_{TR,min})^{4/3}$



# Bounds for the $N_1$ – DM sterile neutrino



**Nonresonant** production is completely excluded

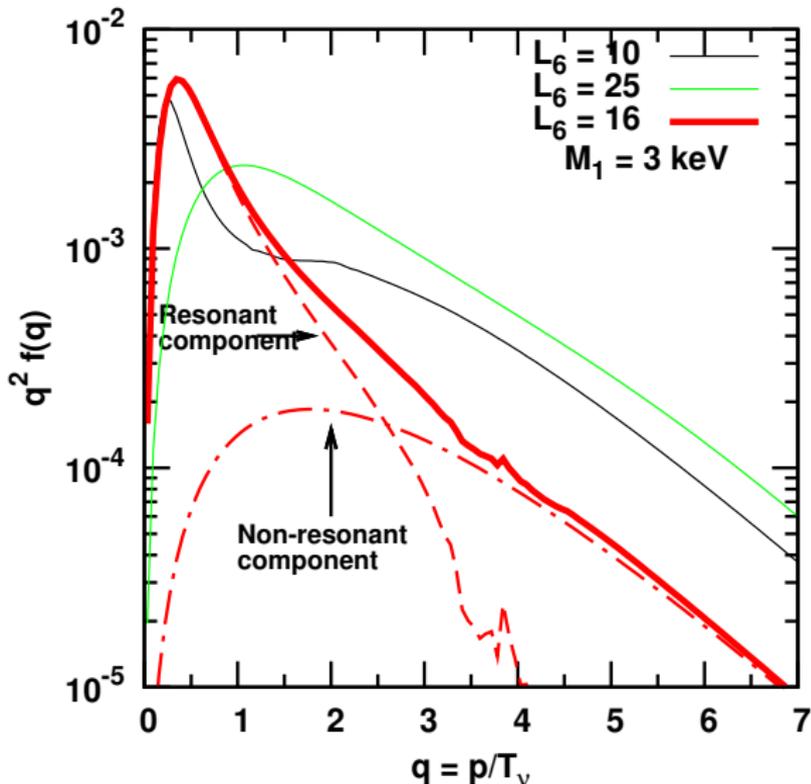
# Resonant production – can provide much colder DM

And much more of it

Early Universe is

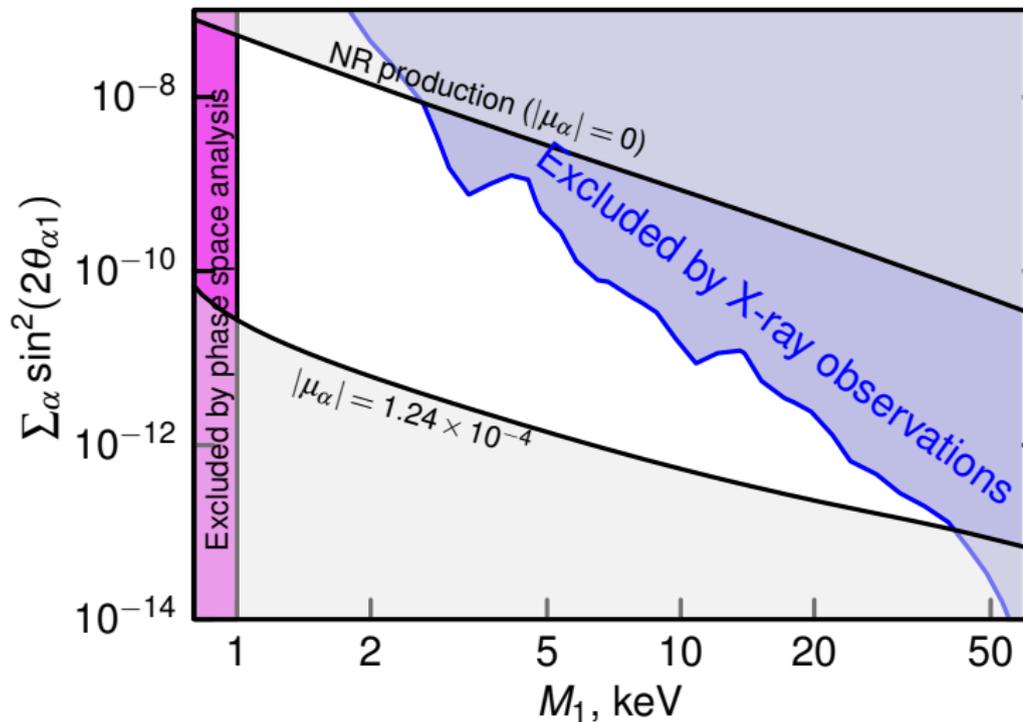
Lepton  
asymmetric

$$L = \frac{n_{\nu_e}}{n_\gamma} \neq 0$$



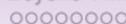
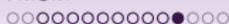


