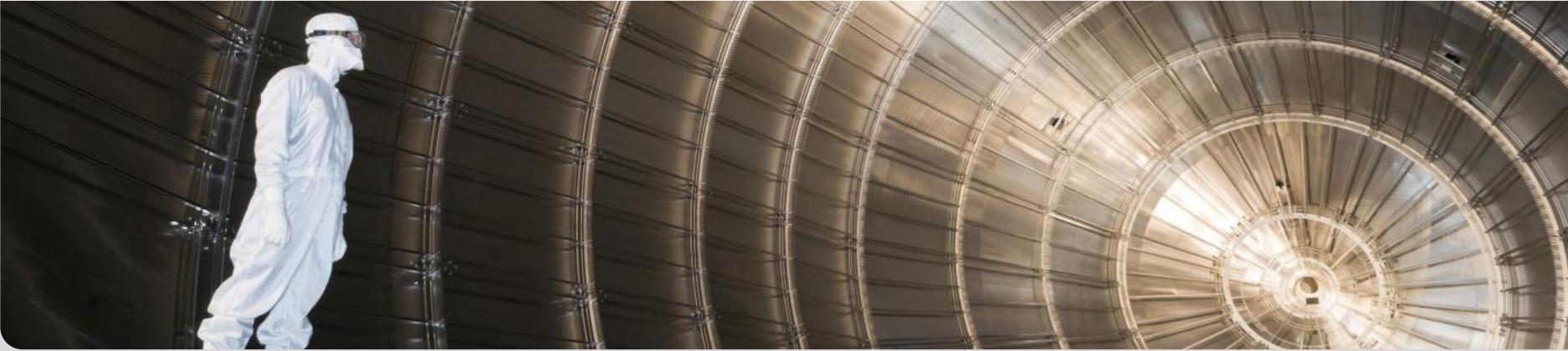


# Measuring the energy loss function of e<sup>-</sup>-T<sub>2</sub> scattering inside the Tritium source of KATRIN

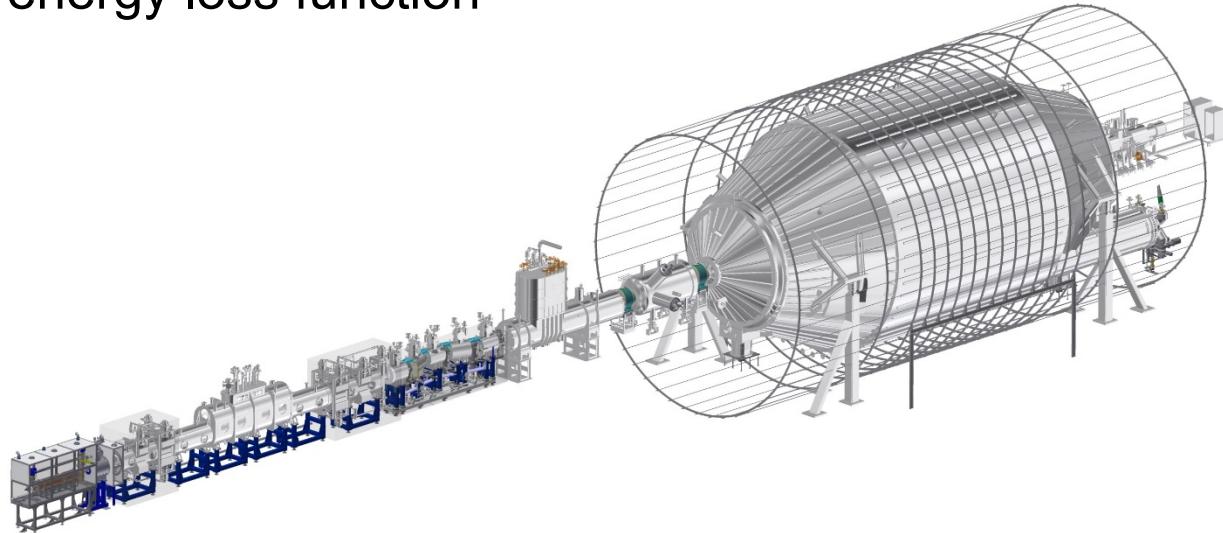
Lutz Schimpf

Institute of Experimental Particle Physics (ETP)

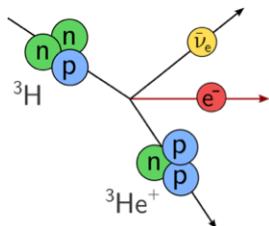


# Outline

- The KATRIN neutrino mass experiment
- Existing energy loss models
- Measurement of the energy loss function
- Data analysis
- Outlook



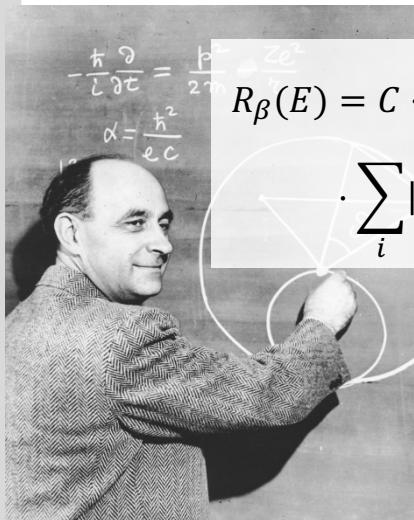
# Principle of direct $\nu$ mass measurements



Measurement of beta decay spectrum of molecular Tritium

$$E_0 = 18.6 \text{ keV}$$

$$t_{1/2} = 12.3 \text{ yr}$$

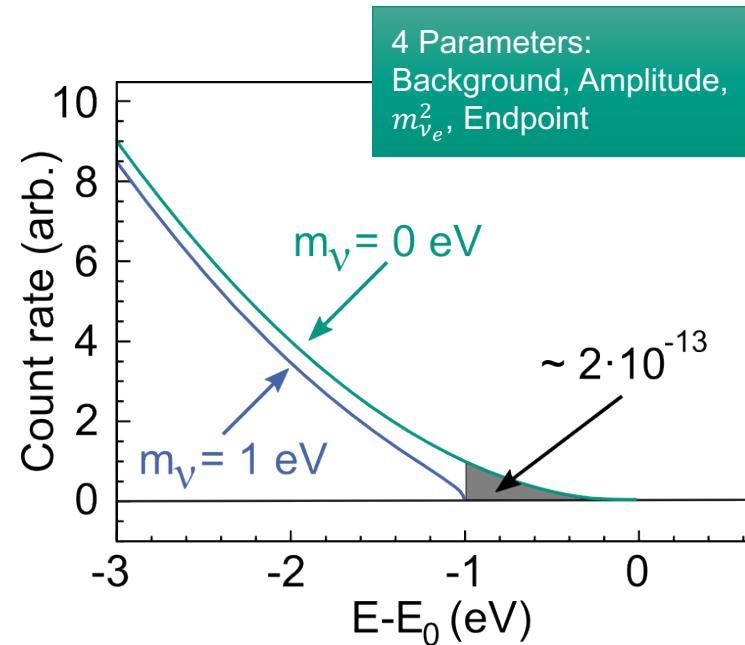


$$R_\beta(E) = C \cdot F(Z.E) \cdot p(E + m_e c^2) \cdot (E - E_0)$$

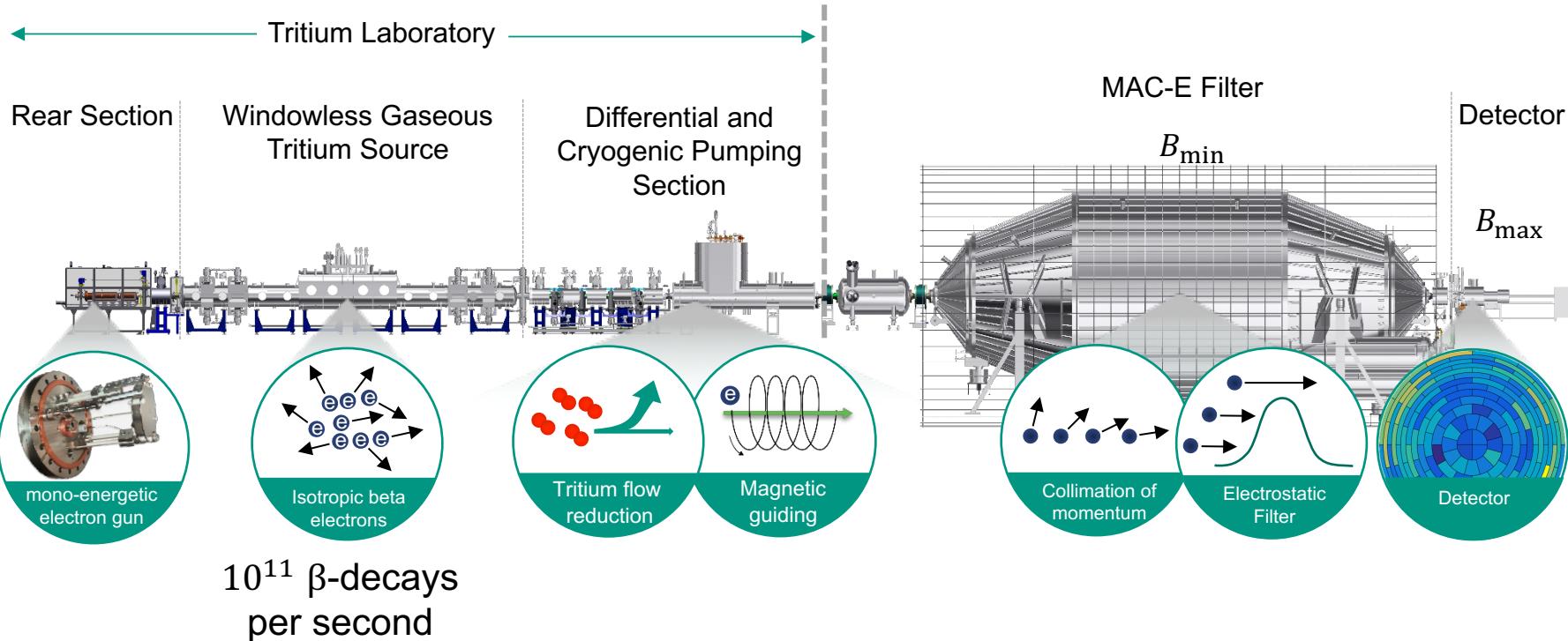
$$\cdot \sum_i |U_{ei}|^2 \cdot \sqrt{(E_0 - E)^2 - m_i^2} \cdot \Theta(E_0 - E - m_i)$$

