

KATRIN motivation - neutrino masses in cosmology and particle physics



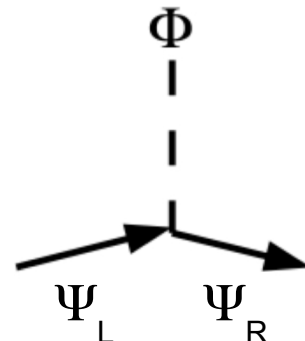
- Neutrinos
- Evidence for non-zero neutrino masses
- Importance of neutrino masses for particle physics & cosmology
- 3 complementary ways to the neutrino mass
- Key methods for an experiment with 200 meV sensitivity (quasi-degenerate regime)

Neutrinos in the Standard Model of particle physics

	generation		
	1	2	3
leptons	ν_e	ν_μ	ν_τ
	e	μ	τ
quarks	u	c	t
	d	s	b

normal matter

Mass terms in the
Standard Model:
coupling to the Higgs

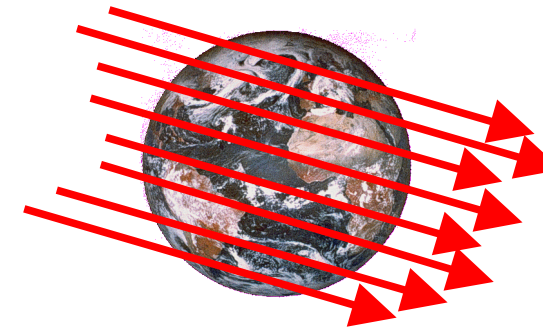


Neutral, spin $\frac{1}{2}$,

Only weak interaction (W,Z very heavy):

$\lambda_\nu \approx$ light years at MeV scale

interaction rate increases linearly with E_ν usually

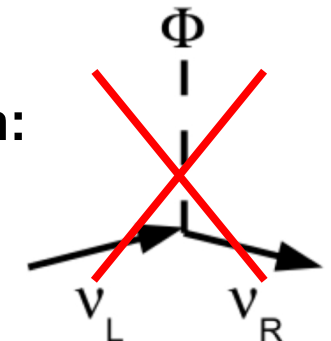


The most abundant particle in the universe: $336 / \text{cm}^3$
(together with the particle of light, the photon)

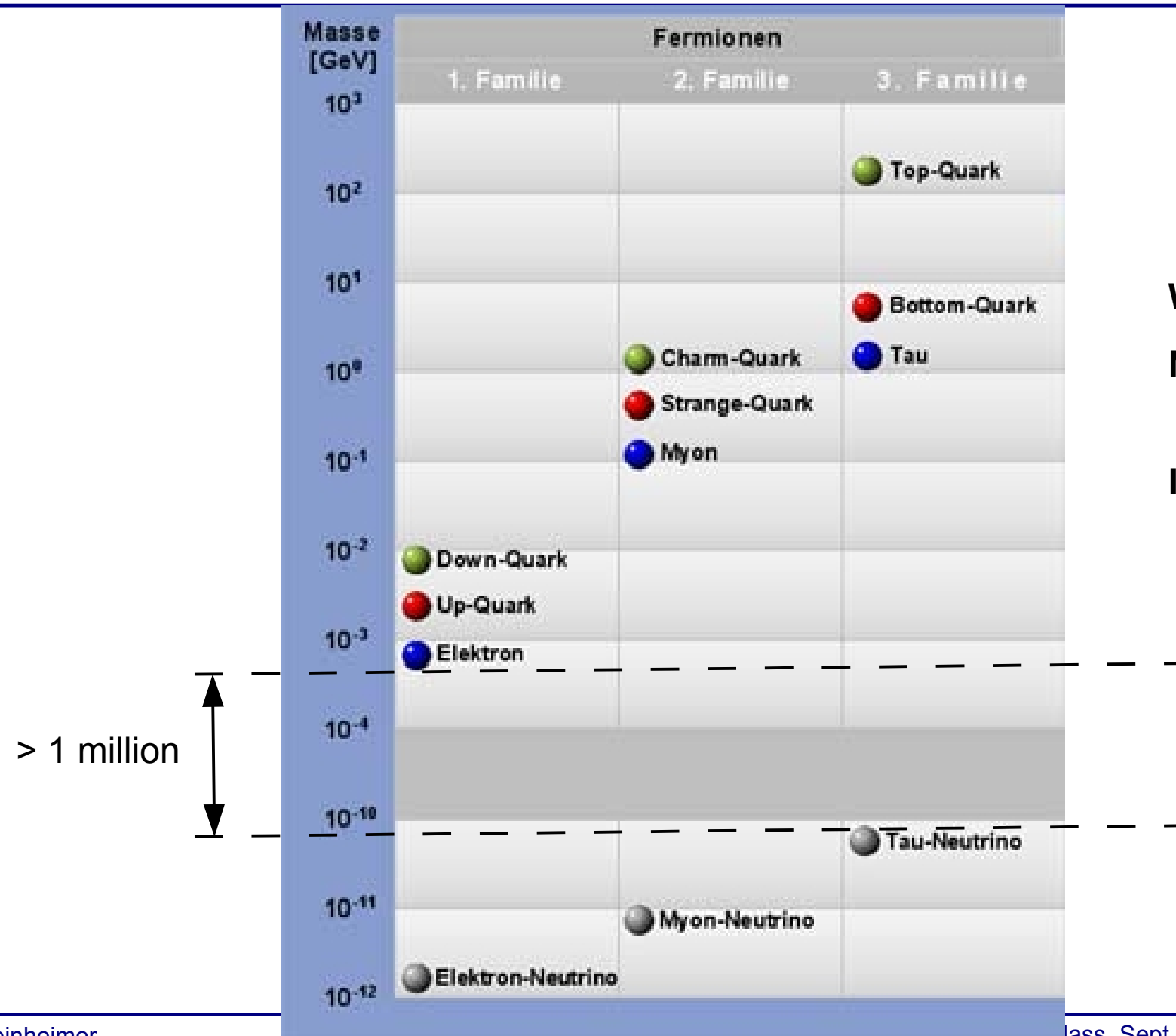
In original SM ν only left-handed: ν_L

→ difficult to account for mass term:

Yukawa coupling to the Higgs
did not exist in the SM



Neutrinos are much more lighter than all other fundamental mass particles

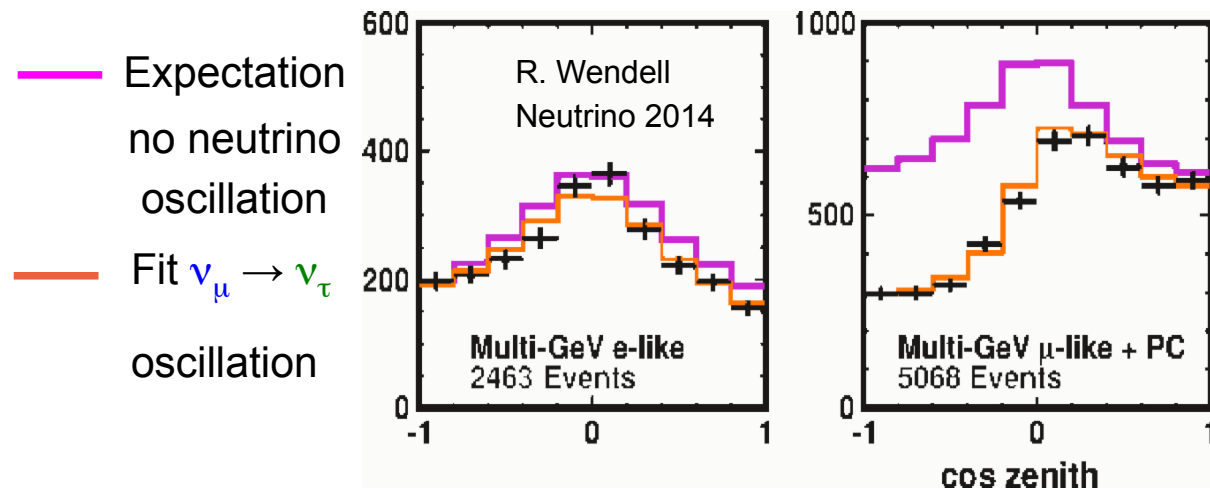


Why are neutrinos so much lighter ?

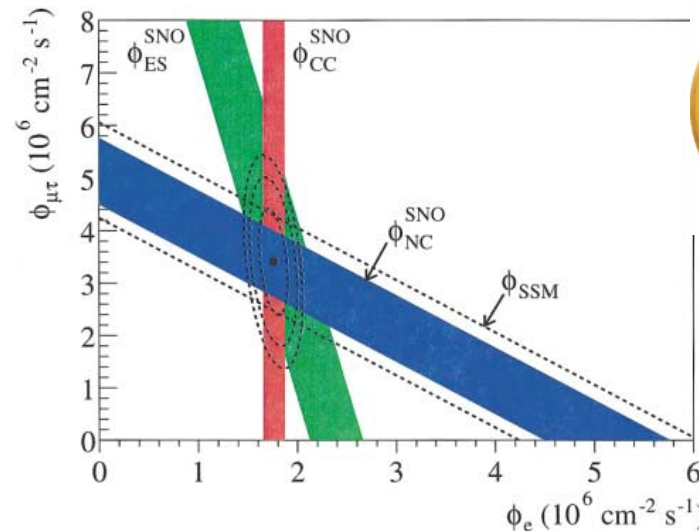
**Neutrinos were thought to be massless
up to the millenium**

**If they have tiny masses
their extreme tinytness of masses
should require
a different mass generation process,
not just a Yukawa coupling to the Higgs !**

Discovery of atmospheric ($\nu_\mu \rightarrow \nu_\tau$) & solar ($\nu_e \rightarrow \nu_\mu/\nu_\tau$) neutrino oscillations $\rightarrow m(\nu) \neq 0$



**Nobel Prize
in physics 2015**



\Rightarrow neutrino oscillation

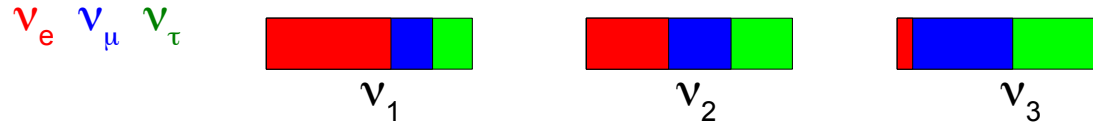
$$\nu_\mu \rightarrow \begin{cases} \cos \theta & \xrightarrow{\nu_2} & \sin \theta \\ -\sin \theta & \xrightarrow{\nu_3} & \cos \theta \end{cases} \rightarrow \nu_\tau$$

$\Rightarrow \Delta m^2_{ij} \Rightarrow m(\nu_j) \neq 0$

**but unknown absolute scale
 $m(\nu_j)$ not accessible by osc. exp.**

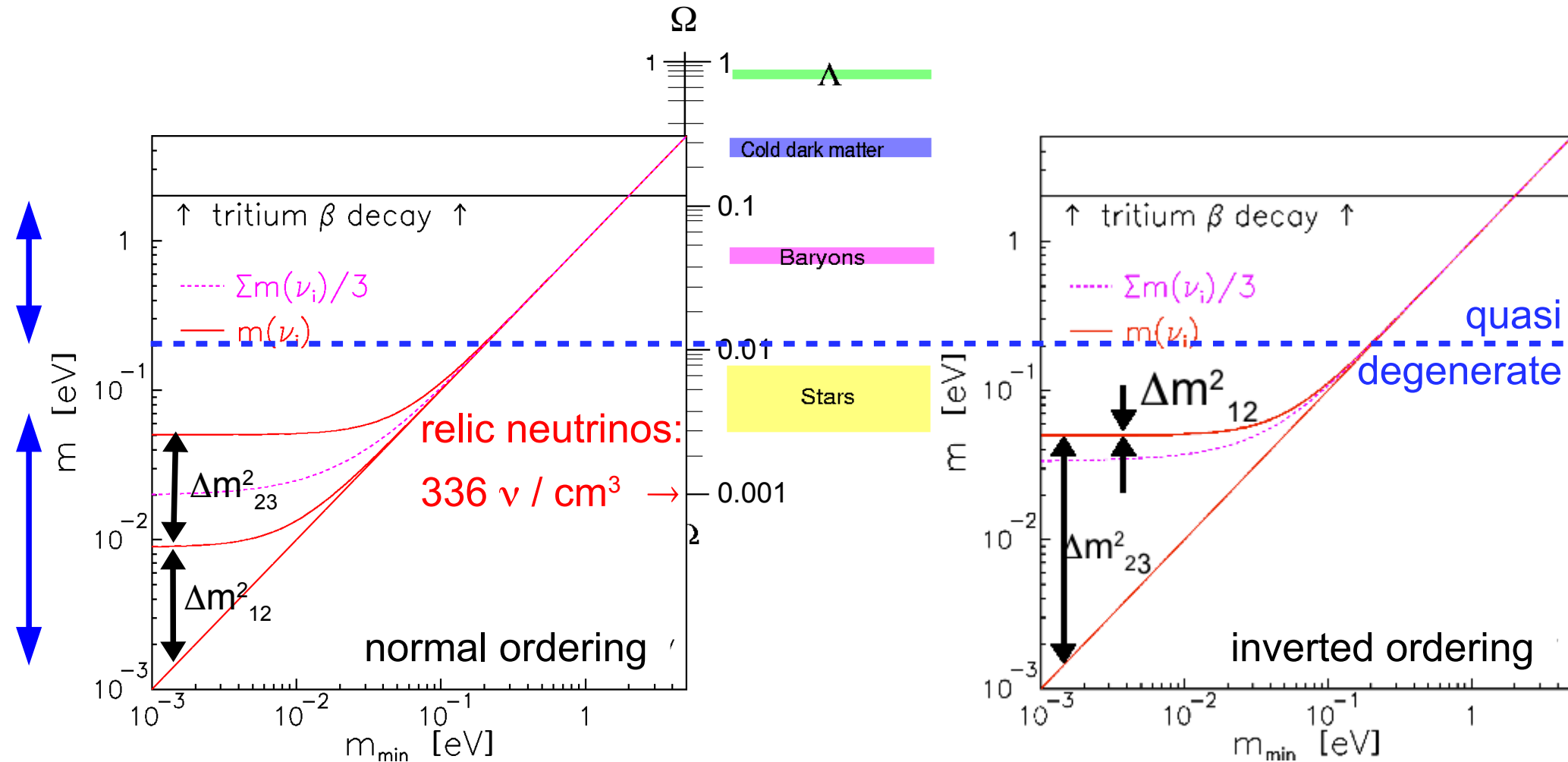
Importance of neutrino mass for particle physics and cosmology