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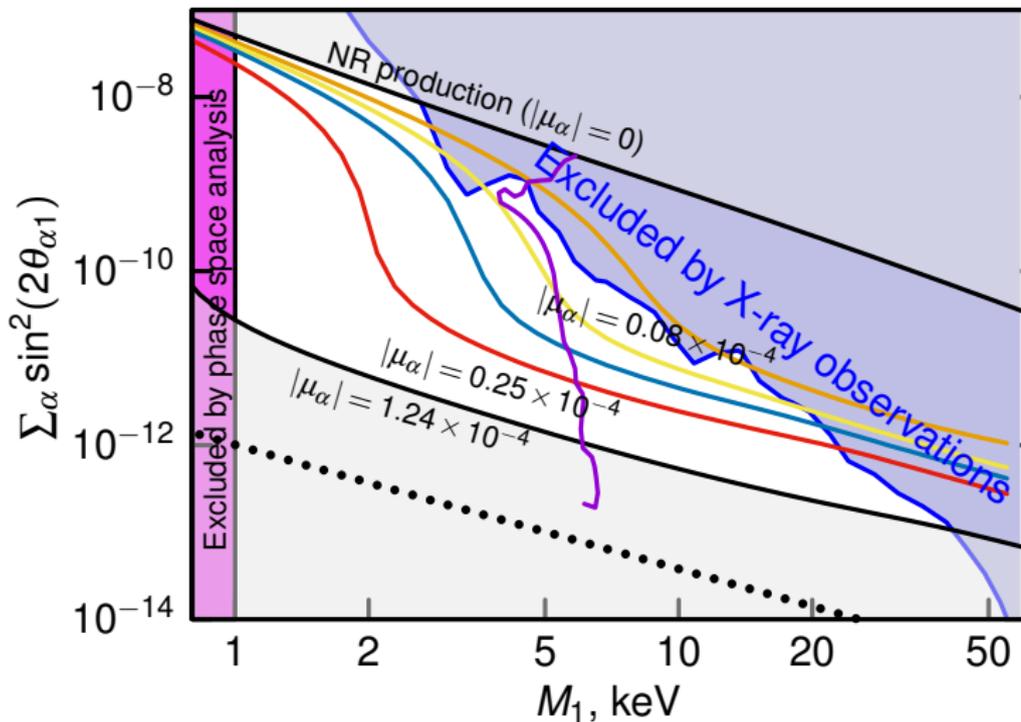


Only for “pure νMSM” – production with lepton asymmetries

[Canetti, Drewes, Shaposhnikov'13]



# Bounds for the $N_1$ – DM sterile neutrino



Only for “pure vMSM” – production with lepton asymmetries

[Canetti, Drewes, Shaposhnikov'13]

