

# CP Violation caused by another symmetry

Andreas Trautner

based on:

NPB883 (2014) 267-305  
NPB894 (2015) 136-160  
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arXiv

1402.0507  
1502.01829  
1612.08984  
1808.07060

w/ M.-C. Chen, M. Fallbacher, K.T. Mahanthappa and M. Ratz  
w/ M. Fallbacher  
w/ M. Ratz  
w/ H.P. Nilles, M. Ratz., P. Vaudrevange

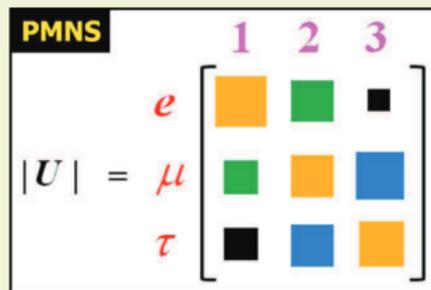
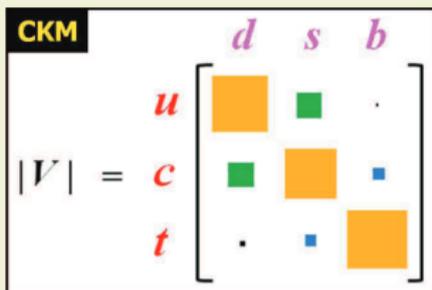
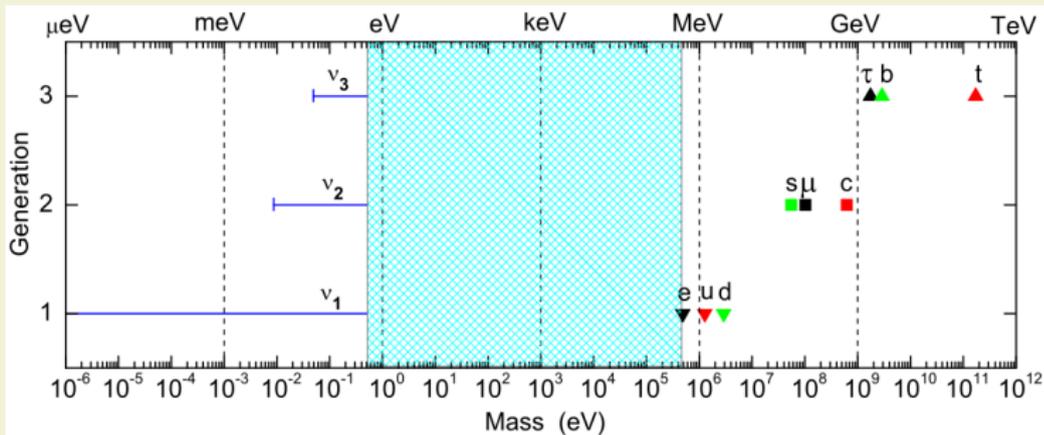
Invisibles18 Workshop  
Karlsruhe

7.9.18



# Motivation

- Standard Model flavor puzzle. Observed patterns:

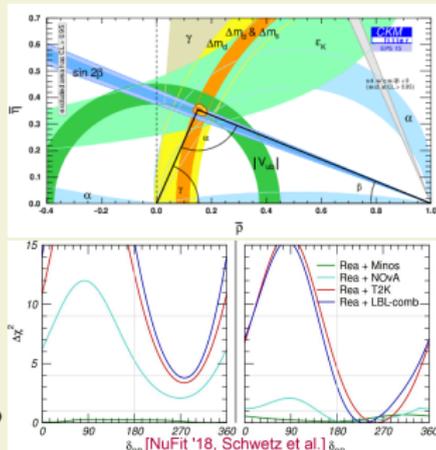


[Xing '14]

# Motivation

- Standard Model flavor puzzle.  
4x 3 masses, 2x 3 angles, **2x 1 CP violating phase(+2)**.
- Origin of CP violation?

- **CP violation** established in quark sector, consistent with SM (CKM). ✓
- open question: **CP violation** in lepton sector ?
- open question: Why  $\bar{\theta} = (\theta + \arg \det y_u y_d) < 10^{-10}$  ?  
Why CPV *only* in FV processes?



- Flavor and CP are intertwined.

↪ *The theory of flavor should also be a theory of CPV.*

**Goal:** Understand origin of CPV  $\Rightarrow$  hints for origin of flavor.

# Outline

- Standard Model CP: a special outer automorphism
- What is an outer automorphism?
- CP violation as consequence of certain symmetries
- Example (toy-)model:  $SU(3) \rightarrow T_7$  with CPV and  $\bar{\theta} = 0$
- Conclusion

# Physical CP transformations

*Physical* observable: Asymmetry  $\Leftrightarrow$  Basis-invariants, e.g.  $J$ .

$$\varepsilon_{i \rightarrow f} = \frac{|\Gamma(i \rightarrow f)|^2 - |\Gamma(\bar{i} \rightarrow \bar{f})|^2}{|\Gamma(i \rightarrow f)|^2 + |\Gamma(\bar{i} \rightarrow \bar{f})|^2} \Leftrightarrow J = \det [M_u M_u^\dagger, M_d M_d^\dagger]$$

CP conservation:  $\varepsilon, J \stackrel{!}{=} 0$ .

see also [Bernabéu, Branco, Gronau '86], [Botella, Silva '94]

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To warrant this: **need** a map  $M_{u/d} \rightarrow M_{u/d}^*$ .