Results of recent oscillation experiments: Θ_{23} , Θ_{12} , Θ_{13} , $|\Delta m_{13}^2|$, Δm_{12}^2



degenerated masses
cosmological relevant
e.g. seesaw mechanism type 2

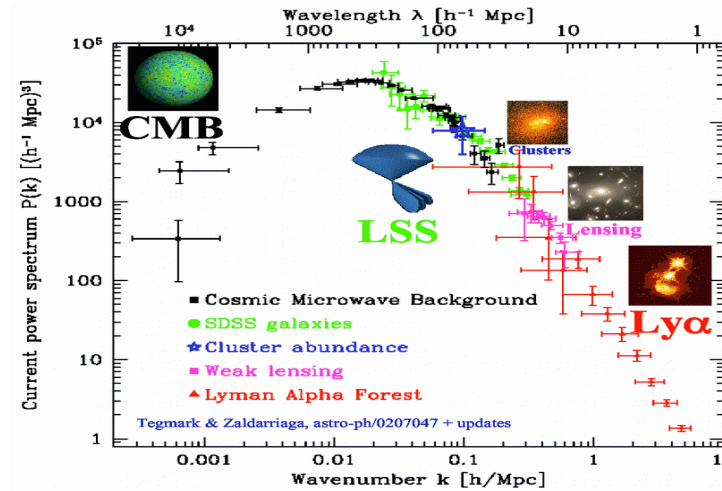
hierarchical masses
e.g. seesaw mechanism type 1
explains smallness of masses,
but not large (maximal) mixing



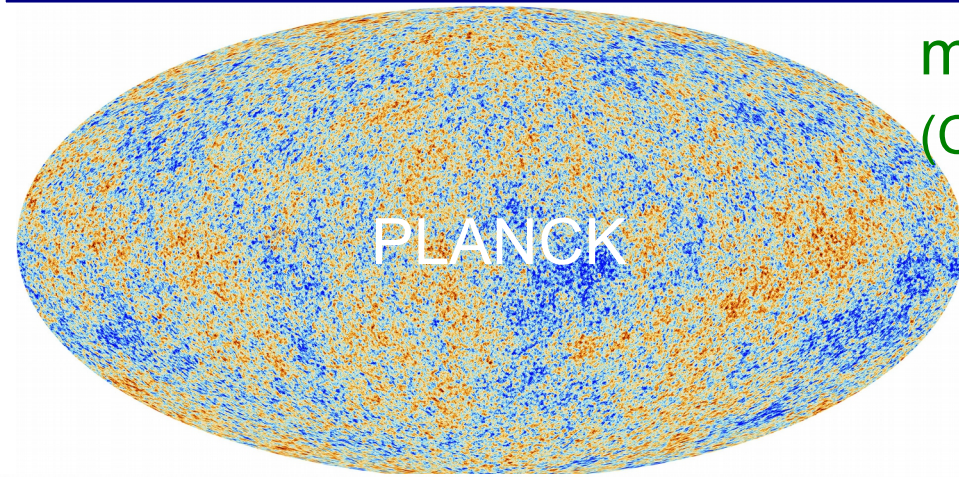
Three complementary ways to the absolute neutrino mass scale

1) Cosmology

very sensitive, but model dependent
compares power at different scales
current sensitivity: $\Sigma m(\nu_i) \approx 0.12 \text{ eV}$