Observable

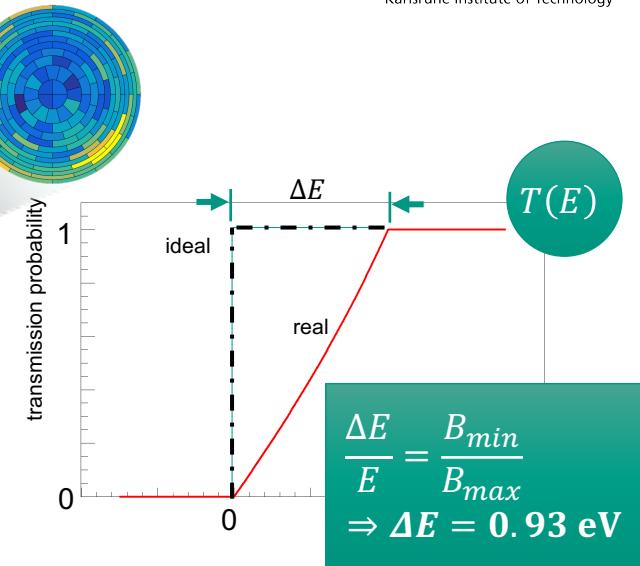
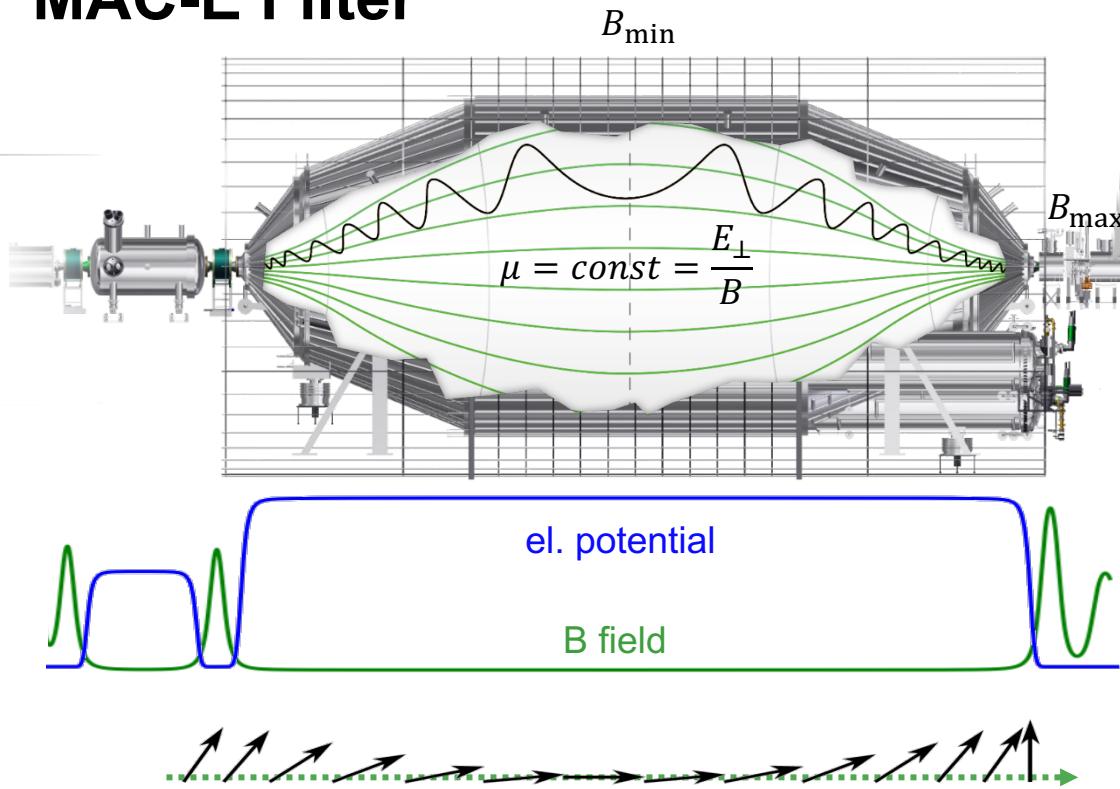
$$m_{\nu_e}^2 = \sum_i^3 |U_{ei}|^2 \cdot m_i^2$$



# Experimental Setup



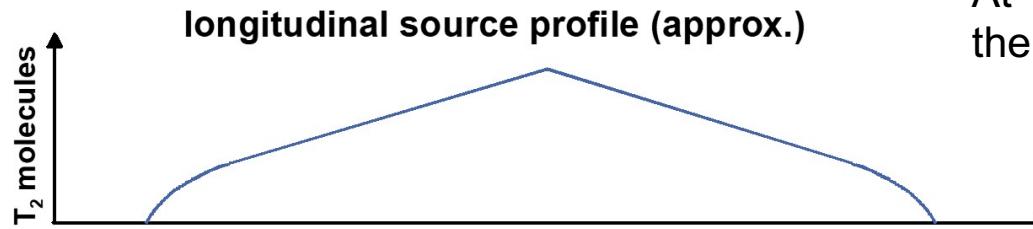
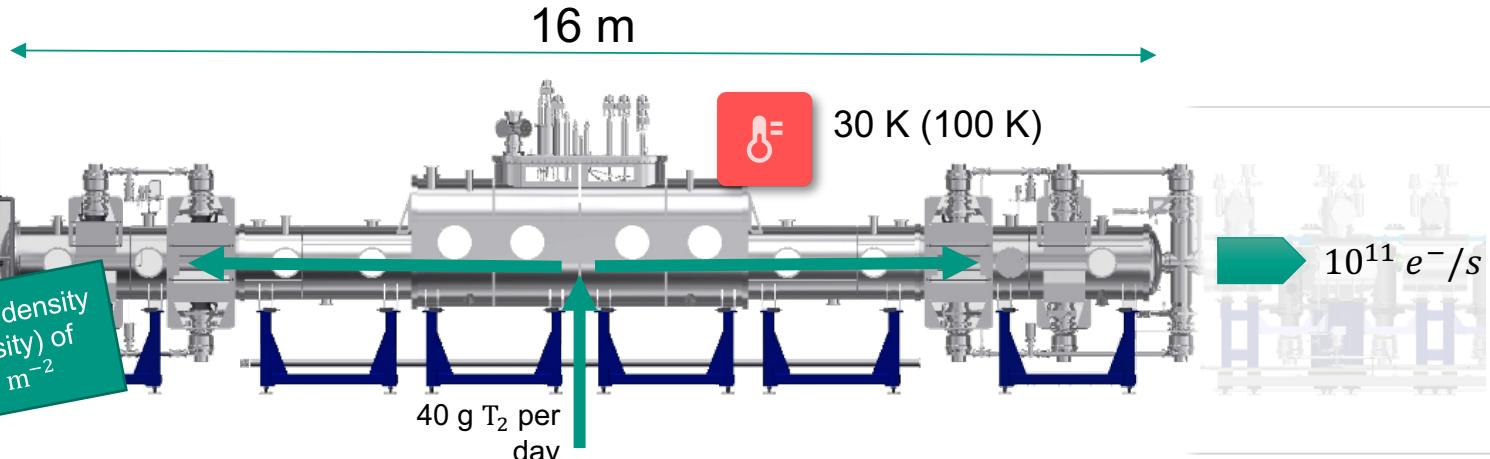
# MAC-E Filter



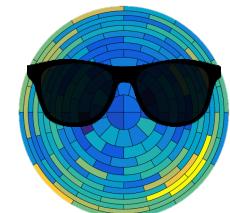
Integrating high-pass filter

$$R(E) = T(E) \otimes R_{\beta}(E)$$

# Gaseous Tritium Source



At 100%  $\rho_0 d$  about 40% of the beta-electrons scatter



# Response function modelling

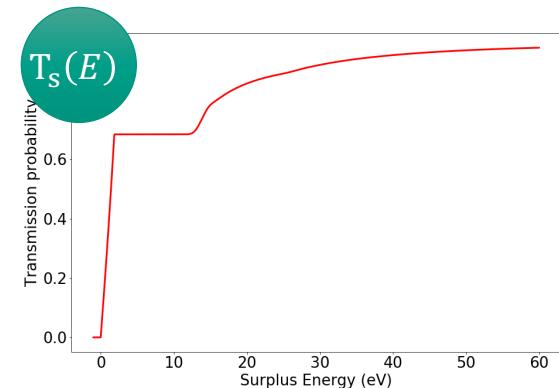
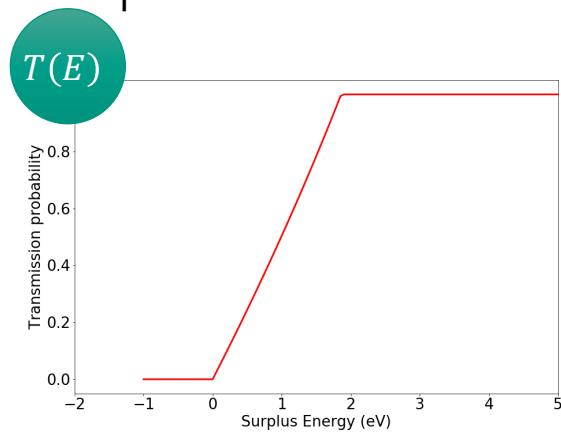
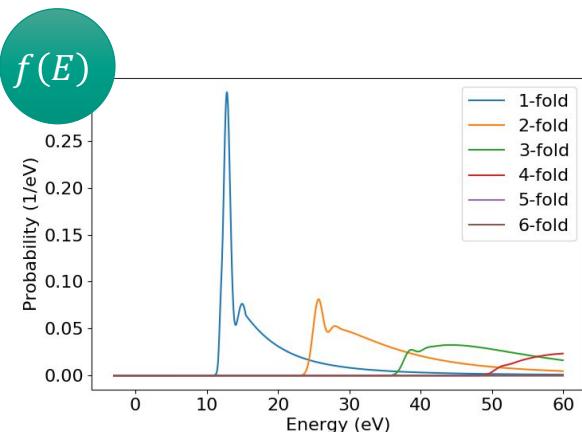
$$R(E) = T(E) \otimes R_\beta(E)$$