# Low $T$ and low $M$ leptogenesis

CP violation present in Yukawa matrices  $F$

non-equilibrium process are for sterile neutrino  $N_I$

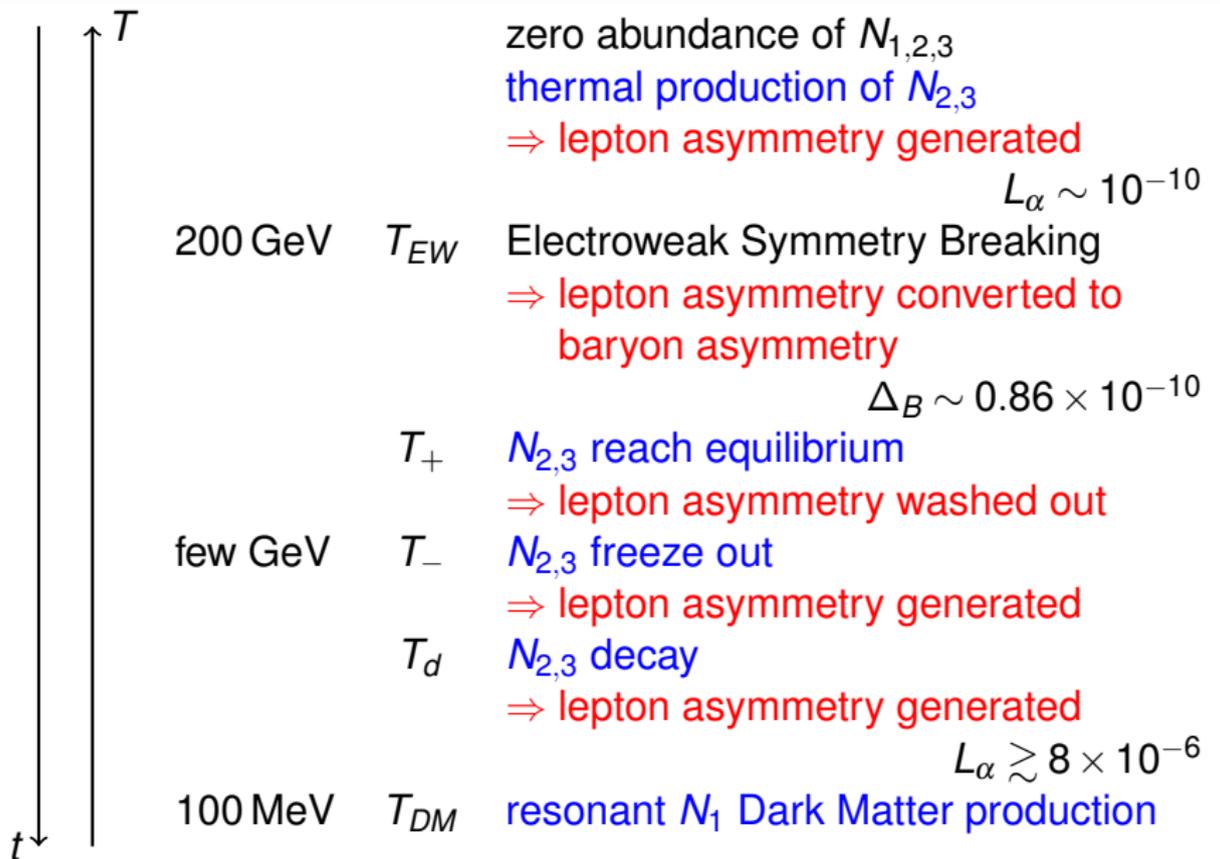
- production
- freeze-out
- decay

Note – for  $M_I/T \ll 1$  the asymmetries can be generated in active and sterile sectors with opposite signs

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[Asaka, Blanchet, Shaposhnikov'05, Canetti, Drewes, Shaposhnikov'13]

# Thermal history of the Universe



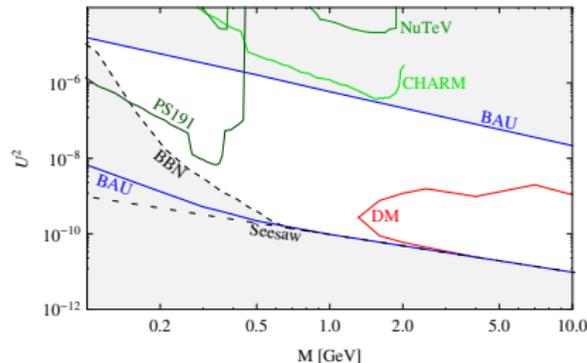
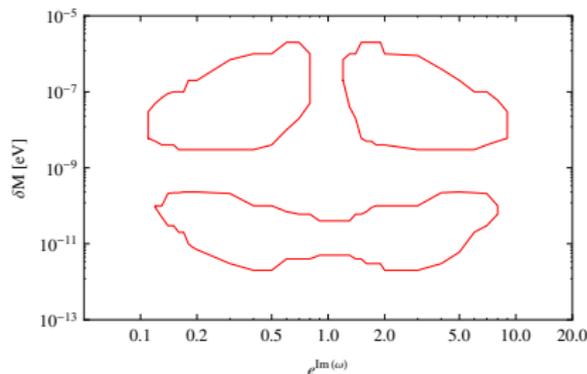
# Bounds for the $N_{2,3}$ sterile neutrinos

In order to generate enough lepton asymmetry for resonant DM production

$M_{1,2}$  very degenerate

$$\Delta M \sim \delta m_{\text{active}}$$

[Canetti, Drewes, Shaposhnikov'13]



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# More new physics

- Warning 1 – Can easily add/change DM production!
  - Can be nice
- Warning 2 – Can easily spoil/change everything!
  - Can be also nice, but be careful  
(c.f. talk by Andrea Caputo on Monday)

# Add more stuff – $\nu$ MSM + scalar

separate mechanism generates proper DM abundance of  $N_1$

## Add a scalar

$$\mathcal{L} = \mathcal{L}_{\nu\text{MSM}} + \mathcal{L}_{\text{scalar}}(X) + f_i X \bar{N}^c N$$

- $\nu$ MSM –  $N_{2,3}$  – leptogenesis
- Scalar
  - is an inflaton, decays in equilibrium  $X \rightarrow NN$  after reheating [Shaposhnikov, Tkachev'06, FB, Gorbunov'10]
  - Some scalar decaying in or out of equilibrium [Kusenko, Petraki'07]
  - Decaying scalar which may be FIMP itself [Merle, Totzauer'15]
  - New: Coherently oscillating ultralight scalar [FB, Chudaykin, Gorbunov, soon]