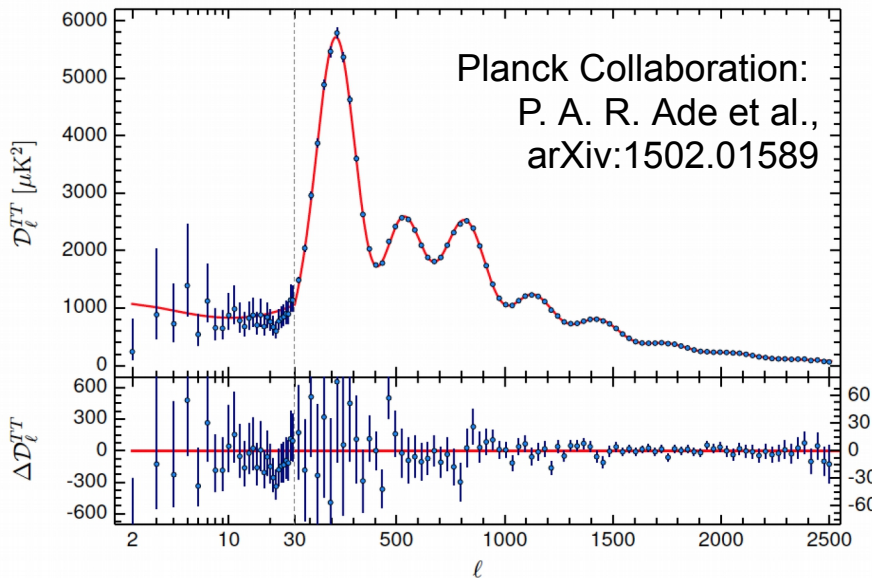


Neutrino mass from cosmology



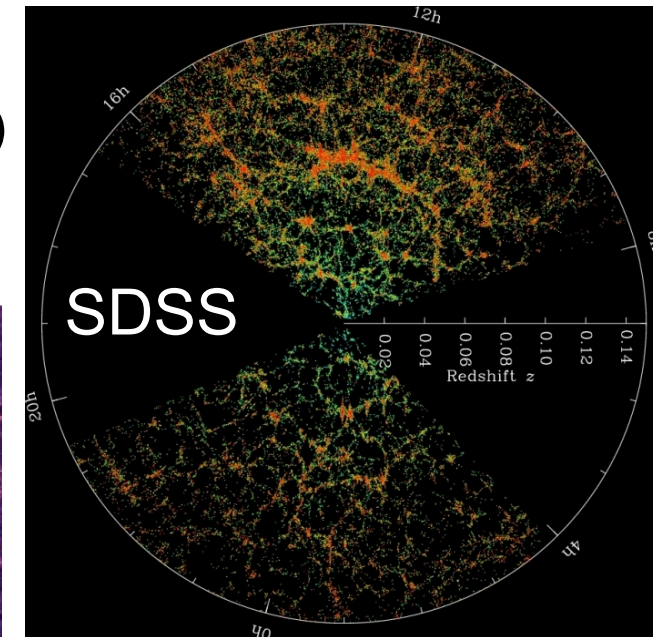
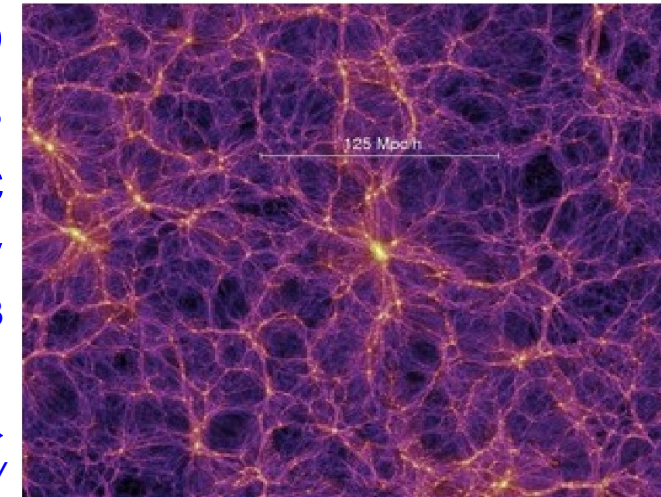
measurement of CMBR
(Cosmic Microwave Background Radiation)

measurement of matter density
distribution LSS (Large Scale Structure)
by 2dF, SDSS, ...



compare to
numerical models
including relic
neutrino density
of 336 cm^{-3}

Millenium simulation →
pa-garching.mpg.de/galform/presse/

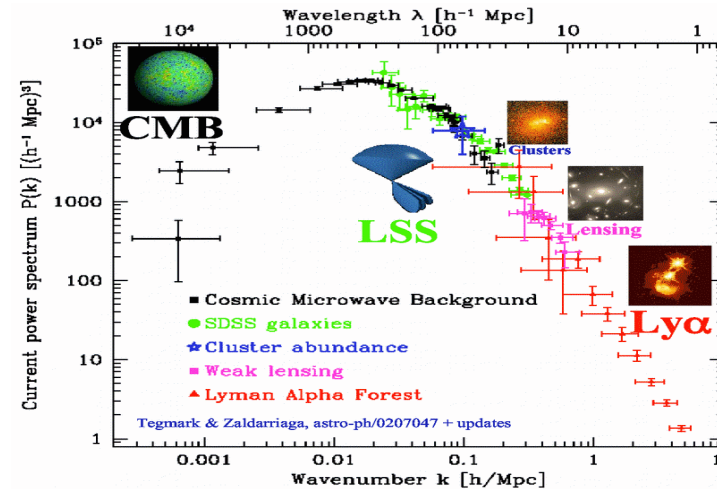


**Applying Λ CDM model: $\Sigma_i m(\nu) < 0.12 \text{ eV}$ (Planck 2018 data with baryon acoustic oscillations from LSS)
but neutrino mass limit is model dependent
Please note, that more than 95% of the energy distribution in the universe of Λ CDM is not known**

Three complementary ways to the absolute neutrino mass scale

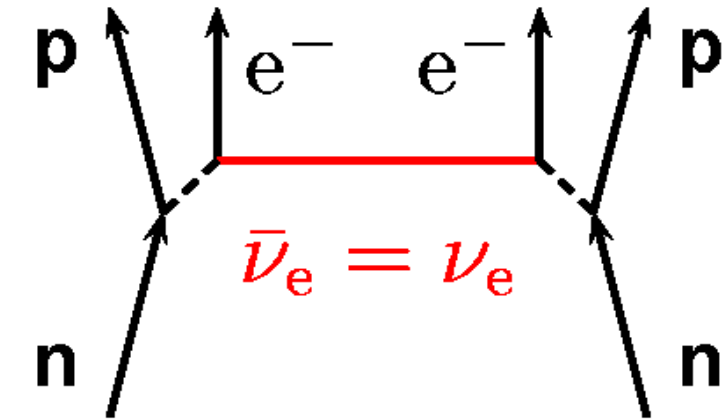
1) Cosmology

very sensitive, but model dependent
compares power at different scales
current sensitivity: $\Sigma m(\nu_i) \approx 0.12$ eV



2) Search for $0\nu\beta\beta$

Sensitive to Majorana neutrinos, model-dependent
Upper limits by EXO-200, KamLAND-Zen, GERDA, CUORE