Include scattering

$$\begin{aligned} T_s(E) &= P_0 \cdot T(E) \\ &+ P_1 \cdot f(E) \otimes T(E) \\ &+ P_2 \cdot f(E) \otimes f(E) \otimes T(E) \\ &+ \dots \end{aligned}$$

With

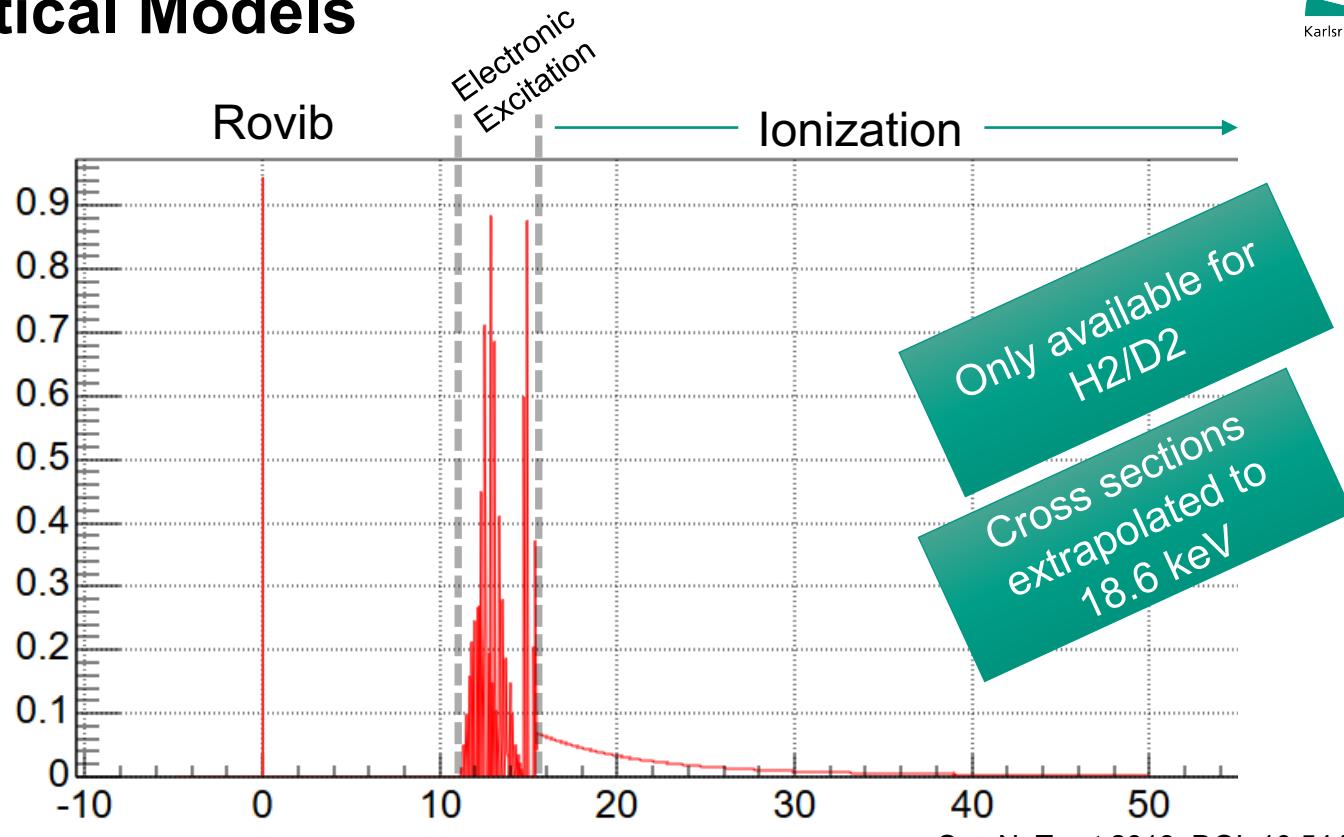
$$P_n = \frac{(\rho d\sigma)^n}{n!} \exp(-\rho d\sigma)$$



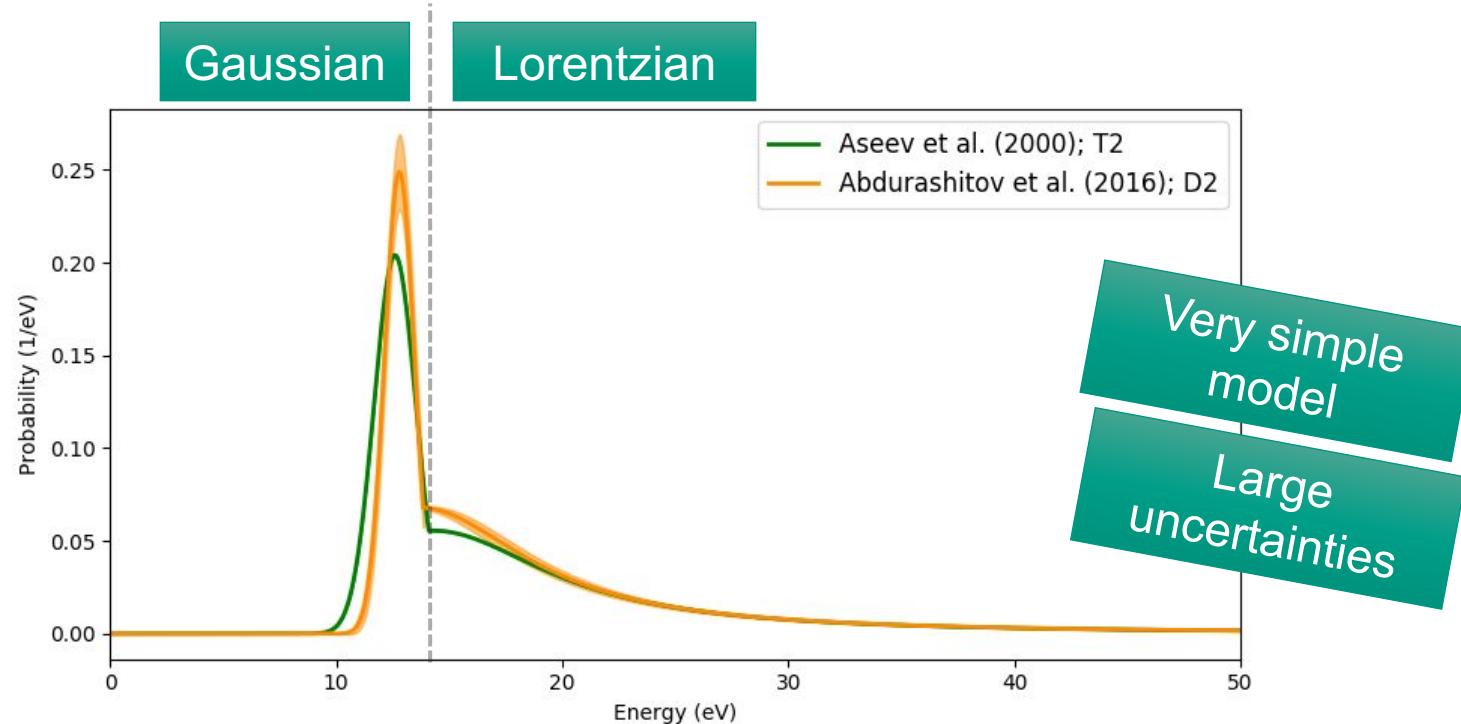
Theoretical and empirical

# **ENERGY LOSS MODELS**

# Theoretical Models



# Experimental Models

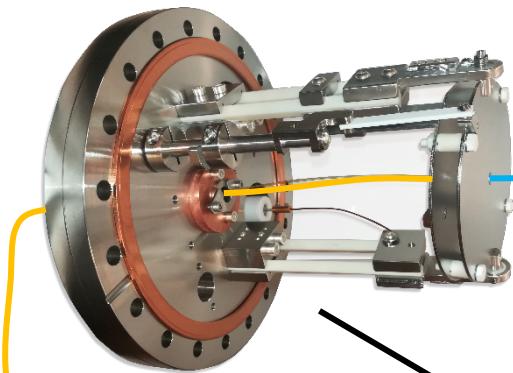


Energy loss function

# MEASUREMENT AT KATRIN

# Rear Section

Electron gun (e-gun)

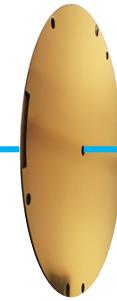


- $\sim 10 \cdot 10^3$  e<sup>-</sup>/s
- $\sigma_E = 90$  meV
- $E_{\max} = 21$  keV
- Angular selective