Equivalently:

$$\mathcal{L} \supset c \mathcal{O}(x) + c^* \mathcal{O}^\dagger(x) \quad \Rightarrow \quad \text{Fields} \xrightarrow{\mathcal{CP}} (\text{Fields})^*$$


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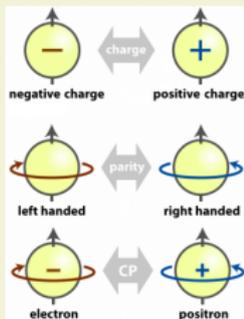
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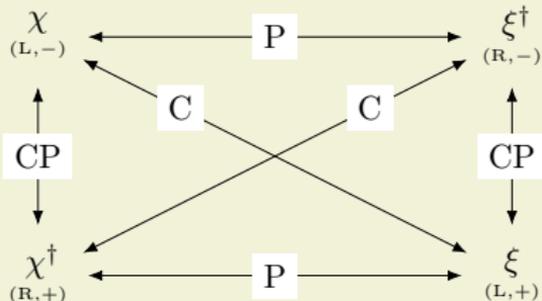
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$\mathcal{CP}$

$$\Psi_{\text{Dirac}} = \begin{pmatrix} \chi_L \\ \xi_R^\dagger \end{pmatrix}$$



# CP transformation in the Standard Model

In the Standard Model

$$SU(3) \otimes SU(2) \otimes U(1) \quad \text{and} \quad SO(3, 1) ,$$

physical CP is described by a *simultaneous outer automorphism* transformation of all symmetries which maps

$$\mathbf{r}_i \longleftrightarrow \mathbf{r}_i^* , \\ \left( \text{e.g. } (\mathbf{3}, \mathbf{2})_{1/6}^L \longleftrightarrow (\bar{\mathbf{3}}, \mathbf{2})_{-1/6}^R \right) ,$$

for *all* representations of *all* symmetries.

[Grimus, Rebelo '95]  
[Buchbinder et al. '01]  
[AT '16]

**Conservation** of such a transformation warrants  $\bar{\theta}, \delta_{\text{CP}} = 0$ .

**Violation** of such a transformation is implied by experiment, and necessary requirement for baryogenesis.

[Sakharov '67]

However: Why  $\delta_{\text{CKM}} \sim \mathcal{O}(1)$  while  $\bar{\theta}_{\text{exp}} < 10^{-10}$  ?

# What is an outer automorphism?

Example:  $\mathbb{Z}_3$  symmetry, generated by  $a^3 = \text{id}$ .

- All elements of  $\mathbb{Z}_3 : \{\text{id}, a, a^2\}$ .
- **Outer automorphism** group (“Out”) of  $\mathbb{Z}_3$ : generated by

$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

$\mathbb{Z}_3$	id	a	$a^2$
1	1	1	1
1'	1	$\omega$	$\omega^2$
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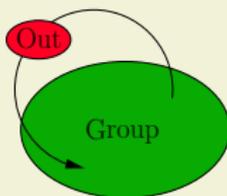
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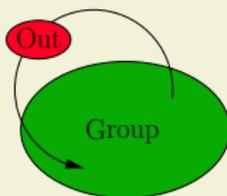
$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

Abstract: **Out** is a reshuffling of symmetry elements.

In words: **Out** is a “**symmetry of the symmetry**”.

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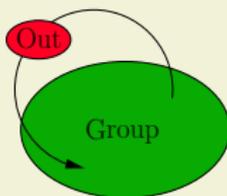
Concrete: **Out** is a 1:1 mapping of representations  $r \mapsto r'$ .

Comes with a transformation matrix  $U$ , which is given by

$$U \rho_{r'}(g) U^{-1} = \rho_r(u(g)), \quad \forall g \in G.$$

(consistency condition)

- $\rho_r(g)$ : representation matrix for group element  $g \in G$
- $u : g \mapsto u(g)$ : **outer automorphism**



[Fallbacher, AT, '15]  
[Holthausen, Lindner, Schmidt, '13]

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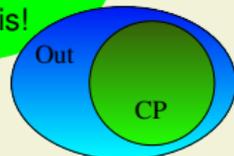
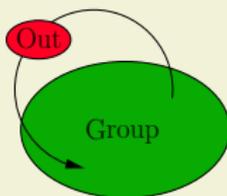
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Physical CP trafo  
 $r \mapsto r' = r^*$   
 is a special case of this!



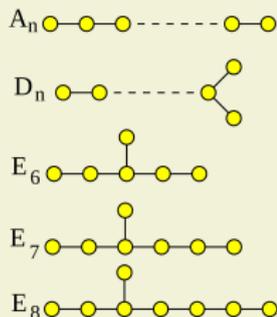
# Outer automorphisms of groups

Outer automorphisms exist for continuous & discrete groups.

There are easy ways to depict this:

## Continuous groups:

Outer automorphisms of a simple Lie algebra are the symmetries of the corresponding Dynkin diagram.



	Lie Group	Out	Action on reps
$A_{n>1}$	$SU(N)$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
$D_{n>4}$	$SO(2N)$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
$E_6$	$E_6$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
$D_{n=4}$	$SO(8)$	$S_3$	$\mathbf{r}_i \rightarrow \mathbf{r}_j$
all others		/	/

# Outer automorphisms of groups

## Discrete groups:

Outer automorphisms of a discrete group are symmetries of the character table (not 1:1).