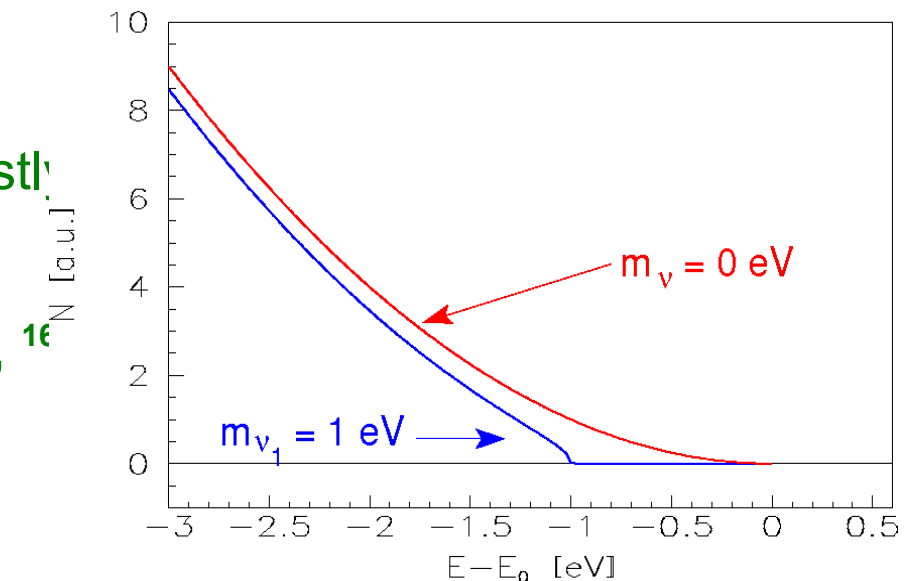


3) Direct neutrino mass determination:

No further assumptions needed, use $E^2 = p^2c^2 + m^2c^4$
 $\Rightarrow m^2(\nu)$ is observable mostly

Time-of-flight measurements (ν from supernova)

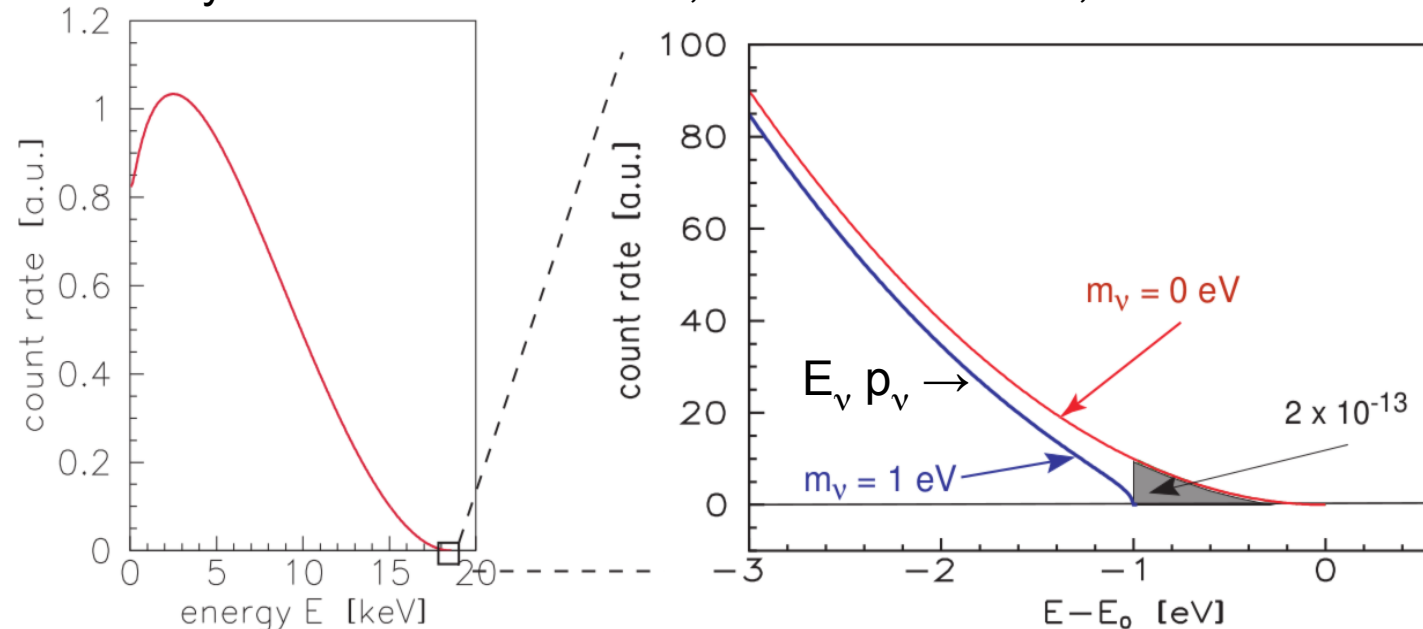
Kinematics of weak decays / beta decays, e.g. tritium,
measure charged decay prod., E-, p-conservation



$$\beta: dN/dE = K \underbrace{F(E,Z)}_{\mathbf{p}_e} \underbrace{p}_{\mathbf{E}_e} \underbrace{E_{\text{tot}} (E_0 - E_e)}_{\mathbf{E}_v} \underbrace{\sum |U_{ei}|^2 \sqrt{(E_0 - E_e)^2 - m(v_i)^2}}_{\mathbf{p}_v}$$

with “electron neutrino mass”: **$m(\nu_e)^2 := \sum |U_{ei}|^2 m(\nu_i)^2$** , complementary to $0\nu\beta\beta$ & cosmol.

(modified by electronic final states, recoil corrections, radiative corrections)