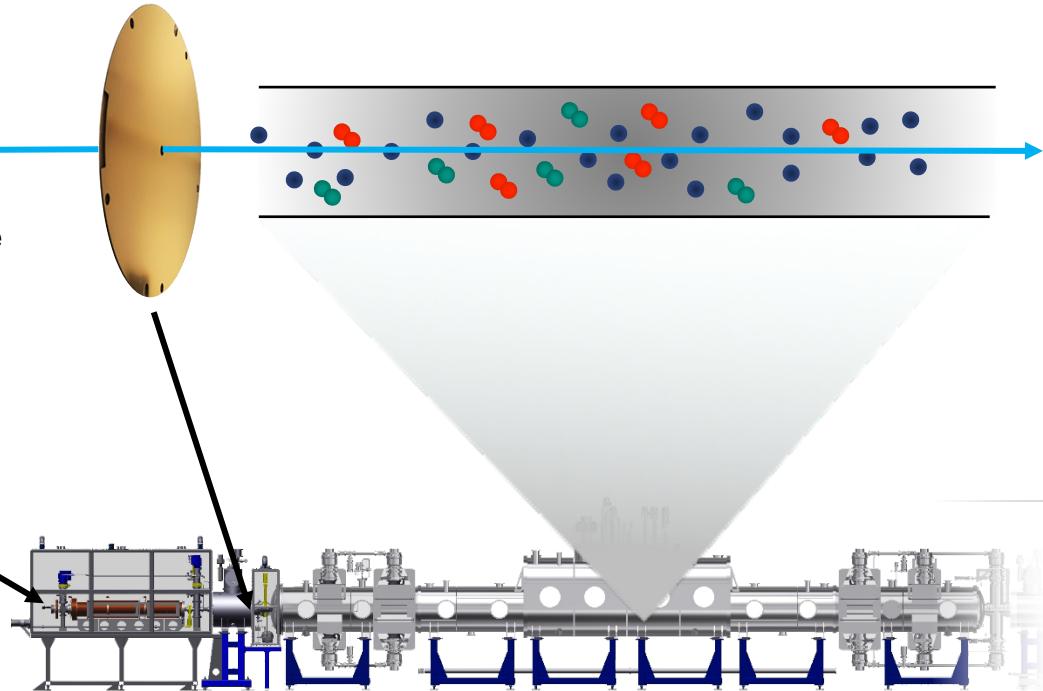


266 nm UV Laser  
20-100 kHz

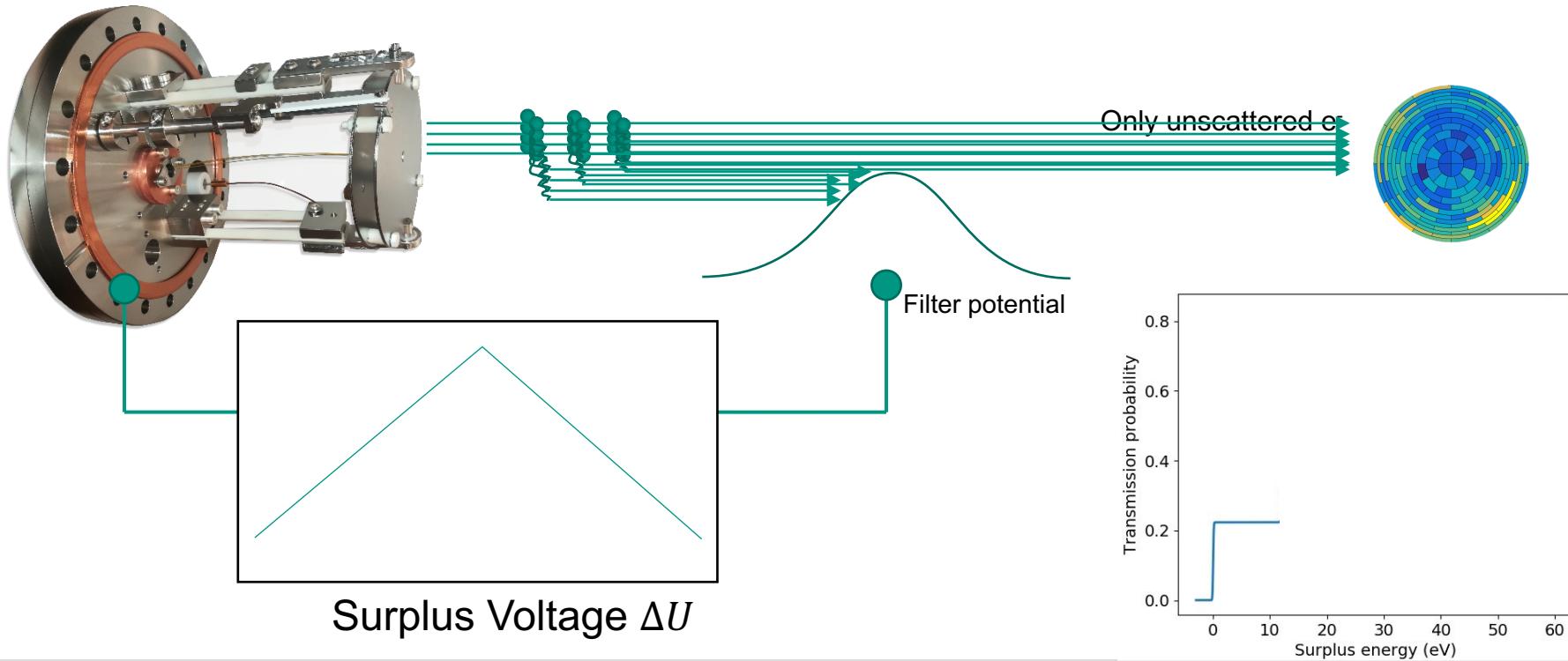
Rear wall



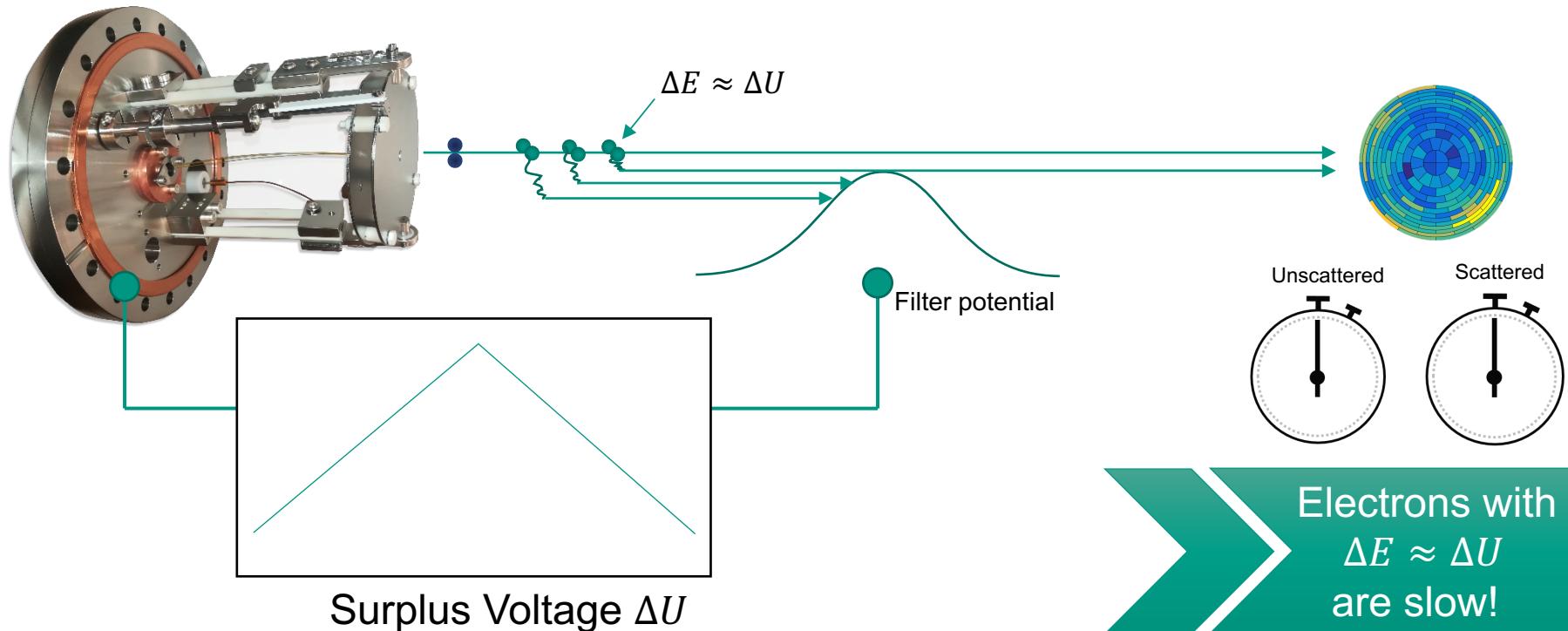
Tritium source



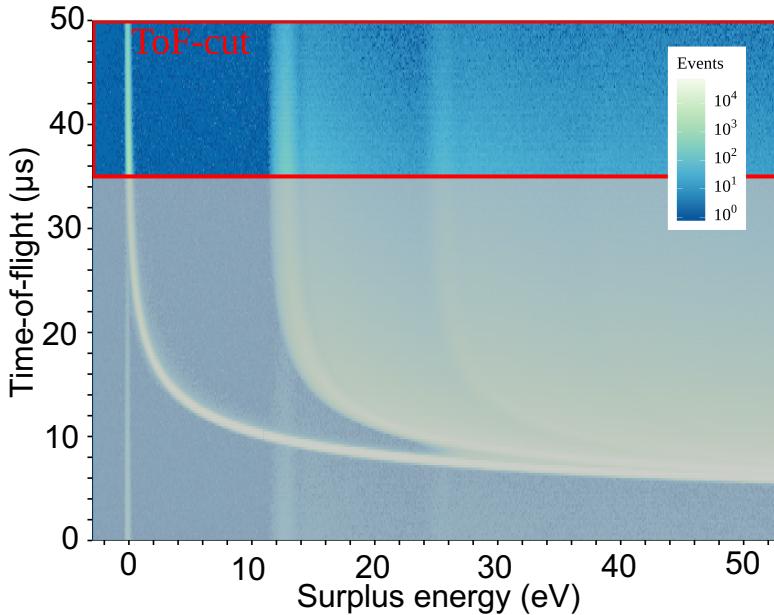
# Integral Measurements



# Differential Measurements

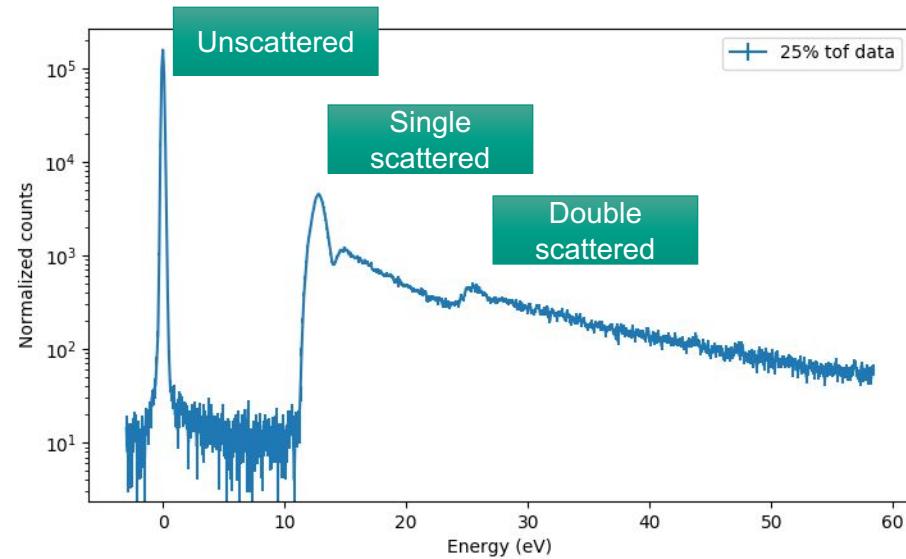


# Energy loss function measurements



- Long time-of-flight in MAC-E filter for  $\Delta E \approx \Delta U$

- Only slow electrons (i.e.  $\Delta E \approx \Delta U$ ) are selected  
→ Narrowband filter