		$\curvearrowright$	$\curvearrowright$	$\xrightarrow{\mathbb{Z}_2}$	
$T_7$	$C_{1a}$	$C_{3a}$	$C_{3b}$	$C_{7a}$	$C_{7b}$
$1_0$	1	1	1	1	1
$1_1$	1	$\omega$	$\omega^2$	1	1
$\bar{1}_1$	1	$\omega^2$	$\omega$	1	1
$3_1$	3	0	0	$\eta$	$\eta^*$
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		$\xleftarrow{s}$	$\xrightarrow{s}$		$\xleftarrow{s}$	$\xrightarrow{s}$	$\xleftarrow{s}$	$\xrightarrow{s}$		
$\Delta(54)$	$C_{1a}$	$C_{3a}$	$C_{3b}$	$C_{3c}$	$C_{3d}$	$C_{2a}$	$C_{6a}$	$C_{6b}$	$C_{3e}$	$C_{3f}$
$1_0$	1	1	1	1	1	1	1	1	1	1
$1_1$	1	1	1	1	1	-1	-1	-1	1	1
$2_1$	2	2	-1	-1	-1	0	0	0	2	2
$2_2$	2	-1	2	-1	-1	0	0	0	2	2
$2_3$	2	-1	-1	2	-1	0	0	0	2	2
$2_4$	2	-1	-1	-1	2	0	0	0	2	2
$3_1$	3	0	0	0	0	1	$\omega^2$	$\omega$	$3\omega$	$3\omega^2$
$\bar{3}_1$	3	0	0	0	0	1	$\omega$	$\omega^2$	$3\omega^2$	$3\omega$
$3_2$	3	0	0	0	0	-1	$-\omega^2$	$-\omega$	$3\omega$	$3\omega^2$
$\bar{3}_2$	3	0	0	0	0	-1	$-\omega$	$-\omega^2$	$3\omega^2$	$3\omega$

The outer automorphisms group of any (“small”) discrete group can easily be found with GAP [GAP].

Group	Out	Action on reps
$\mathbb{Z}_3$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
$A_{n \neq 6}$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
$S_{n \neq 6}$	/	/
$\Delta(27)$	$GL(2, 3)$	$\mathbf{r}_i \rightarrow \mathbf{r}_j$
$\Delta(54)$	$S_4$	$\mathbf{r}_i \rightarrow \mathbf{r}_j$

...

# Not this talk

Outer automorphisms by themselves have interesting features:

- Allow to understand origin of “geometrical T violation”.  
[Branco, Gerard, Grimus, '83], [Fallbacher, AT, '15]
- Deep connection to RGE flow of theories.
- Very useful tool to compute stationary points of potentials.  
[Fallbacher, AT, '15]
- Systematic origin of emergent symmetries.  
[AT '16]

# Physical CP transformation

We *extrapolate* from the SM to possible symmetries in BSM.

⇒ “Definition” of CP in words:

CP is a special **outer automorphism** transformation which maps *all present* symmetry representations (global, local, space-time) to their complex conjugates.

[AT '16]

This definition is consistent with the definitions in [Buchbinder et al. '01] & [Grimus, Rebelo '95]

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Any such transformation:

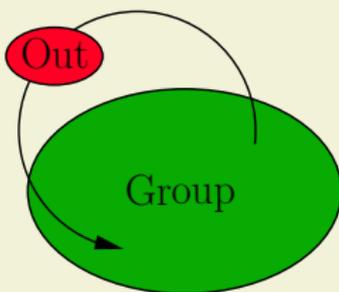
- warrants *physical* CP conservation (if conserved),  
⇒ must be broken (by observation).

Note that a physical CP transformation:

- does not have to be unique,
- does not have to be of order 2,
- is, in general, not guaranteed to exist for a given symmetry group. (It *does* exist for  $G_{\text{SM}}$ !)

[Ecker, Grimus, Neufeld '87], [Weinberg '05]  
[Chen, Fallbacher, Mahanthappa, Ratz, AT '14]  
[Ivanov, Silva '15], [Ferreira et al. '17]

## Two types of groups (without mathematical rigor)



List of representations:  $r_1, r_2, \dots, r_k, r_k^*, \dots$

Out in general :  $r_i \mapsto r_j \quad \forall \text{ irreps } i, j \quad (1 : 1)$

Criterion:

Is there an (outer) automorphism transformation that maps

$$r_i \mapsto r_i^* \quad \text{for all irreps } i ?$$

**No**

$\Rightarrow$  Group of **"type I"**

**Yes**

$\Rightarrow$  Group of **"type II"**

This tells us whether a CP transformation is possible, or not!

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**For example:** Discrete groups of **type I**:

<b><math>G</math></b>	$\mathbb{Z}_5 \rtimes \mathbb{Z}_4$	$T_7$	$\Delta(27)$	$\mathbb{Z}_9 \rtimes \mathbb{Z}_3$	$\dots$
SG id	(20, 3)	(21, 1)	(27, 3)	(27, 4)	

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- These are **inconsistent** with the trafo  $r_i \mapsto r_i^* \forall i$ .

⇒ CP transformation is inconsistent with a type I symmetry.  
(assuming sufficient # of irreps are in the model)

There are models in which CP is violated  
*as a consequence* of another symmetry.

[Chen, Fallbacher, Mahanthappa, Ratz, AT '14]

The corresponding CPV phases are calculable and quantized (e.g.  $\delta_{CP} = 2\pi/3, \dots$ ) stemming from the necessarily complex Clebsch-Gordan coefficients of the “type I” group. This has been termed “explicit geometrical” CP violation.

[Chen, Fallbacher, Mahanthappa, Ratz, AT '14]  
[Branco, '15], [de Medeiros Varzielas, '15]

# Do CP transformations exist for all symmetries?

On the contrary:

**Semi-simple Lie groups** are all of type II.

- There always exists an (outer) automorphism transformation that maps all  $r \mapsto r^*$  simultaneously.

[Grimus, Rebelo '95]

⇒ CP can only be violated (explicitly) if the number of rephasing degrees of freedom is less than the number of complex parameters.

cf. e.g. [Haber, Surujon '12]

This is the case in the Standard Model.



This just parametrizes CPV, there is no way to predict  $\delta_{CP}$ .

Aside: There are models with higher-order CP transformations which allow for complex couplings, yet conserve CP (groups of type II B).

[Chang, Mohapatra '01], [Chen, Fallbacher, Mahanthappa, Ratz, AT '14], [Ivanov, Silva '15]

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  - ✗ no type I subgroups of the Lorentzgroup.  
(Open question: Type I “spacetime crystals”? [Wilczek '12]).
  - ✓ In  $\geq 4D$ : crystals with type I point groups  
[Fischer, Ratz, Torrado and Vaudrevange '12]

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- Discrete flavor symmetries?