# DM production happens in heavy particle decays

## Scalar heavier than DM neutrino

$$M_X > 2M_1$$

- Distribution is non-thermal with

$$\langle p \rangle / T_\gamma = 2.45 \left( \frac{1}{S} \frac{3.9}{g_*(T_{\text{prod}})} \right)^{1/3}$$

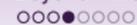
(for in equilibrium decay at  $T_{\text{prod}}$ )

Colder, than non-resonant with  $\langle p \rangle / T_\gamma = 3.15(4/11)^{1/3}$

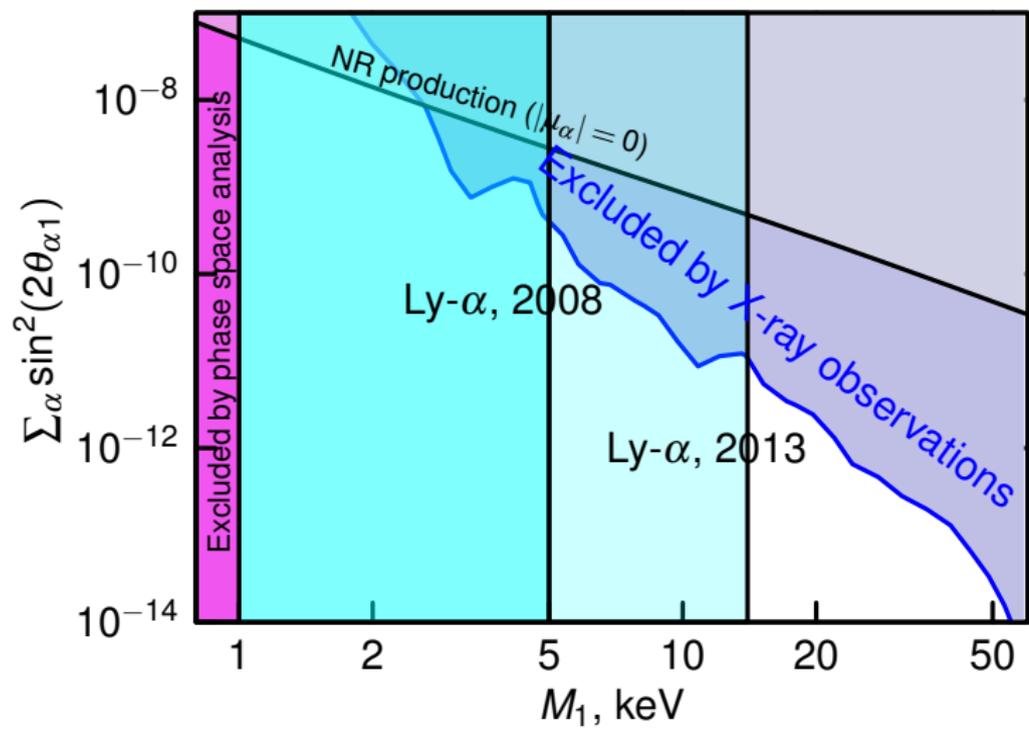
- Production abundance is controlled by scalar properties (width, mass, branching) – does **not** depend on  $\theta$

## DM neutrino mass bound from Lyman- $\alpha$

$$M_1 \gtrsim 8 \text{keV}$$



# Bounds for the $N_1$ – DM sterile neutrino



Production in decays of GeV scale scalar

# Induced active sterile resonance

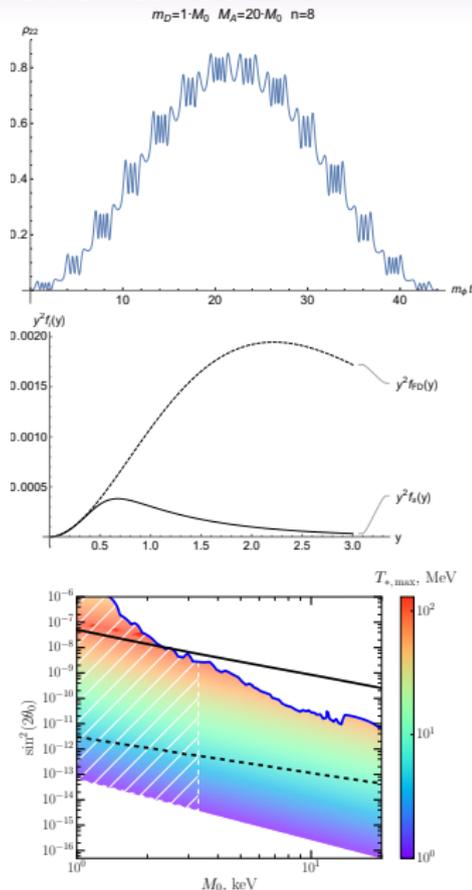
- Scalar field is light  $m_X \sim 1$  eV
- $X$  oscillates coherently
- Oscillating Majorana mass for  $M_1(t) \sim M_1 + M_A \sin(m_X t)$
- Narrow resonances for  $\nu \rightarrow N_1$  at  $\rho \simeq \frac{M_A^2}{4nm_X}$
- Effective at low temperatures only