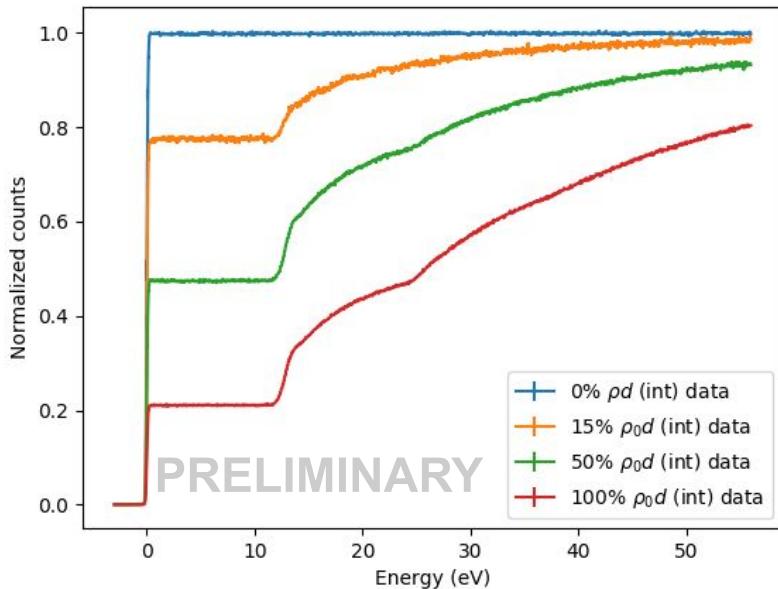


Measurement results and

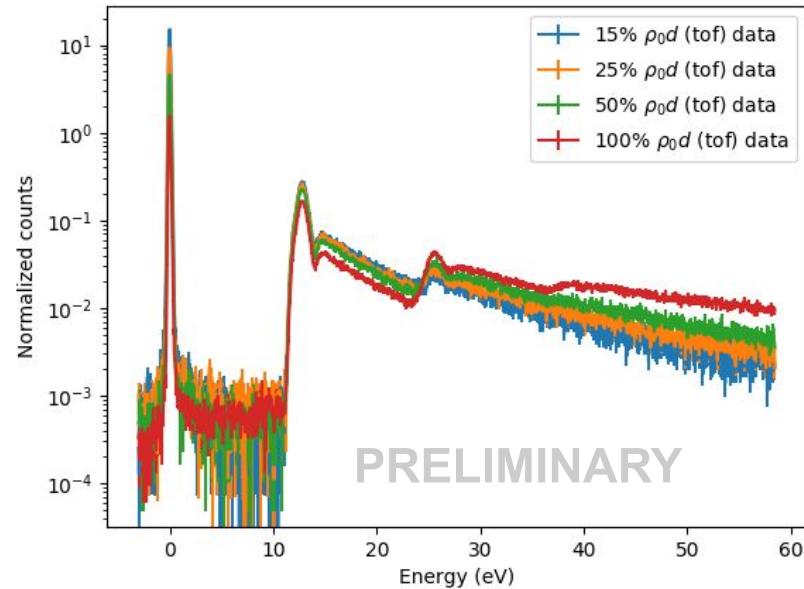
# DATA ANALYSIS

# Measurement Data

- Quality-selected runs: 119h of net measurement time
- Integral

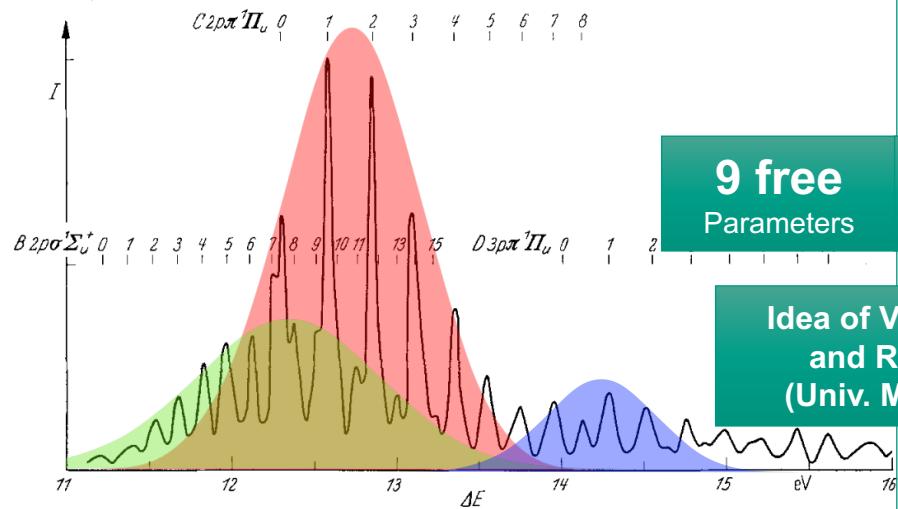


- Differential

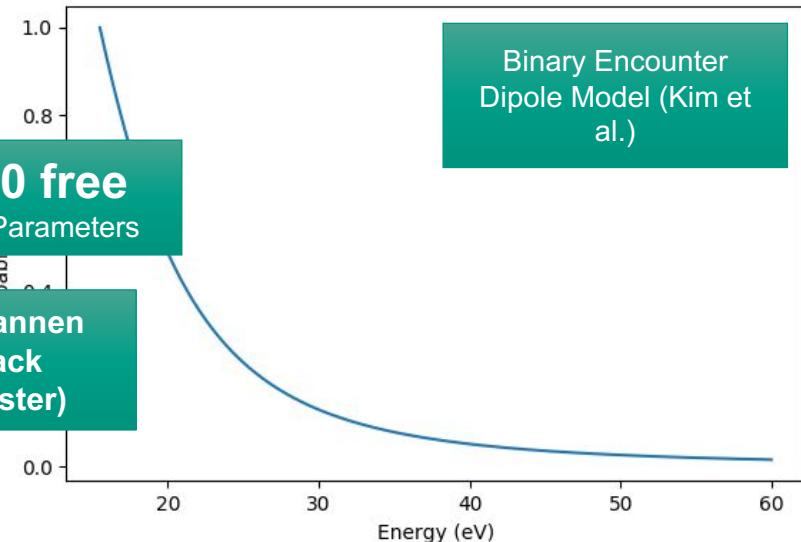


# Semi-empirical energy loss model

## Excitation



## Ionization



9 free Parameters      0 free Parameters

Idea of V. Hannen  
and R. Sack  
(Univ. Münster)

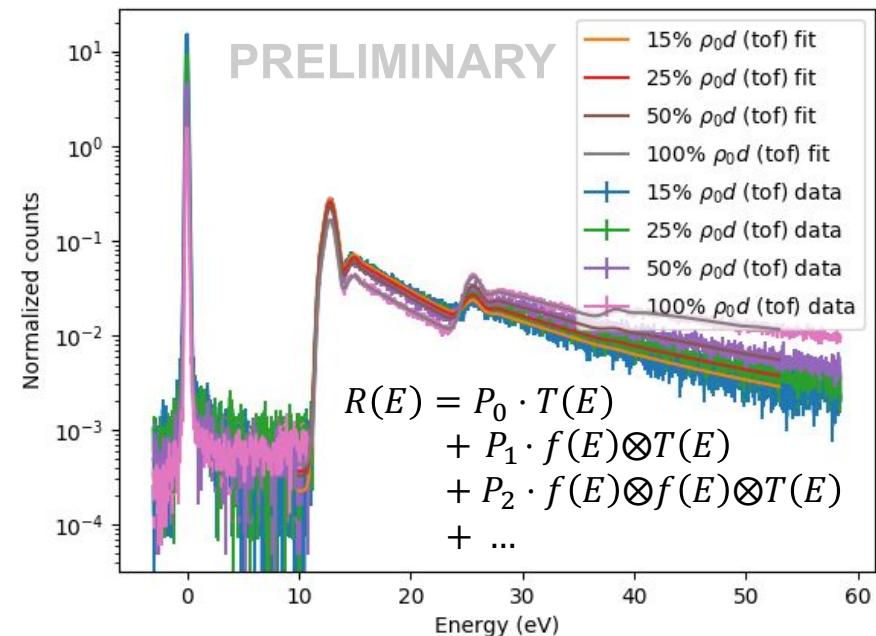
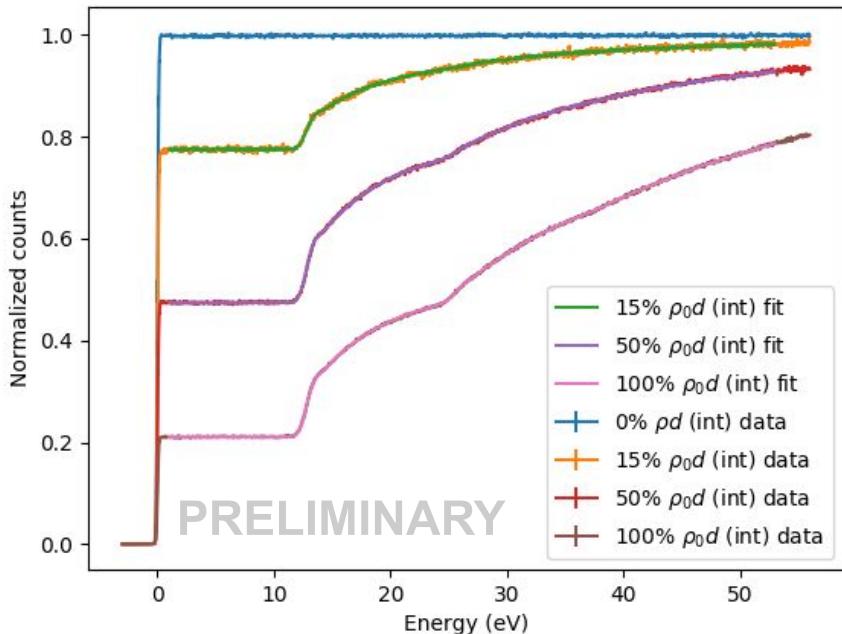
J. Geiger: Streuung von 25 keV-Elektronen an Gasen (1964)  
DOI: 10.1007/BF01380873