- Many models with type I groups:

$$T_7, \Delta(27), \Delta(54), \mathcal{PSL}_2(7), \dots$$

e.g. [Björkeröth, Branco, Ding, de Anda, Ishimori, King, Medeiros Varzielas, Neder, Stuart et al. '15-'18]  
[Chen, Pérez, Ramond '14], [Krishnan, Harrison, Scott '18]

- These can originate from extra dimensions, e.g. in string theory.

[Kobayashi et al. '06], [Nilles, Ratz, Vaudrevange '12]

- Semi-realistic heterotic orbifold model with  $\Delta(54)$  flavor symmetry and geometrical CP violation.

[Nilles, Ratz, AT, Vaudrevange '18]

# Example toy model:

CP violation with an unbroken CP transformation

## An interesting observation

Observation:

Type I groups can arise as subgroups of type II groups.

For example: small finite subgroups of simple Lie groups.

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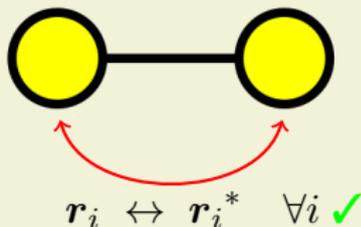
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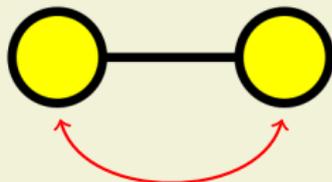
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$$\mathbf{r}_i \leftrightarrow \mathbf{r}_i^* \quad \forall i \quad \checkmark$$

$$\text{Out}(\text{T}_7) \cong \mathbb{Z}_2$$

$\text{T}_7$	$C_{1a}$	$C_{3a}$	$C_{3b}$	$C_{7a}$	$C_{7b}$
$\mathbf{1}_0$	1	1	1	1	1
$\mathbf{1}_1$	1	$\omega$	$\omega^2$	1	1
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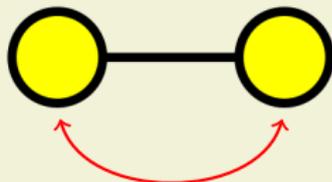
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Note:  $\text{Out}(\mathfrak{su}(3))$  acts on the  $T_7 \subset SU(3)$  subgroup as  $\text{Out}(T_7)$ !

# Toy model overview

Facts:

- $SU(3)$  is **consistent** with a physical CP transformation.
- The  $T_7$  subgroup of  $SU(3)$  is **inconsistent** with a physical CP transformation.

Question: How is CP violated in a breaking  $SU(3) \rightarrow T_7$ ?

# Toy model overview

Facts:

- $SU(3)$  is **consistent** with a physical CP transformation.
- The  $T_7$  subgroup of  $SU(3)$  is **inconsistent** with a physical CP transformation.

Question: How is CP violated in a breaking  $SU(3) \rightarrow T_7$ ?

Toy model: gauged  $SU(3)$  + complex scalar  $SU(3)$  15-plet  $\phi$ . [Ratz, AT '16]

$$\mathcal{L} = (D_\mu \phi)^\dagger (D^\mu \phi) - \frac{1}{4} G_{\mu\nu}^a G^{\mu\nu,a} - V(\phi),$$

$$V(\phi) = -\mu^2 \phi^\dagger \phi + \sum_{i=1}^5 \lambda_i \mathcal{I}_i^{(4)}(\phi). \quad \text{with } \lambda_i \in \mathbb{R}$$

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- VEV of the 15-plet  $\langle \phi \rangle$  breaks  $SU(3) \rightarrow T_7$ . [Luhn, '11], [Merle, Zwicky '11]
- $\text{Out}(\mathfrak{su}(3)) \cong \mathbb{Z}_2 \rightarrow \text{Out}(T_7) \cong \mathbb{Z}_2$ ; **Out unbroken** by VEV.

$$SU(3) \rtimes \mathbb{Z}_2 \xrightarrow{\langle \phi \rangle} T_7 \rtimes \mathbb{Z}_2; .$$

# CP violation in $SU(3) \rightarrow T_7$ toy model

[Ratz, AT '16]

Name	$SU(3)$	$\langle \phi \rangle$	Name	$T_7$	mass
$A_\mu$	<b>8</b>		$Z_\mu$	<b>1<sub>1</sub></b>	$m_Z^2 = 7/3 g^2 v^2$
			$W_\mu$	<b>3</b>	$m_W^2 = g^2 v^2$
$\phi$	<b>15</b>		$\text{Re } \sigma_0$	<b>1<sub>0</sub></b>	$m_{\text{Re } \sigma_0}^2 = 2 \mu^2$
			$\text{Im } \sigma_0$	<b>1<sub>0</sub></b>	$m_{\text{Im } \sigma_0}^2 = 0$
			$\sigma_1$	<b>1<sub>1</sub></b>	$m_{\sigma_1}^2 = -\mu^2 + \sqrt{15} \lambda_5 v^2$
			$\tau_1$	<b>3</b>	$m_{\tau_1}^2 = m_{\tau_1}^2(\mu, \lambda_i)$
			$\tau_2$	<b>3</b>	$m_{\tau_2}^2 = m_{\tau_2}^2(\mu, \lambda_i)$
			$\tau_3$	<b>3</b>	$m_{\tau_3}^2 = m_{\tau_3}^2(\mu, \lambda_i)$

# CP violation in SU(3) $\rightarrow$ T<sub>7</sub> toy model

[Ratz, AT '16]