Small average momentum  $N_1$

$$\frac{\langle \rho \rangle}{T} \sim 1.3 \left( \frac{1\text{keV}}{M_1} \right)^{2/5}$$

- Scalar field only induces the resonance

[FB, Chudaykin, Gorbunov – very soon]



## Situation 3 – a lot of new physics

### Assumptions

- There are three right-handed neutrinos  $N_1, N_2, N_3$
- At low energies they have Dirac and Majorana mass terms
- They are charged under some (non-SM) gauge group, with the (right) gauge boson mass  $M$

### Thermal history

- DM Sterile neutrinos  $N_1$  **enter thermal equilibrium**
- Their abundance later **diluted**  $S$  times by out of equilibrium decay of  $N_{2,3}$
- Leptogenesis – usual (resonant) in  $N_{2,3}$  decays.

Note: c.f. A.Caputo's talk on Monday – either very small  $g_R$

[FB, Hettmansperger, Lindner'10, Nemevsek, Senjanovic, Zhang'12]

# DM mass bounds (from observed DM structure)

Phase space distribution is now different, and corresponds to the *thermal relic case*

$$f(p) = \frac{1}{\exp\left(\frac{p}{T_v/S}\right) + 1}$$

So,  $N_1$  are now *cooled*

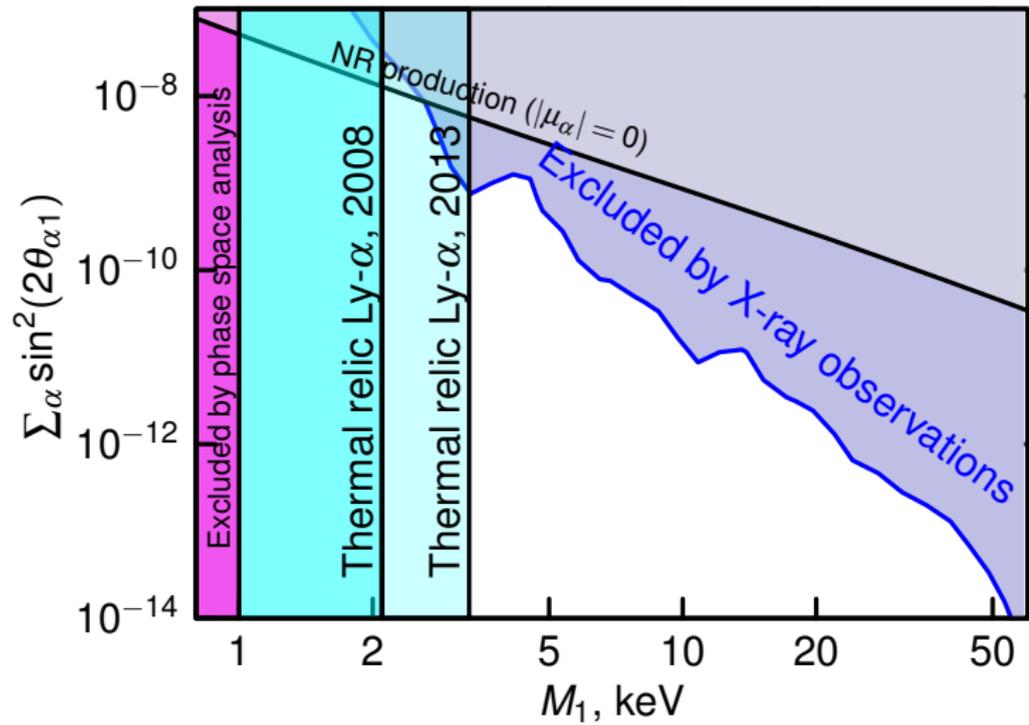
## Ly- $\alpha$ bound – structure formation

[Boyarsky, Lesgourgues, Ruchayskiy, Viel'09, Viel, Becker, Bolton, Haehnelt'13]

$$M_1 > 1.5 - 3.3 \text{ keV}$$

# Astrophysical constraints

Sterile neutrino in beyond SM gauge multiplets



For entropy diluted sterile neutrinos

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# Possibilities of (light) sterile neutrino lab search

## *Creation and detection*

Suppressed by mixing angle  $\theta^4$

## *Detection: X-ray experiments*

Sterile  $N$  in the DM clouds decay by the channel  $N \rightarrow \nu \gamma$  providing the X-ray line with  $E_\gamma = M/2$ .