Y.Kim et al. : Binary-encounter-dipole model for  
electron-impact ionization (1994) DOI:10.1103/PhysRevA.50.3954

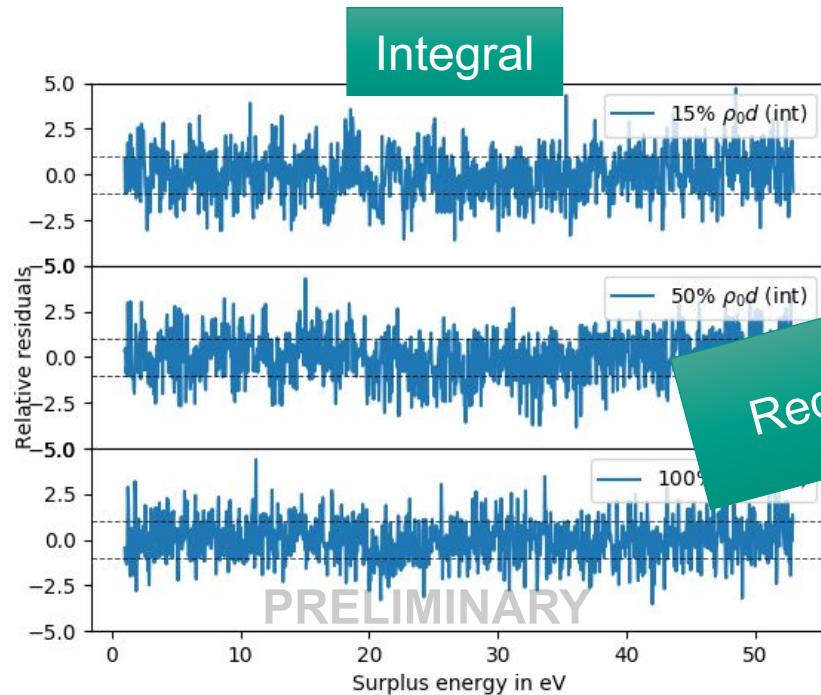
# Fit results

Combined  $\chi^2$  fit

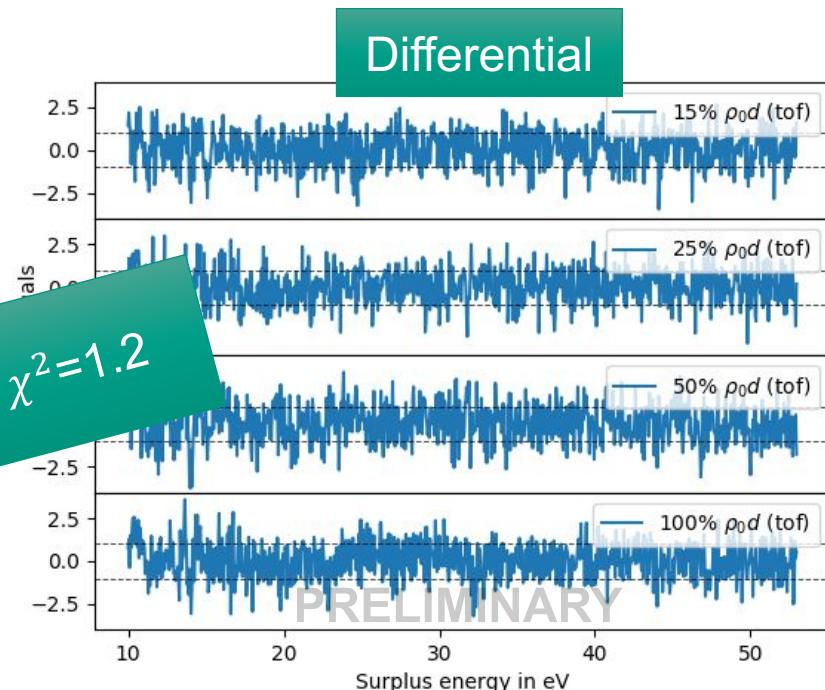
30 free parameters



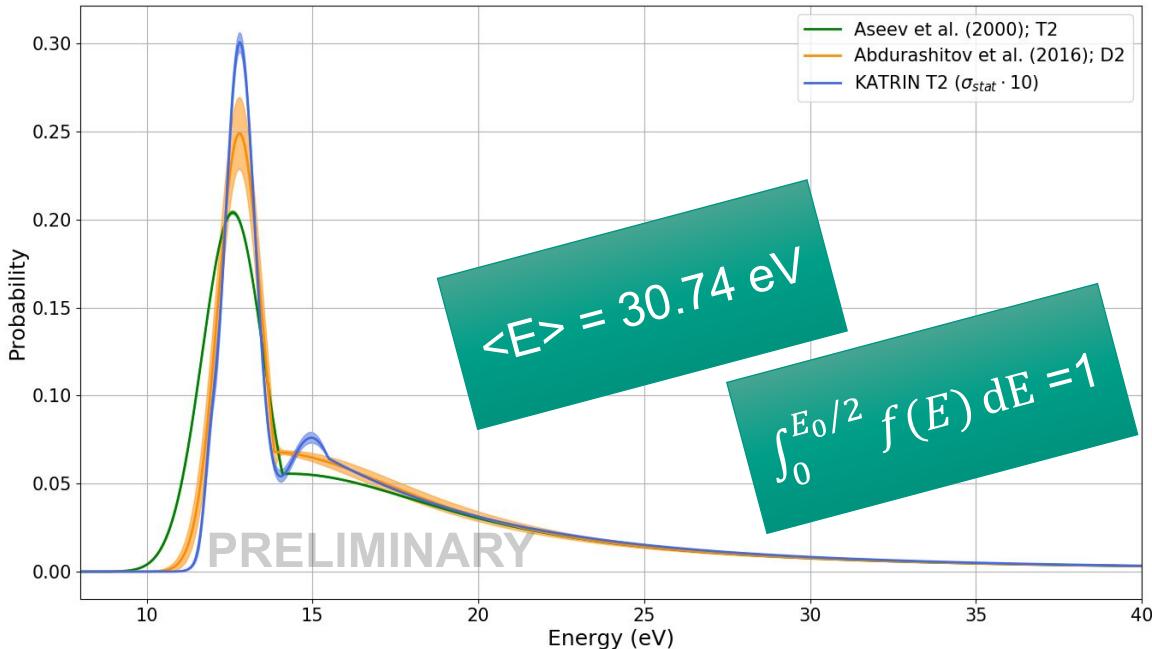
# Fit results



Red.  $\chi^2 = 1.2$



# Final result



- Plot only showing  $1\sigma$  statistical uncertainty
- Full Monte Carlo error propagation and KATRIN sensitivity studies ongoing
- Publication in preparation

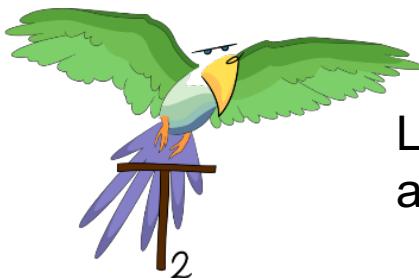
# Summary and outlook

- Energy loss measurement of 18.6 keV electrons for T2 using precision electron gun

- Integral
- Differential

- ✓ Improved semi-empirical energy loss model for T2
- ✓ Measured energy loss function used for KATRIN first  $\nu$  mass result

Improved Upper Limit on the Neutrino Mass from a Direct Kinematic Method by KATRIN (2019) DOI: 10.1103/PhysRevLett.123.221802



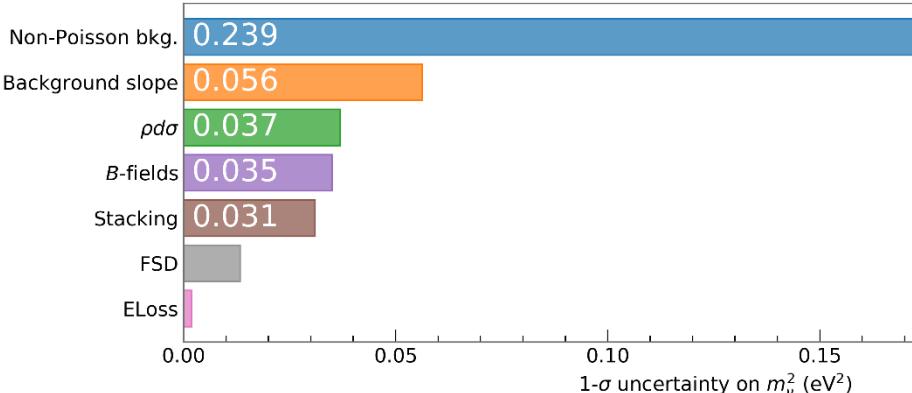
Long-term measurements (starting this year)  
aim for sensitivity of 200 meV