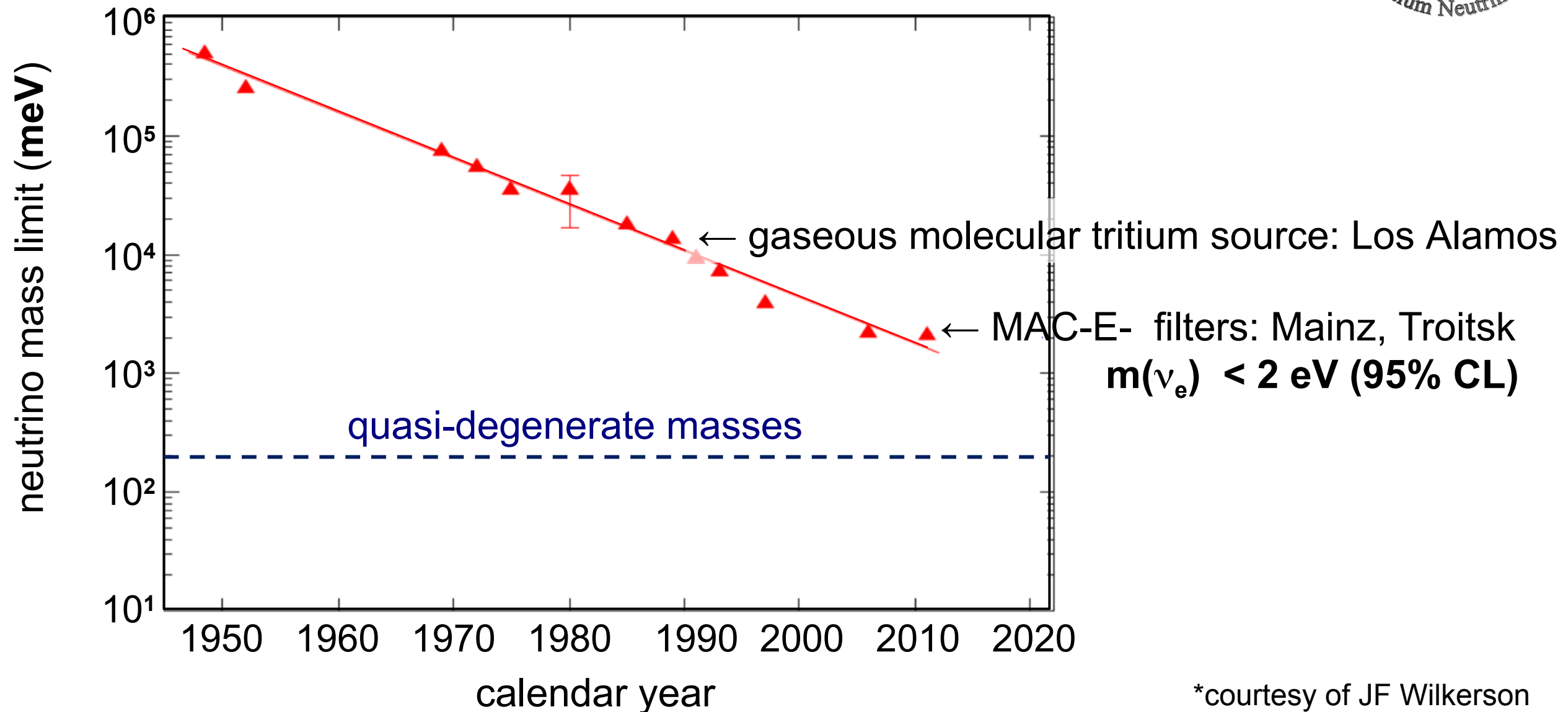
Need:

- low endpoint energy
- very high energy resolution &
- very high luminosity &
- very low background

} ⇒ Tritium ^3H (^{163}Ho)

⇒ MAC-E-Filter
(or cryobolometer for ^{163}Ho)

Experimental progress over past decades due to **new technologies**

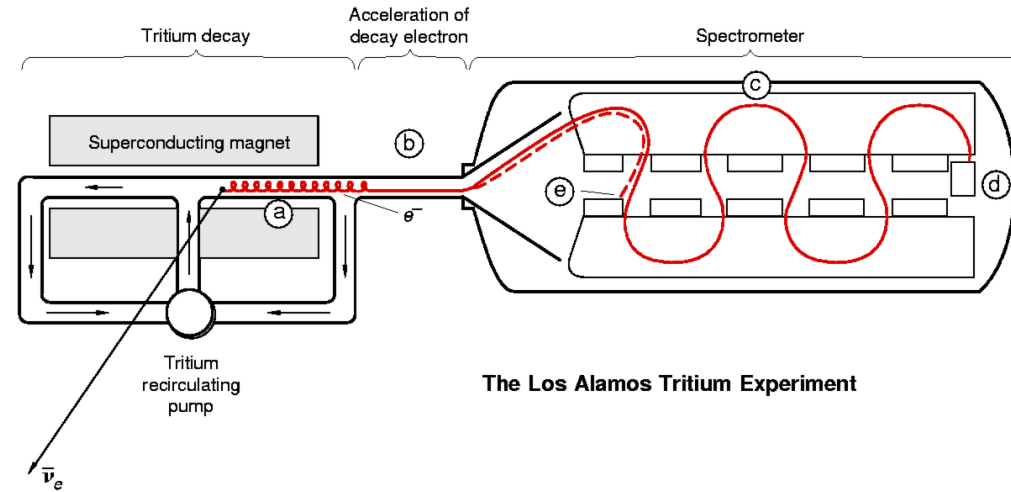


*courtesy of JF Wilkerson

Milestones for 200 meV sensitivity: a windowless gaseous tritium source → small systematics



Los Alamos Tritium Experiment: R.G.H. Robertson et al.

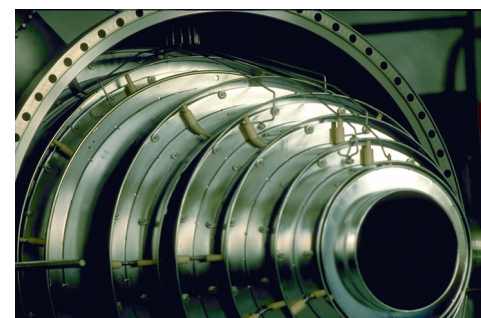
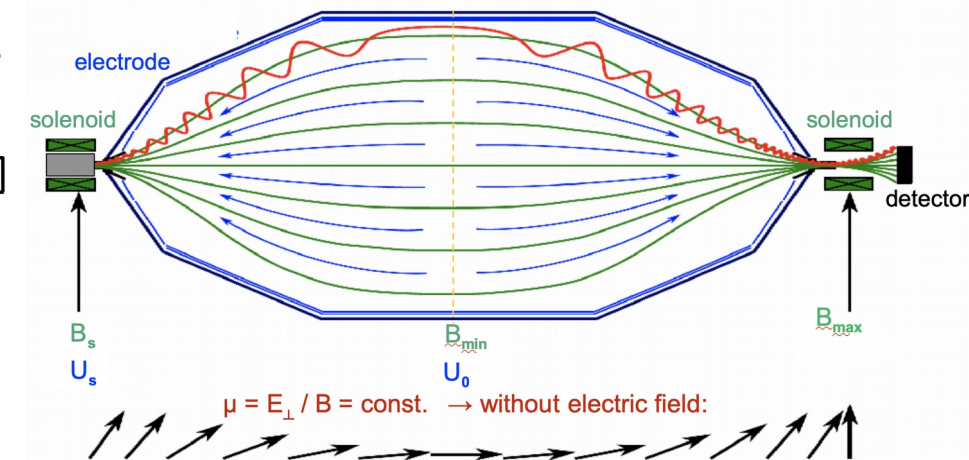
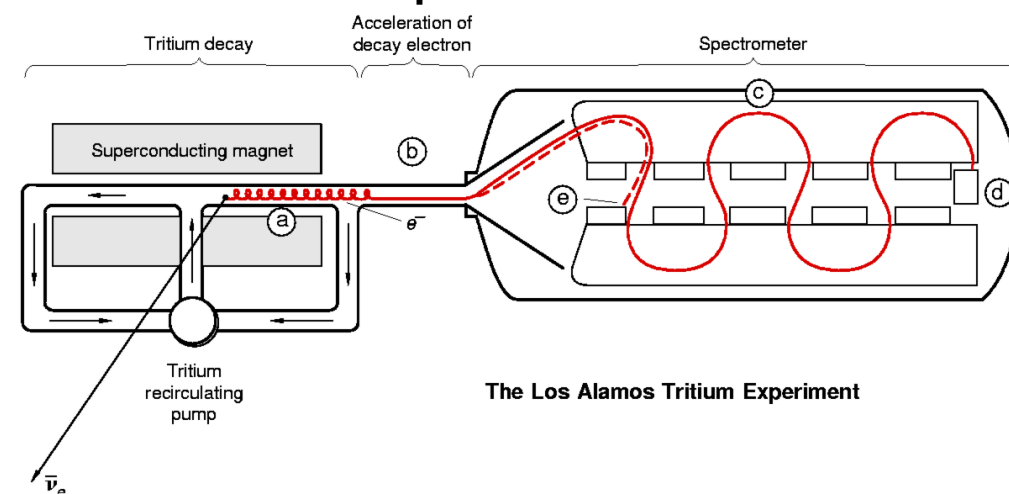