Limit on  $\theta^2$  can be deduced as far as  $\Omega_{DM}$  is known

## *Creation only*

- Forbidden decays
- Decay kinematics

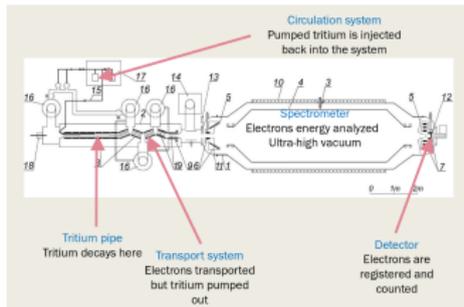
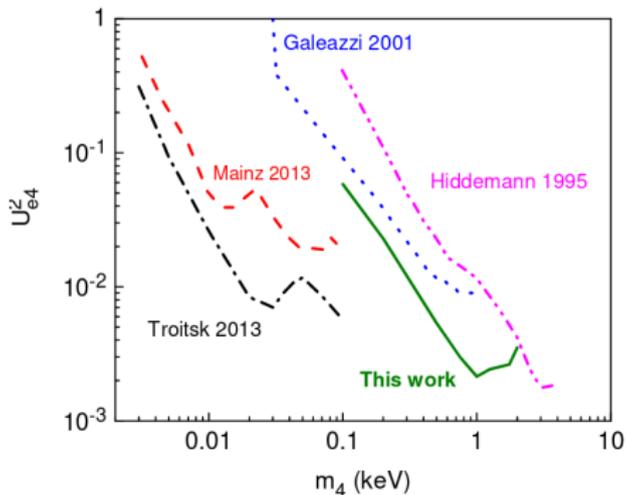
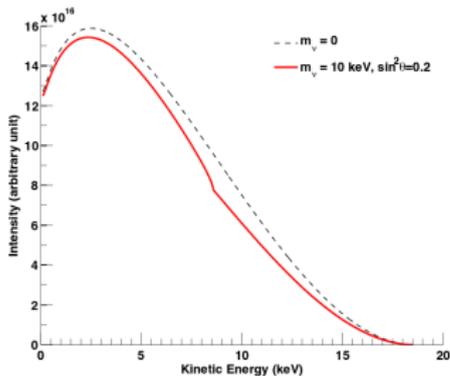
**Partial kinematics** kink search in electron beta decay spectrum.

**Full kinematics** event-by-event mass measurement!

# Troitsk – nu-mass

Recent best laboratory bounds. [arXiv:1703.10779](https://arxiv.org/abs/1703.10779)

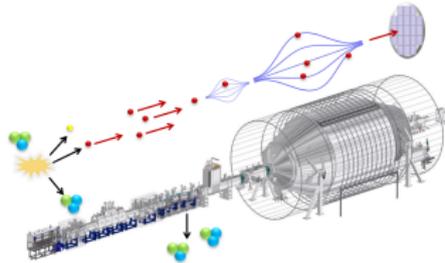
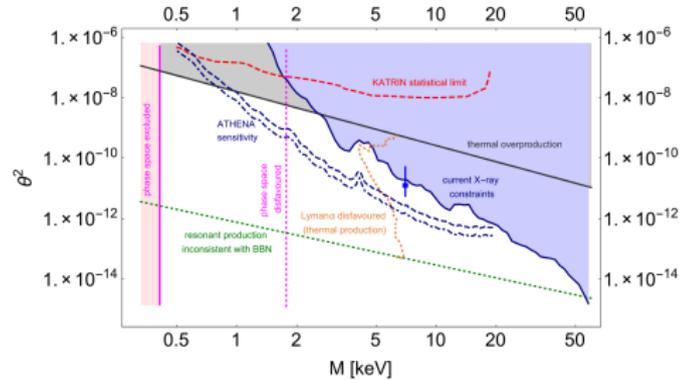
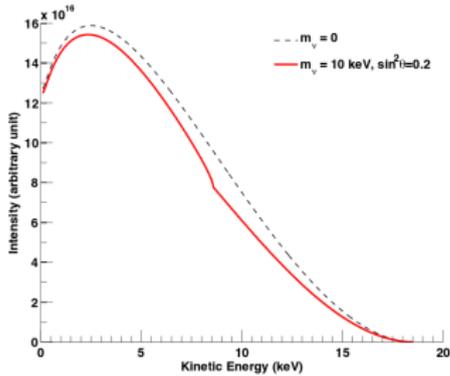
beta decay spectrum for  
10 keV  $N_1$