# THANK YOU!

# BACKUP

# Systematics budget

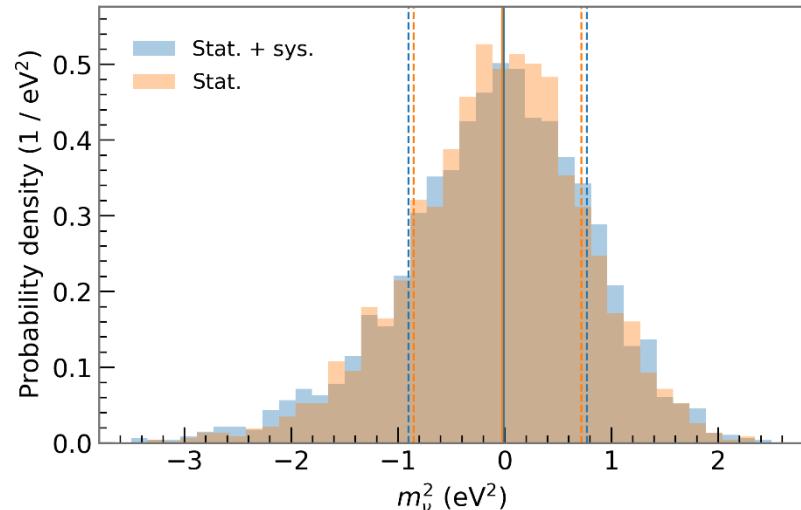


$$\sigma_{\text{stat}} = 0.97 \text{ eV}^2$$

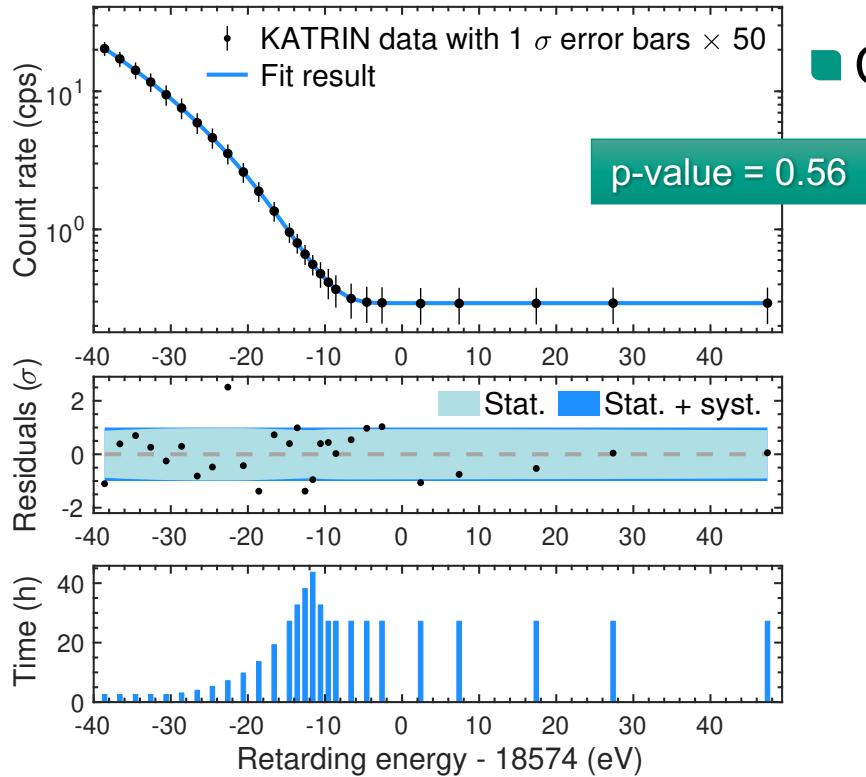
$$\sigma_{\text{syst}} = 0.32 \text{ eV}^2$$

Results of MC  
error propagation

Similar for Covariance Matrix  
approach

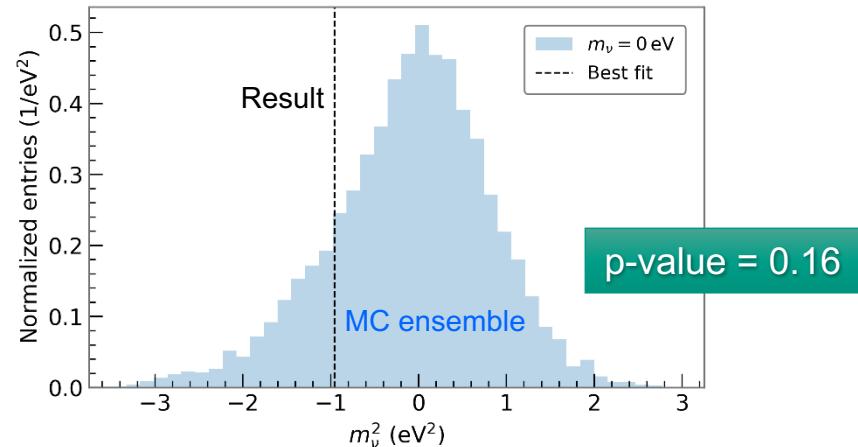


# Fit result $m_\nu^2$



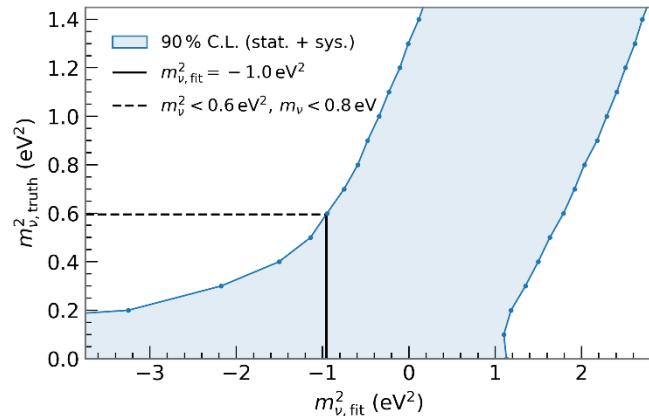
■ Combined fit result (90 eV range):

$$m_\nu^2 = (-1.0 \begin{array}{l} +0.9 \\ -1.1 \end{array}) \text{ eV}^2$$



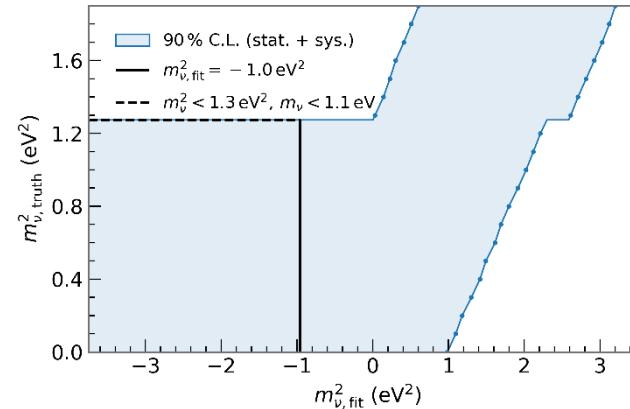
# Neutrino mass limit

Feldman-Cousins



$m_{\nu} < 0.8 \text{ eV}$  (90% C.L.)

Tkachov-Lokhov

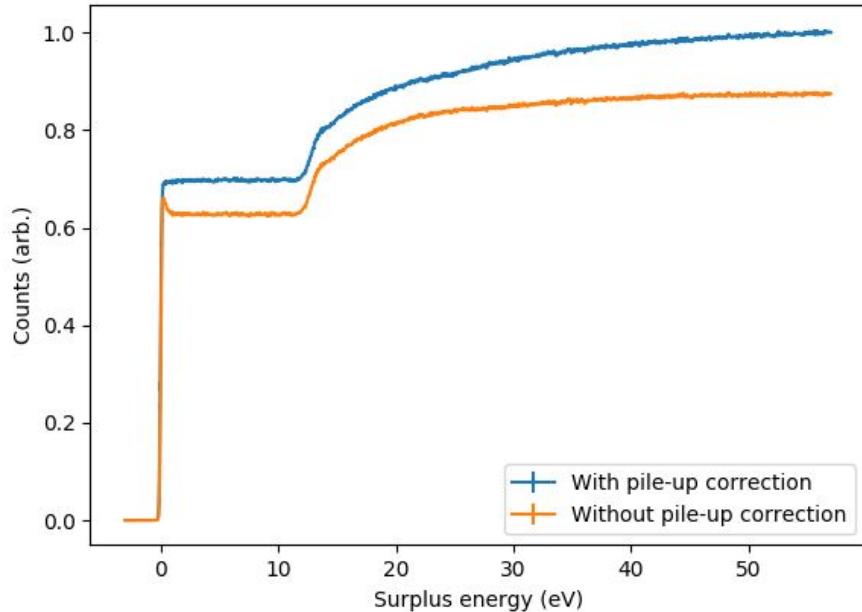


$m_{\nu} < 1.1 \text{ eV}$  (90% C.L.)