Milestones for 200 meV sensitivity: MAC-E filter,

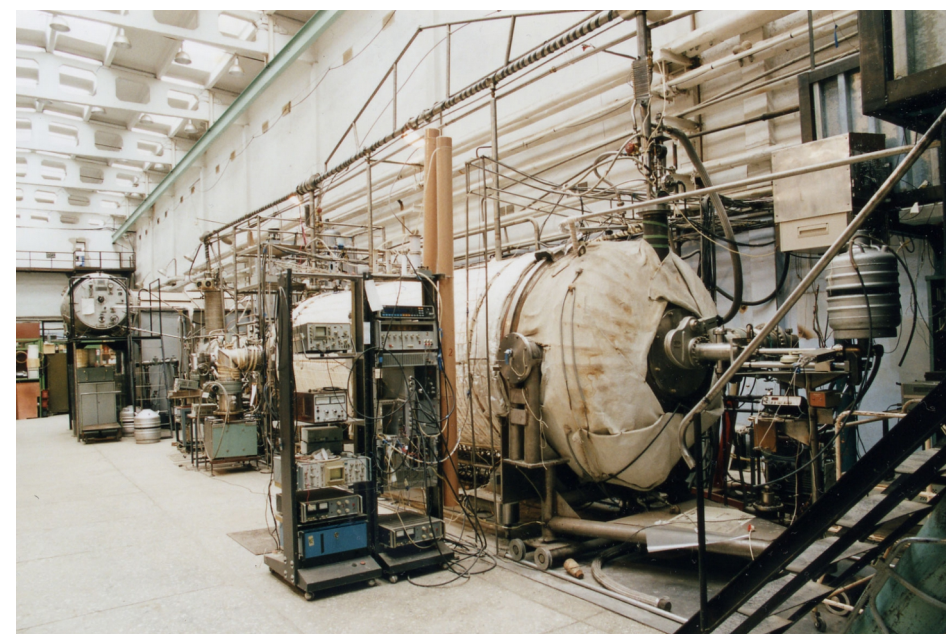
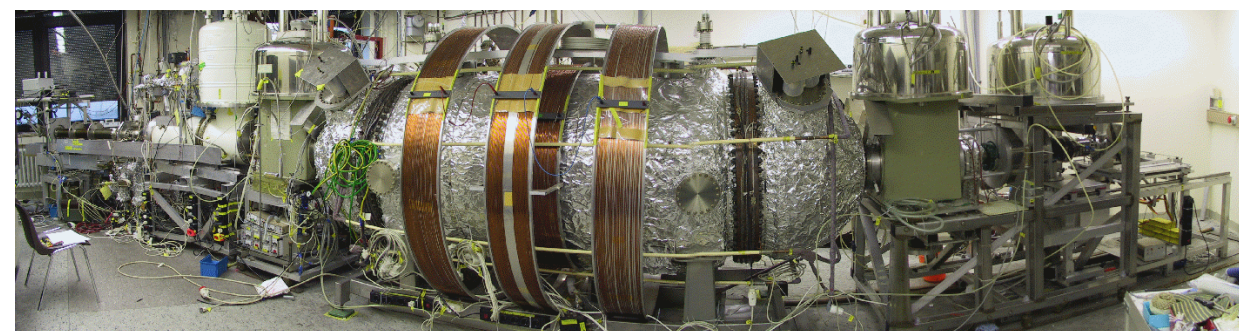
a high resolution & large acceptance spectrometer → huge statistics



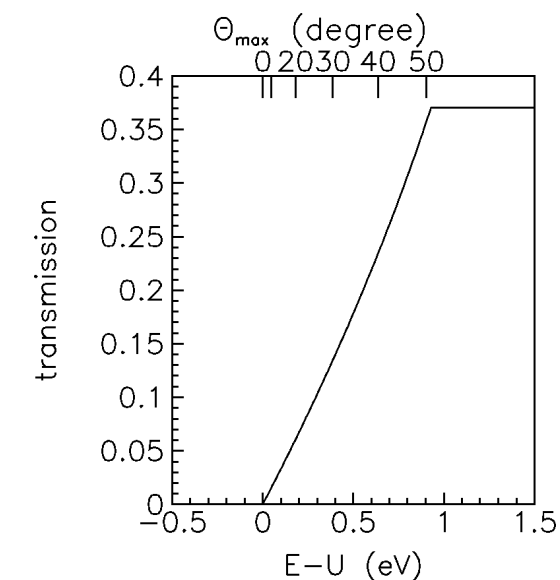
Los Alamos Tritium Experiment: R.G.H. Robertson et al.



Mainz Neutrino Mass Experiment: E.-W. Otten, J. Bonn et al.



Troitsk Neutrino Mass Experiment: V. M. Lobashev et al.