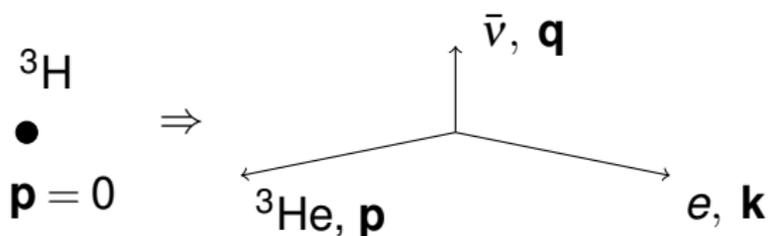
# KATRIN – TRISTAN upgrade

Promised future

beta decay spectrum  
width  $N_1$



# Beta decay – Full kinematic reconstruction



Neutrino mass is reconstructed from observed momenta **in each event**

$$m_{\nu}^2 = (Q - E_p^{\text{kin}} - E_e^{\text{kin}})^2 - (\mathbf{p} + \mathbf{k})^2$$

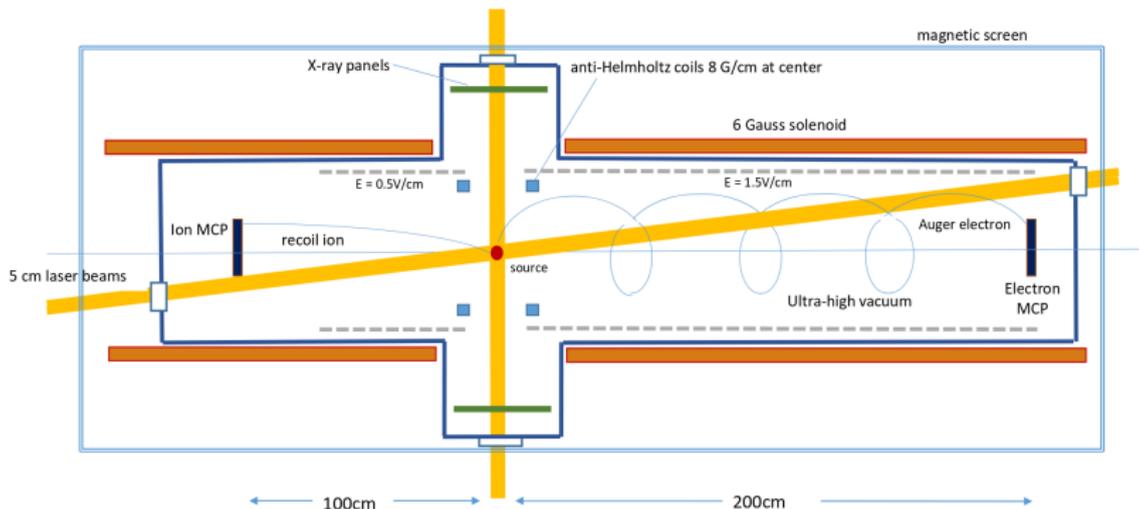
For  ${}^3\text{H}$ :  $Q = 18.591\text{keV}$

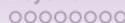
- Typical ion energy  $E_p^{\text{kin}} \sim 1\text{ eV}$  or  $|\mathbf{p}| \sim 100\text{keV}$
- Typical electron energy  $E_e^{\text{kin}} \sim 10\text{keV}$

# HUNTER experiment

HUNTER experiment (**H**eavy **U**nseen **N**eutrinos by **T**otal **E**nergy-momentum **R**econstruction)

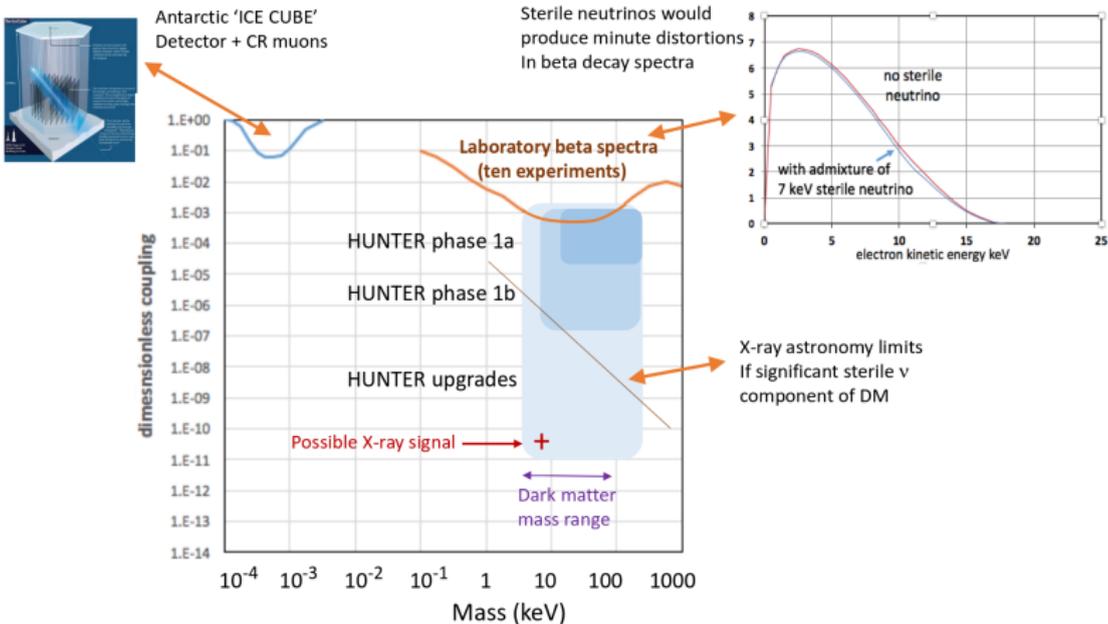
Phase 1 (proof of principle) funded by Keck Foundation