Name	SU(3)	$\langle\phi\rangle$	Name	T <sub>7</sub>	mass
$A_\mu$	<b>8</b>		$Z_\mu$	<b>1<sub>1</sub></b>	$m_Z^2 = 7/3 g^2 v^2$
			$W_\mu$	<b>3</b>	$m_W^2 = g^2 v^2$
$\phi$	<b>15</b>		Re $\sigma_0$	<b>1<sub>0</sub></b>	$m_{\text{Re } \sigma_0}^2 = 2 \mu^2$
			Im $\sigma_0$	<b>1<sub>0</sub></b>	$m_{\text{Im } \sigma_0}^2 = 0$
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The action is invariant under the  $\mathbb{Z}_2$  – Out transformation:

SU(3)	T <sub>7</sub>
$A_\mu^a(x) \mapsto R^{ab} \mathcal{P}_\mu^\nu A_\nu^b(\mathcal{P}x),$	$W_\mu(x) \mapsto \mathcal{P}_\mu^\nu W_\nu^*(\mathcal{P}x),$
$\phi_i(x) \mapsto U_{ij} \phi_j^*(\mathcal{P}x).$	$\sigma_0(x) \mapsto \sigma_0(\mathcal{P}x),$
	$\tau_i(x) \mapsto \tau_i^*(\mathcal{P}x),$
	$Z_\mu(x) \mapsto -\mathcal{P}_\mu^\nu Z_\nu(\mathcal{P}x),$
	$\sigma_1(x) \mapsto \sigma_1(\mathcal{P}x).$
physical CP $\checkmark$	physical CP $\times$

# CP violation in $SU(3) \rightarrow T_7$ toy model

- The VEV does **not** break the CP transformation,  $U\langle\phi\rangle^* = \langle\phi\rangle$ .
- However, at the level of  $T_7$ , the  $SU(3)$ -CP transformation merges to  $\text{Out}(T_7)$ :

$$\begin{array}{l} \mathbb{Z}_2 - \text{Out} : \\ \mathbf{15} \rightarrow \mathbf{1}_0 \oplus \mathbf{1}_1 \oplus \bar{\mathbf{1}}_1 \oplus \mathbf{3} \oplus \mathbf{3} \oplus \bar{\mathbf{3}} \oplus \bar{\mathbf{3}} \\ \downarrow \\ \bar{\mathbf{15}} \rightarrow \mathbf{1}_0 \oplus \bar{\mathbf{1}}_1 \oplus \mathbf{1}_1 \oplus \bar{\mathbf{3}} \oplus \bar{\mathbf{3}} \oplus \mathbf{3} \oplus \mathbf{3} \end{array}$$

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- ⇒ The  $\mathbb{Z}_2$ -Out is conserved at the level of  $T_7$ , but it is **not** interpreted as a physical CP trafo,

$$SU(3) \times \mathbb{Z}_2^{(\text{CP})} \xrightarrow{\langle\phi\rangle} T_7 \times \mathbb{Z}_2^{\text{IGP}}.$$

- There is no other possible allowed CP transformation at the level of  $T_7$  (type I).
- Imposing a transformation  $r_{T_7,i} \leftrightarrow r_{T_7,i}^*$  enforces decoupling,  $g = \lambda_i = 0$ .

# CP violation in $SU(3) \rightarrow T_7$ toy model

Explicit crosscheck: compute decay asymmetry.

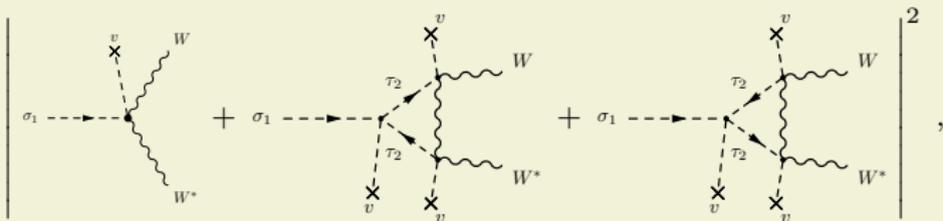
$$\varepsilon_{\sigma_1 \rightarrow W W^*} := \frac{|\mathcal{M}(\sigma_1 \rightarrow W W^*)|^2 - |\mathcal{M}(\sigma_1^* \rightarrow W W^*)|^2}{|\mathcal{M}(\sigma_1 \rightarrow W W^*)|^2 + |\mathcal{M}(\sigma_1^* \rightarrow W W^*)|^2}.$$

# CP violation in $SU(3) \rightarrow T_7$ toy model

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Contribution to  $\varepsilon_{\sigma_1 \rightarrow W W^*}$  from interference terms, e.g.



corresponding to non-vanishing CP-odd basis invariants

$$\mathcal{I}_1 = \left[ Y_{\sigma_1 W W^*}^\dagger \right]_{kl} \left[ Y_{\sigma_1 \tau_2 \tau_2^*} \right]_{ij} \left[ Y_{\tau_2^* W W^*} \right]_{imk} \left[ \left( Y_{\tau_2^* W W^*} \right)^* \right]_{jml},$$

$$\mathcal{I}_2 = \left[ Y_{\sigma_1 W W^*}^\dagger \right]_{kl} \left[ Y_{\sigma_1 \tau_2 \tau_2^*} \right]_{ij} \left[ Y_{\tau_2^* W W^*} \right]_{ilm} \left[ \left( Y_{\tau_2^* W W^*} \right)^* \right]_{jkm}.$$

- ✓ Contribution to  $\varepsilon_{\sigma_1 \rightarrow W W^*}$  is proportional to  $\text{Im } \mathcal{I}_{1,2} \neq 0$ .
- ✓ All CP odd phases are geometrical,  $\mathcal{I}_1 = e^{2\pi i/3} \mathcal{I}_2$ .
- ✓  $(\varepsilon_{\sigma_1 \rightarrow W W^*}) \rightarrow 0$  for  $v \rightarrow 0$ , i.e. CP is restored in limit of vanishing VEV.