Many challenges to obtain 200 meV sensitivity: factor 100 improvement of stat. + sys. uncertainties

Putting all together and a lot of new ideas and technologies:

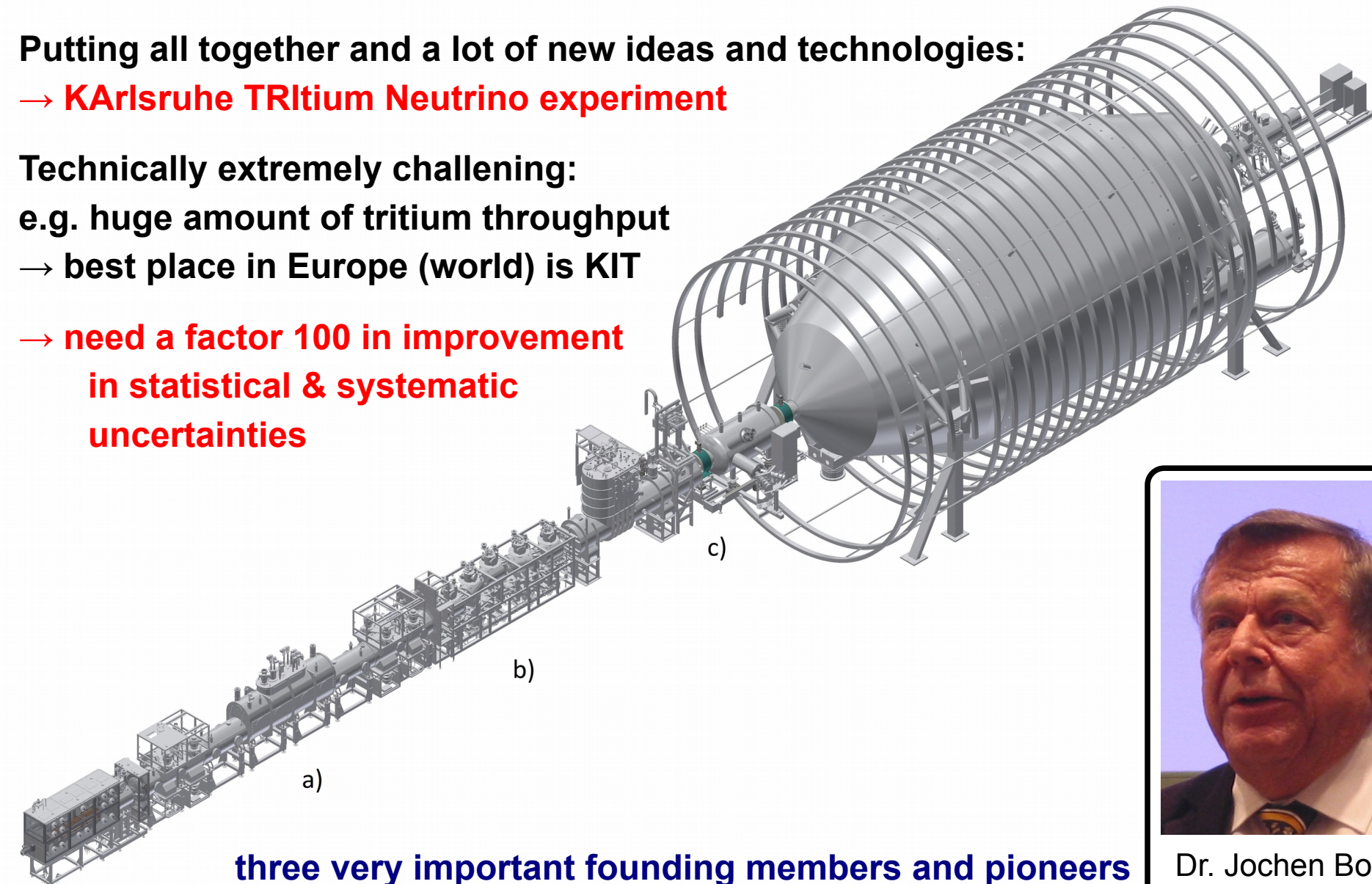
→ **KARlsruhe TRItium Neutrino experiment**

Technically extremely challenging:

e.g. huge amount of tritium throughput

→ best place in Europe (world) is KIT

→ **need a factor 100 in improvement
in statistical & systematic
uncertainties**



**three very important founding members and pioneers
passed away on the long road of KATRIN**



Dr. Jochen Bonn
1944 - 2012



Prof. Dr. Vladimir
M. Lobashev
1934 - 2011

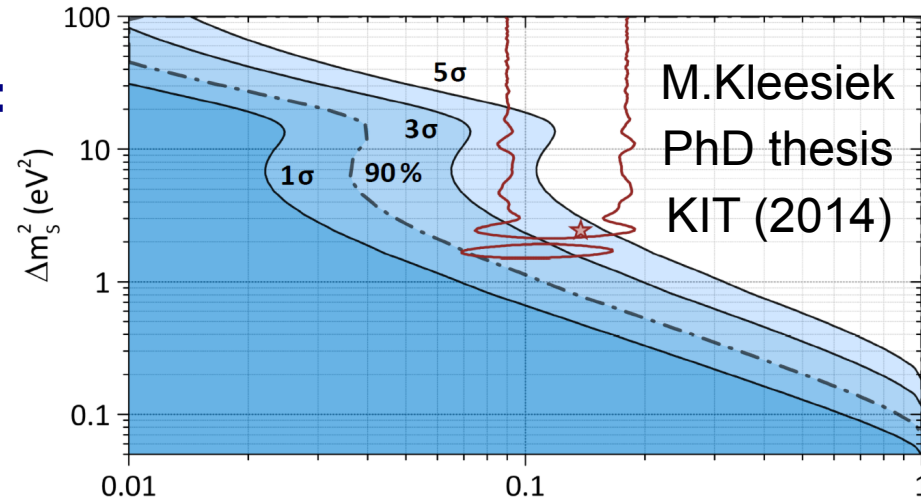


Prof. Dr. Dr. h.c.
Ernst-W. Otten
1934 - 2019

Sterile neutrinos

$$dN/dE = K F(E,Z) p E_{\text{tot}} (E_0 - E_e) \left(\cos^2(\theta) \sqrt{(E_0 - E_e)^2 - m(\nu_{1,2,3})^2} + \sin^2(\theta) \sqrt{(E_0 - E_e)^2 - m(\nu_4)^2} \right)$$

eV ν :



see e.g.:

J. A. Formaggio, J. Barret, PLB 706 (2011) 68
A. Seiersen Riis, S. Hannestad, JCAP02 (2011) 011
A. Esmaili, O.L.G. Peres, arXiv:1203.2632

keV ν :

see e.g.

S. Mertens et al., JCAP 02 (2015) 020
M. Drewes et al. JCAP 01 (2017) 025

non SM currents, additional light bosons, ...

see e.g.: N. Steinbrink et al., JCAP 6 (2017) 15 (RH currents & sterile ν) or G. Arcadi et al., arXiv:1811.03530 (additional light bosons)