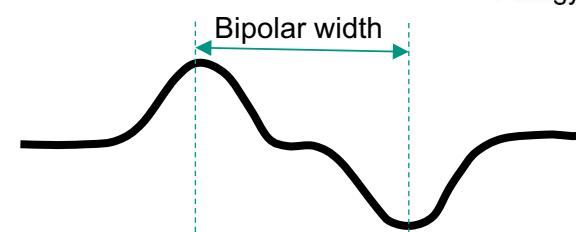
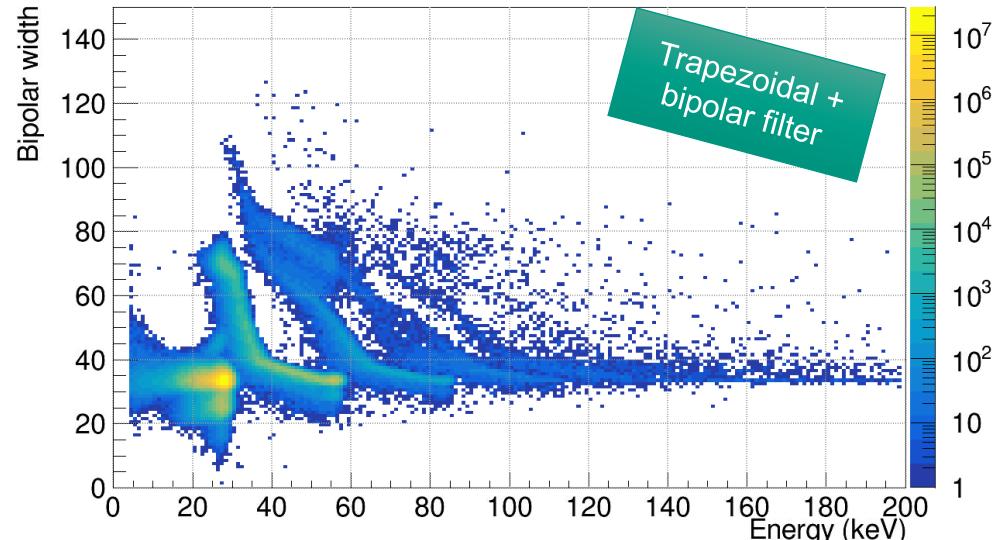
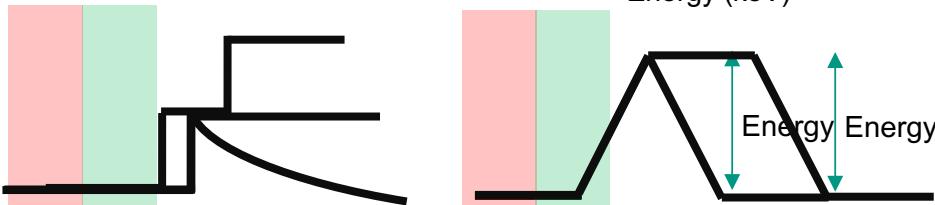
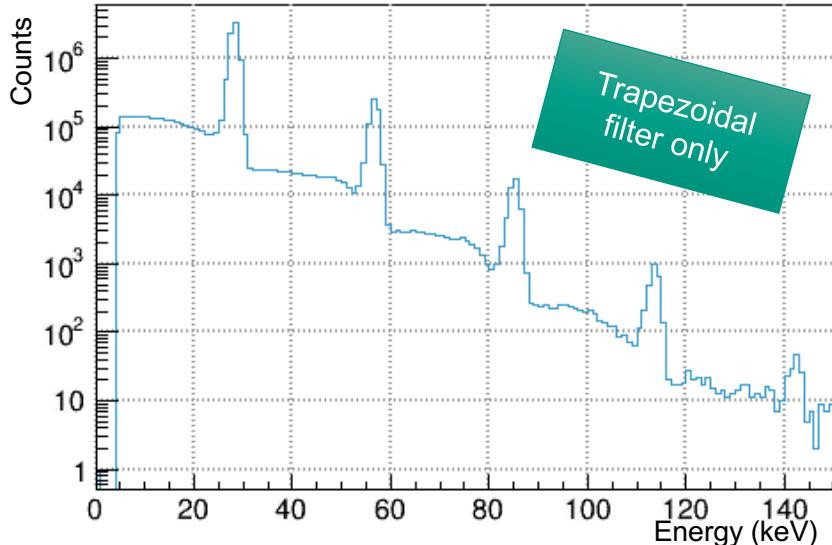
A. V. Lokhov, F. V. Tkachov (2015): Confidence intervals with a priori parameter bounds,  
[10.1134/S1063779615030089](https://arxiv.org/abs/1503.0089)

# Pile-up Correction

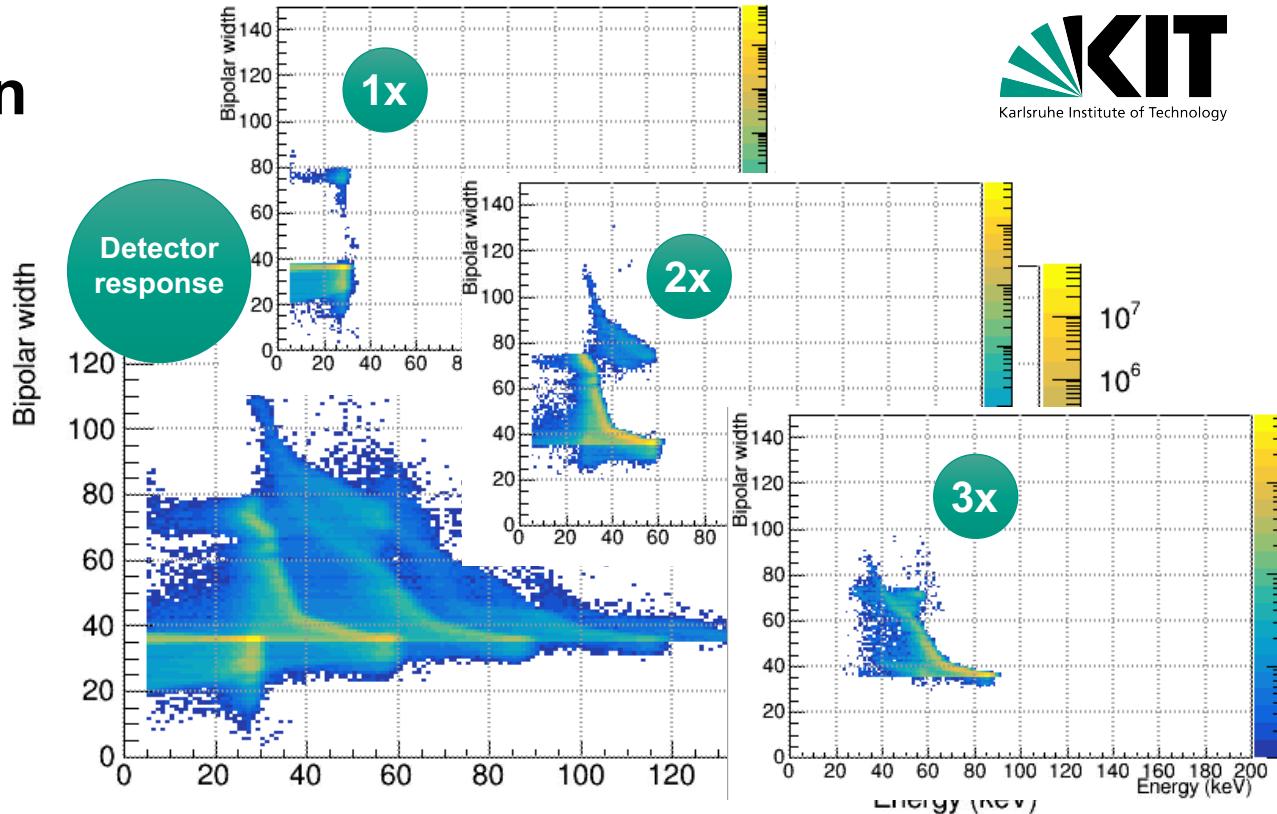
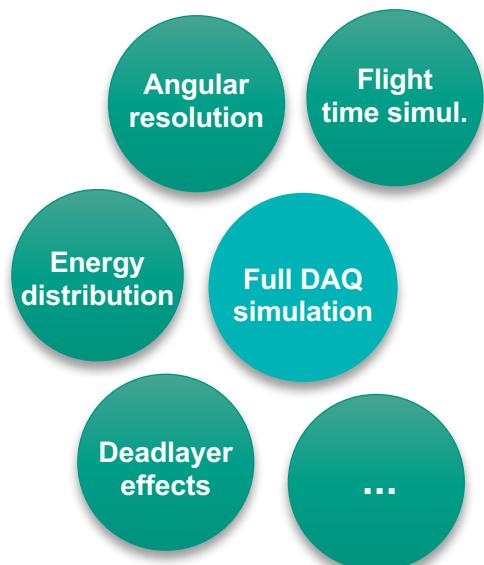
- Detector designed for single electron detection
- Laser with pulse lengths of <18ns FWHM
- Retarding potential influencing the time-of-flight
- ➔ Non-Poissonian pile-up



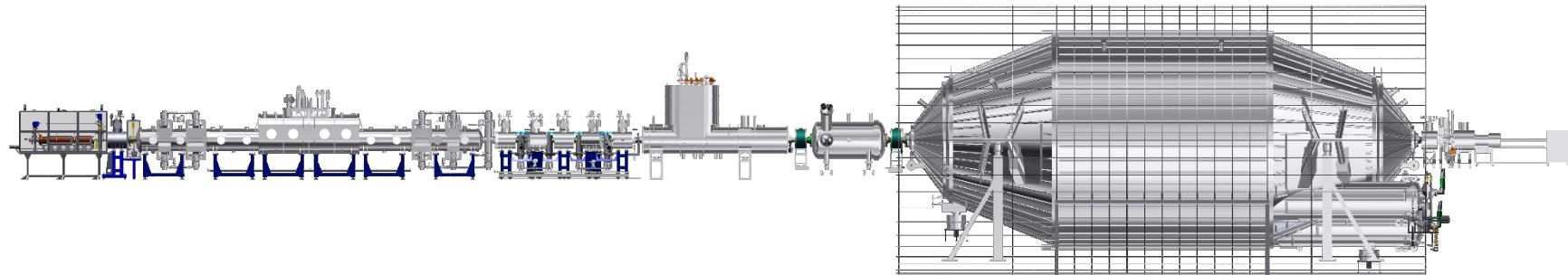
# Pile-up Correction

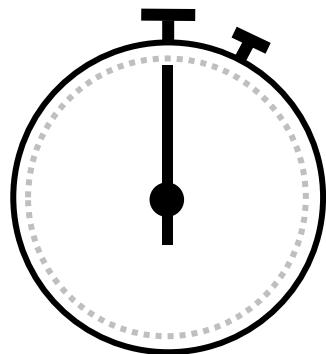


# Pile-up Correction



# Experimental Setup





# Mean Stopping power

- Stopping power: 18.58keV: 30.96 MeV cm<sup>2</sup>/g  
<https://physics.nist.gov/PhysRefData/Star/Text/ESTAR.html>
- And M\_H2=3.3E-24g (wolfram Alpha)
- → $dE/(\rho \cdot dx) = 1.0217E-16 \text{ eV cm}^2$
  
- Liu: 3,49E-18 cm<sup>2</sup>
- Aseev et. al. : 3,40E-18 cm<sup>2</sup>
- Glück: 3.668E-18cm<sup>2</sup>  
<https://ikp-katrin-wiki.ikp.kit.edu/katrin/images/3/36/SigmainelTheorH2D2T2.pdf>
- Stopping Power  $\langle E \rangle = dE/\sigma = 30.04 \text{ eV}$  (for Aseev)