# Natural protection of $\theta = 0$

Topological vacuum term of the gauge group

$$\mathcal{L}_\theta = \theta \frac{g^2}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} ,$$

is forbidden by  $\mathbb{Z}_2 - \text{Out}$  (the SU(3)-CP transformation).

The unbroken  $\text{Out}$

$$\mathbb{Z}_2 - \text{Out} : W_\mu(x) \mapsto \mathcal{P}_\mu^\nu W_\nu^*(\mathcal{P}x) , \quad Z_\mu(x) \mapsto -\mathcal{P}_\mu^\nu Z_\nu(\mathcal{P}x) ,$$

**still** enforces  $\theta = 0$  even though CP is violated for the physical  $T_7$  states.

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Physical scalars ( $T_7$  singlets and triplets):

$$\begin{aligned} \text{Re } \sigma_0 &= \frac{1}{\sqrt{2}} (\phi_1 + \phi_1^*) , & \text{Im } \sigma_0 &= -\frac{i}{\sqrt{2}} (\phi_1 - \phi_1^*) , \\ \sigma_1 &= \phi_2 , \end{aligned}$$

$$\begin{pmatrix} \tau_1 \\ \tau_2 \\ \tau_3 \end{pmatrix} = \begin{pmatrix} V_{11} & V_{12} & V_{13} \\ V_{21} & V_{22} & V_{23} \\ V_{31} & V_{32} & V_{33} \end{pmatrix} \begin{pmatrix} T_2 \\ \bar{T}_3^* \\ T_1 \end{pmatrix} .$$

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Possible application to strong CP problem?

- Starting point: CP conserving theory based on

$$[G_{\text{SM}} \times G_{\text{F}}] \rtimes \text{CP} .$$

- break  $G_{\text{F}} \rtimes \text{CP} \longrightarrow \text{Type I} \rtimes \text{Out}$ .
- ↪ CP broken in flavor sector but not in strong interactions.
- Main problem: finding realistic model based on Type I group allowing for outer automorphism.

# Summary

- Outer automorphisms are **symmetries of symmetries** (→ think of them as mappings among the irreps).
- CP is a special **outer automorphism** which maps *all* present representations to their complex conjugate.
- There are “**type I**” groups, they are inconsistent with CP transformations.  
⇒ CPV (explicit/spontaneous) with quantized phases.
- Example for appearance of **type I** symmetries: potentially realistic heterotic orbifold string theories.  
[Nilles, Ratz, AT, Vaudrevange '18]
- Explicit toy model: **type I** as subgroup of **type II** group

$$\text{gauged } \text{SU}(3) \xrightarrow{\langle 15 \rangle} \text{T}_7 \quad \text{with weak CPV but } \theta_{\text{SU}(3)} = 0.$$



# Thank You

# Backup slides

# CP as a special outer automorphism

One generation of (chiral) fermion fields with gauge symmetry  $[T_a, T_b] = if_{abc} T_c$

$$\mathcal{L} = i\bar{\Psi}\gamma^\mu(\partial_\mu - igT_a W_\mu^a)\Psi - \frac{1}{4}G_{\mu\nu}^a G^{\mu\nu,a}.$$

The most general possible CP transformation:

$$\begin{aligned}W_\mu^a(x) &\mapsto R^{ab} \mathcal{P}_\mu^\nu W_\nu^b(\mathcal{P}x), \\ \Psi_\alpha^i(x) &\mapsto \eta_{\text{CP}} U^{ij} C_{\alpha\beta} \Psi_\beta^{*j}(\mathcal{P}x).\end{aligned}$$

[Grimus, Rebelo,'95]

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[Grimus, Rebelo,'95]

For this to be a conserved symmetry of the *action*, require:

$$\begin{aligned} \text{(i)} \quad & R_{aa'} R_{bb'} f_{a'b'c} = f_{abc'} R_{c'c} , \\ \text{(ii)} \quad & U (-T_a^T) U^{-1} = R_{ab} T_b , \\ \text{(iii)} \quad & C (-\gamma^{\mu T}) C^{-1} = \gamma^\mu . \end{aligned}$$

Meaning of these equations:

- (i) CP is an (outer) **automorphism** of the gauge group.
- (ii) CP maps representations to their complex conjugate representations.  $(T_a \mapsto -T_a^T)$
- (iii) CP is an **outer automorphism** of the Lorentz group which maps representations to their complex conjugate representation.  $(\chi_L \mapsto (\chi_L)^\dagger)$

# Basically two types of discrete groups

- Groups which **do not** allow for CP transformation: **Type I**

Fine print: assuming sufficient # of irreps are there

$G$	$\mathbb{Z}_5 \rtimes \mathbb{Z}_4$	$T_7$	$\Delta(27)$	$\mathbb{Z}_9 \rtimes \mathbb{Z}_3$	...
SG id	(20, 3)	(21, 1)	(27, 3)	(27, 4)	

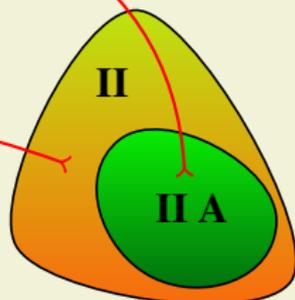
- Groups which **do** allow for CP transformation: **Type II**

Among those: all groups which allow for real CG's: **Type II A**

$G$	$S_3$	$A_4$	$T'$	$S_4$	$A_5$
SG id	(6, 1)	(12, 3)	(24, 3)	(24, 12)	(60, 5)

But also: CP trafo w/o real CG's: **Type II B**

$G$	$\Sigma(72)$	$((\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_4) \rtimes \mathbb{Z}_4$
SG id	(72, 41)	(144, 120)