# HUNTER experiment

Existing limits and future coverage of HUNTER experiment



# Conclusions

- keV scale sterile neutrino is a great DM candidate!
- Experiment:
  - X-rays – already seen?  
waiting for further experiments
  - Laboratory – hard...  
but there are attempts!
- Theory:
  - What is the best way to make them cool?

# Line follows the Dark Matter halo profiles

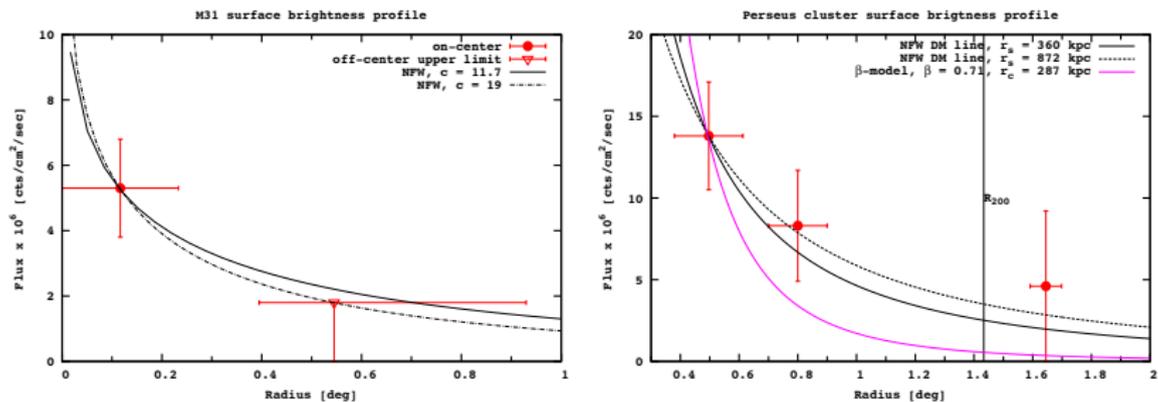


FIG. 2: The line's brightness profile in M31 (left) and the Perseus cluster (right). An NFW DM distribution is assumed, the scale  $r_s$  is fixed to its best-fit values from [22] (M31) or [23] (Perseus) and the overall normalization is adjusted to pass through the left-most point.

# No line seen from the blanc sky

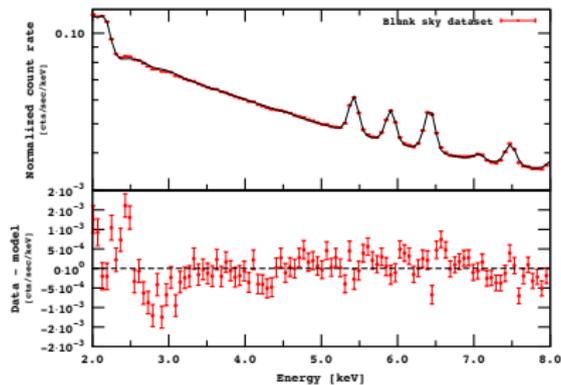


FIG. 3: Blank sky spectrum and residuals.

## Constraints summary

### X/ $\gamma$ -ray

$$\theta_1^2 \lesssim 1.8 \times 10^{-5} \left( \frac{1\text{keV}}{M_1} \right)^5$$

$$\zeta^2 \lesssim 10^{-18} \dots (\text{keV}/M_1)^3$$

### Ly- $\alpha$ bound

$$M_1 > 1.5 - 3.3\text{keV}$$

$$\Omega_{N_1} = \Omega_{DM} \text{ if}$$

$$\Gamma_2 \simeq 0.50 \times 10^{-6}$$

$$\bar{g}_*^{1/2} \frac{M_2^2}{M_{Pl}} \left( \frac{1\text{keV}}{M_1} \right)^2$$

### BBN $\tau_2 > 0.1 \div 2 \text{sec}$

$$\left( \frac{M_1}{1\text{keV}} \right)^{M_2} > (1.7 \div 10) \text{ GeV}$$

The entropy is effectively generated if the right-handed gauge scale is

$$M > g_{*f}^{-1/8} \left( \frac{M_2}{1 \text{ GeV}} \right)^{3/4} (10 \div 16) \text{ TeV}$$



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