Type II A groups: CP violation completely analogue to well-known case:  $SU(N)$   
 (i.e. it depends on # of rephasing d.o.f.'s vs # complex couplings)

Type II B groups: CP violation tied to certain operators

## “Physical” CP transformation

Recall: e.g. complex scalar field  $\sigma$ , with field operator

$$\hat{\sigma}(x) = \int \widetilde{d^3p} \left\{ \hat{\mathbf{a}}(\vec{p}) e^{-ipx} + \hat{\mathbf{b}}^\dagger(\vec{p}) e^{ipx} \right\} .$$

Physical CP transformation of the complex scalar field

$$\text{CP} : \quad \sigma(x) \mapsto e^{i\varphi} \sigma^*(\mathcal{P}x) ,$$

corresponds to

$$\text{CP} : \quad \hat{\mathbf{a}}(\vec{p}) \mapsto e^{i\varphi} \hat{\mathbf{b}}(-\vec{p}) \quad \text{and} \quad \hat{\mathbf{b}}^\dagger(\vec{p}) \mapsto e^{i\varphi} \hat{\mathbf{a}}^\dagger(-\vec{p}) .$$

Note:

$$\text{“matter”}: \hat{\mathbf{a}}^{(\dagger)} \quad \text{“anti-matter”}: \hat{\mathbf{b}}^{(\dagger)} .$$

# Toy model details

Complex scalar  $\phi$  in  $T_7$ -diagonal basis of  $SU(3)$ : (in unitary gauge)

$$\phi = \left( v + \phi_1, \frac{\phi_2}{\sqrt{2}}, \frac{\phi_2^*}{\sqrt{2}}, \phi_4, \phi_5, \phi_6, \frac{\phi_7}{\sqrt{2}}, \frac{\phi_8}{\sqrt{2}}, \frac{\phi_9}{\sqrt{2}}, \phi_{10}, \phi_{11}, \phi_{12}, \frac{\phi_7^*}{\sqrt{2}}, \frac{\phi_8^*}{\sqrt{2}}, \frac{\phi_9^*}{\sqrt{2}} \right).$$

$T_7$  representations of the components:

$$\phi_1 \hat{=} \mathbf{1}_0,$$

$$\phi_2 \hat{=} \mathbf{1}_1,$$

$$T_1 := (\phi_4, \phi_5, \phi_6) \hat{=} \mathbf{3},$$

$$T_2 := (\phi_7, \phi_8, \phi_9) \hat{=} \mathbf{3},$$

$$\bar{T}_3 := (\phi_{10}, \phi_{11}, \phi_{12}) \hat{=} \bar{\mathbf{3}}.$$

The physical scalars are

$$\text{Re } \sigma_0 = \frac{1}{\sqrt{2}} (\phi_1 + \phi_1^*), \quad \text{Im } \sigma_0 = -\frac{i}{\sqrt{2}} (\phi_1 - \phi_1^*),$$

$$\sigma_1 = \phi_2,$$

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The physical vectors are

$$Z^\mu = \frac{1}{\sqrt{2}} (A_7^\mu - i A_8^\mu), \quad W_1^\mu = \frac{1}{\sqrt{2}} (A_4^\mu - i A_1^\mu),$$

$$W_2^\mu = \frac{1}{\sqrt{2}} (A_5^\mu - i A_2^\mu), \quad W_3^\mu = \frac{i}{\sqrt{2}} (A_6^\mu - i A_3^\mu).$$

## Toy model details

The VEV in this basis is simply

$$\langle \phi \rangle_1 = v \quad \text{and} \quad \langle \phi \rangle_i = 0 \quad \text{for} \quad i = 2, \dots, 15,$$

where

$$|v| = \mu \times 3 \sqrt{\frac{7}{2}} \left( -7\sqrt{15} \lambda_1 + 14\sqrt{15} \lambda_2 + 20\sqrt{6} \lambda_4 + 13\sqrt{15} \lambda_5 \right)^{-1/2}.$$

The masses of the physical states are

$$m_Z^2 = \frac{7}{3} g^2 v^2 \quad \text{and} \quad m_W^2 = g^2 v^2.$$

$$m_{\text{Re } \sigma_0}^2 = 2\mu^2, \quad m_{\text{Im } \sigma_0}^2 = 0,$$
$$m_{\sigma_1}^2 = -\mu^2 + \sqrt{15} \lambda_5 v^2.$$

The massless mode is the goldstone boson of an additional U(1) symmetry of the potential. It can be avoided by either

- gauging the additional U(1),
- or breaking it softly by a cubic coupling of  $\phi$ .

# Toy model details

$T_7$  invariant couplings ( $\omega := e^{2\pi i/3}$ )

$$Y_{\sigma_1 W W^*} = \frac{v g^2}{\sqrt{6}} e^{-\pi i/6} \text{diag}(1, \omega, \omega^2), \quad Y_{\sigma_1 \tau_2 \tau_2^*} = v y_{\sigma_1 \tau_2 \tau_2^*} \text{diag}(1, \omega, \omega^2),$$

$$\begin{aligned} \left[ Y_{\tau_2^* W W^*} \right]_{121} &= \left[ Y_{\tau_2^* W W^*} \right]_{232} = \left[ Y_{\tau_2^* W W^*} \right]_{313} = v g^2 y_{\tau_2^* W W^*}, \\ \left[ Y_{\tau_2^* W W^*} \right]_{ijk} &= 0 \quad (\text{else}). \end{aligned}$$

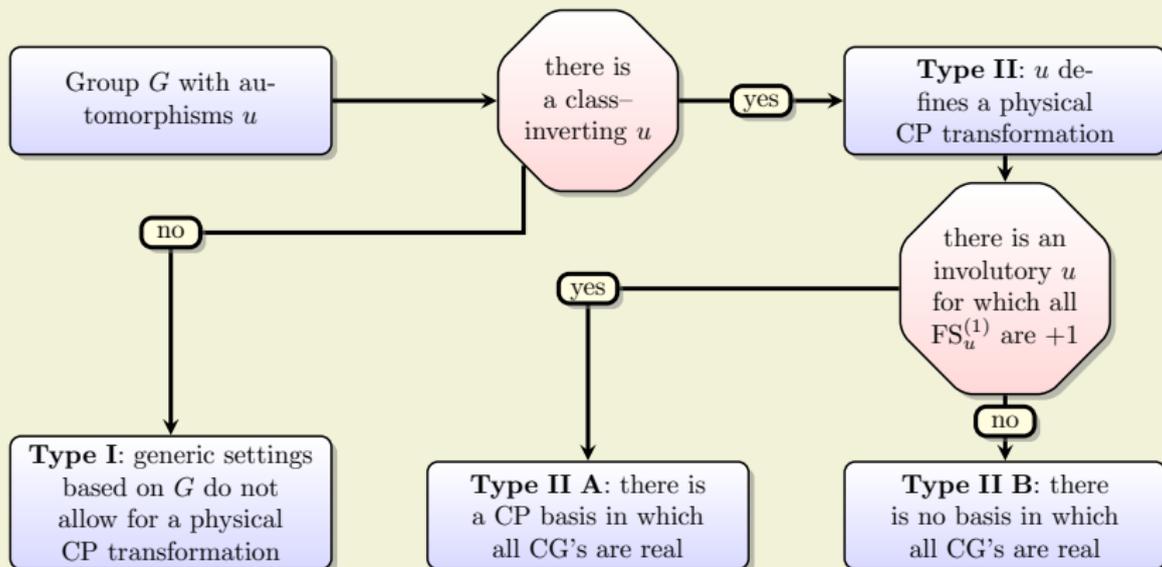
# Toy model details

$$\begin{aligned}
 y_{\sigma_1 \tau_2 \tau_2^*} = & \frac{1}{504 \sqrt{3}} \left\{ V_{21}^2 \left[ -14 \sqrt{10} (17 + 5 \sqrt{3} i) \lambda_1 + 84 \sqrt{30} (\sqrt{3} - i) \lambda_2 \right. \right. \\
 & \left. \left. - 240 (1 + \sqrt{3} i) \lambda_4 - \sqrt{10} (197 - 55 \sqrt{3} i) \lambda_5 \right] \right. \\
 & + 8 V_{22}^2 \left[ 28 \sqrt{10} (1 - \sqrt{3} i) \lambda_1 - 14 \sqrt{30} i \lambda_2 + 112 \sqrt{3} i \lambda_3 \right. \\
 & \left. \left. - (30 - 26 \sqrt{3} i) \lambda_4 + \sqrt{10} (20 - \sqrt{3} i) \lambda_5 \right] \right. \\
 & + 8 V_{23}^2 \left[ 28 \sqrt{10} (1 + \sqrt{3} i) \lambda_1 - 14 \sqrt{30} i \lambda_2 - 168 \lambda_3 \right. \\
 & \left. \left. + (6 + 65 \sqrt{3} i) \lambda_4 - 4 \sqrt{10} (1 - 2 \sqrt{3} i) \lambda_5 \right] \right. \\
 & + 8 V_{21} V_{22} \left[ -35 \sqrt{10} (1 - \sqrt{3} i) \lambda_1 + 21 \sqrt{30} (\sqrt{3} + i) \lambda_2 \right. \\
 & \left. \left. - 56 (3 + \sqrt{3} i) \lambda_3 + 6 (1 + 17 \sqrt{3} i) \lambda_4 - \sqrt{10} (67 + 19 \sqrt{3} i) \lambda_5 \right] \right. \\
 & + 4 V_{21} V_{23} \left[ -28 \sqrt{10} (2 + \sqrt{3} i) \lambda_1 - 42 \sqrt{30} (\sqrt{3} + i) \lambda_2 \right. \\
 & \left. \left. + 30 (11 + 3 \sqrt{3} i) \lambda_4 - \sqrt{10} (31 + 11 \sqrt{3} i) \lambda_5 \right] \right. \\
 & \left. - 8 V_{22} V_{23} \left[ 14 \sqrt{10} \lambda_1 - 14 \sqrt{30} i \lambda_2 \right. \right. \\
 & \left. \left. + 10 (3 + 5 \sqrt{3} i) \lambda_4 + \sqrt{10} (1 - 3 \sqrt{3} i) \lambda_5 \right] \right\}
 \end{aligned}$$

and

$$y_{\tau_2^* W W^*} = -\frac{\sqrt{2}}{3} (2 V_{21} + V_{22} + 2 V_{23}) .$$

# CP symmetries in settings with discrete $G$



(For details see [Chen, Fallbacher, Mahanthappa, Ratz, AT, '14])

Mathematical tool to decide: Twisted Frobenius-Schur indicator  $FS_u$  (Backup slides)

# Twisted Frobenius–Schur indicator

Criterion to decide: existence of a CP outer automorphism.  
↪ can be probed by computing the

**“twisted Frobenius–Schur indicator”**  $FS_u$

$$FS_u(\mathbf{r}_i) := \frac{1}{|G|} \sum_{g \in G} \chi_{\mathbf{r}_i}(g u(g))$$

( $\chi_{\mathbf{r}_i}(g)$  : Character)

[Chen, Fallbacher, Mahanthappa, Ratz, AT, 2014]

$$FS_u(\mathbf{r}_i) = \begin{cases} +1 \text{ or } -1 & \forall i, & \Rightarrow u \text{ is good for CP,} \\ \text{different from } \pm 1, & \Rightarrow u \text{ is no good for CP.} \end{cases}$$

In analogy to the Frobenius–Schur indicator

$FS_{\mathbf{r}_i} = +1, -1, 0$  for real / pseudo–real / complex irrep.

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